

# A Lower Bound for Polynomial Calculus with Extension Rule

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## Abstract

A major proof complexity problem is to prove a superpolynomial lower bound on the length of Frege proofs of arbitrary depth. A more general question is to prove an Extended Frege lower bound. Surprisingly, proving such bounds turns out to be much easier in the algebraic setting. In this paper, we study a proof system that can simulate Extended Frege: an extension of the Polynomial Calculus proof system where we can take a square root and introduce new variables that are equivalent to arbitrary depth algebraic circuits. We prove that an instance of the subset-sum principle, the binary value principle  $1 + x_1 + 2x_2 + \dots + 2^{n-1}x_n = 0$  ( $\text{BVP}_n$ ), requires refutations of exponential bit size over  $\mathbb{Q}$  in this system.

Part and Tzameret [18] proved an exponential lower bound on the size of Res-Lin (Resolution over linear equations [22]) refutations of  $\text{BVP}_n$ . We show that our system p-simulates Res-Lin and thus we get an alternative exponential lower bound for the size of Res-Lin refutations of  $\text{BVP}_n$ .

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## 1 Introduction

In essence, the study of propositional proof complexity started with the work of Cook and Reckhow [7], which states that if there is a propositional proof system in which any unsatisfiable formula  $F$  has a short proof of unsatisfiability, then  $\text{NP} = \text{CoNP}$ . The first superpolynomial bound on the proof size was proved in a pioneering work of Tseitin [27] for regular resolution. Since then, many proof systems have been studied, some of them are logic-style (working with disjunctions, conjunctions, and other Boolean operations) and some of them are algebraic (working with arbitrary polynomials).

In this work, we consider extensions of two systems, an algebraic one and a logic-style one.

### Logic-style systems

As it was mentioned before, the first superpolynomial bound on the proof size was proved in a work of Tseitin for regular resolution, which is a popular logic proof system. Lately, Haken [11] proved an exponential lower bound on the size of (unrestricted) Resolution refutation of the pigeonhole principle (PHP), expressing that there is no (total) injective map from a set with cardinality  $m$  to a set with cardinality  $n$  if  $m > n$ .



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Since then, a stronger logic proof systems such as Frege systems were considered. But while exponential lower bounds for low-depth proof systems (both algebraic and logical ones) are known for decades, the situation with the higher depth proof systems is much worse. The present knowledge is limited to superpolynomial lower bounds for Frege systems over de Morgan basis (that is, without xor's or equivalences) of depth up to  $\Theta(\log(n)/\log \log(n))$  [12] (see also [21] where a superpolynomial lower bound for systems of depth up to  $\Theta(\sqrt{\log(n)})$  is proved).

### Resolution with counting

Another approach to strengthen resolution is to use weak extensions in order to do some sort of counting. **Res-Lin** (defined in [22]) is a system working with disjunctions of linear equations, and can be viewed as a generalization of Resolution (we consider this system in the present paper). However, no truly exponential lower bounds are known for the size of refutations of formulas in CNF in (dag-like) systems that work over disjunctions of equations or inequalities (see [16] as the first paper defining these systems and containing partial results). Part and Tzameret [18] proved an exponential lower bound for (dag-like) **Res-Lin** refutations over  $\mathbb{Q}$  for the binary value principle  $\text{BVP}_n$ . Although this is the first exponential lower bound for this system, the instance does not correspond to a translation of formula in CNF.

Itsykson and Sokolov [15] considered another extension of the resolution proof system that operates with disjunctions of linear equalities over  $\mathbb{F}_2$  named  $\text{Res}(\oplus)$  and proved an exponential lower bound on the size of tree-like  $\text{Res}(\oplus)$ -proofs.

### Algebraic proof systems

Algebraic proof systems such as **Nullstellensatz** were developed to use some algebraic techniques of Razborov and Smolensky [23, 25] in the proof complexity case. Lower bounds for algebraic systems started with an exponential lower bound for the **Nullstellensatz** [2] system. The main system considered in this paper is based on the **Polynomial Calculus** system [6], which is a dynamic version of **Nullstellensatz**. Many exponential lower bounds are known for the size of **Polynomial Calculus** proofs for tautologies like the Pigeonhole Principle [24, 14] and Tseitin tautologies [3]. While most results concern the representation of Boolean values by 0 and 1, there are also exponential lower bounds over the  $\{-1, +1\}$  basis [26].

However, simple algebraic proof systems such as **Nullstellensatz** and **Polynomial Calculus** cannot simulate strong logic systems like Frege systems and thus cannot provide lower bounds for these systems. In order to fix this issue, strong extensions were considered: Grigoriev and Hirsch [9] considered algebraic systems over formulas. Grochow and Pitassi [10] introduced the **Ideal Proof System**, **IPS**, which can be considered as the version of **Nullstellensatz** where all polynomials are written as algebraic circuits (see also [19, 20] for earlier versions of this system).

Many other extensions of **Polynomial Calculus** and **Nullstellensatz** have been considered also. Buss, Impagliazzo, Krajíček, Pudlák, Razborov and Sgall [4] showed that there is a tight connection between the lengths of constant-depth Frege proofs with  $\text{MOD}_p$  gates and the length of **Nullstellensatz** refutations using extension axioms. Impagliazzo, Mouli and Pitassi [13] showed that a depth-3 extension of **Polynomial Calculus** called  $\Sigma\Pi\Sigma$ -PC p-simulates semantic  $\text{CP}^*$  (an inequalities-based system, **Cutting Planes** [8, 5] with coefficients written in unary) over  $\mathbb{Q}$ . Also, they showed that a stronger extension of **Polynomial Calculus**, called **Depth- $k$ -PC**, p-simulates **Cutting Planes** and another inequalities-based system **Sum-of-Squares**; the simulations can be conducted over  $\mathbb{F}_{p^m}$  for an arbitrary prime number  $p$  if  $m$  is

sufficiently large. However, the question about proving a superpolynomial lower bound even on the size of  $\Sigma\Pi\Sigma$ -PC refutations over any field remains open since it is not clear how to extend lower bound techniques such as size-degree tradeoff to this system.

## 1.1 Our results

We extend Polynomial Calculus with two additional rules. One rule allows us to take a square root (it was introduced by Grigoriev and Hirsch [9] in the context of transforming refutation proofs of non-Boolean formulas into derivation proofs; our motivation to take square roots is to consider an algebraic system that is at least as strong as Res-Lin even for non-Boolean formulas, see below). Another rule is an algebraic version of Tseitin's extension rule, which allows us to introduce new variables that are equivalent to arbitrary depth algebraic circuits. We will denote our generalization of Polynomial Calculus as  $\text{Ext-PC}^\vee$ . Note that  $\text{Ext-PC}^\vee$  p-simulates Extended Frege system (since  $\text{Ext-PC}^\vee$  p-simulates Extended Resolution and Extended Resolution p-simulates Extended Frege [17]), but it's not obvious how to p-simulate IPS refutations in  $\text{Ext-PC}^\vee$  (since IPS refutations polynomials are written as algebraic circuits and  $\text{Ext-PC}^\vee$  refutations are written explicitly as a sum of monomials).

In this work we give a partial positive answer to the question raised in [13] asking for a technique for proving size lower bounds on Polynomial Calculus without proving any degree lower bounds. However, our lower bound works only for field  $\mathbb{Q}$  and the question about proving lower bounds over finite fields remains open. Also, we give a partial answer to another question raised in [13] by proving an exponential lower bound for the system with an extension rule even stronger than that in  $\Sigma\Pi\Sigma$ -PC, which is another extension of Polynomial Calculus presented in the aforementioned work.

We consider the following subset-sum instance, called Binary Value Principle ( $\text{BVP}_n$ ) [1, 18]:

$$1 + x_1 + 2x_2 + \dots + 2^{n-1}x_n = 0,$$

and prove an exponential lower bound for the size of  $\text{Ext-PC}_{\mathbb{Q}}^\vee$  refutations of  $\text{BVP}_n$ . Note that Binary Value Principle does not correspond to the translation of any CNF formula and thus the question about proving the size lower bound on the refutation of formulas in CNF without proving degree lower bounds **remains open**.

► **Theorem 1.** *Any  $\text{Ext-PC}_{\mathbb{Q}}^\vee$  refutation of  $\text{BVP}_n$  requires size  $2^{\Omega(n)}$ .*

The technique we use for proving this lower bound is similar to the technique for proving the conditional IPS lower bound in [1]. However, since  $\text{Ext-PC}$  proof system is weaker than Ideal Proof System, we get an unconditional lower bound. The main idea of the conditional lower bound in [1] is to prove the complexity lower bound on the free term in the end of the IPS-refutation of  $\text{BVP}_n$  over  $\mathbb{Z}$  and then show that  $\text{IPS}_{\mathbb{Z}}$  simulates  $\text{IPS}_{\mathbb{Q}}$ . One difference is that instead of concentrating on the *complexity* of computing the free term of the proof, we concentrate on the *prime numbers* being mentioned in the proof (and thus appearing as factors of the free term).

Then we consider Res-Lin and show that  $\text{Ext-PC}_{\mathbb{Q}}^\vee$  simulates Res-Lin and thus get an alternative lower bound for Res-Lin.

► **Corollary 2.** *Any  $\text{Res-Lin}_{\mathbb{Q}}$  refutation of  $\text{BVP}_n$  requires size  $2^{\Omega(n)}$ .*

Note that while Part and Tzameret [18] prove an exponential lower bound on the number of lines in the proof, we prove a bound on the proof size (essentially, on the bit size of scalars appearing in the proof).

## 1.2 Organization of the paper

In Section 2 we recall the definition of Polynomial Calculus (PC) and give the definitions of Polynomial Calculus with square root ( $\text{PC}^\vee$ ) and Extended Polynomial Calculus with square root ( $\text{Ext-PC}^\vee$ ).

In Section 3 we prove an exponential lower bound on the size of  $\text{Ext-PC}^\vee_{\mathbb{Q}}$  refutations of  $\text{BVP}_n$ . We start with considering derivations with integer coefficients ( $\text{Ext-PC}^\vee_{\mathbb{Z}}$ ) and show that the free term in the end of such refutation of  $\text{BVP}_n$  is not just large but also is divisible by all primes less than  $2^n$  (see Theorem 9). Then, in Theorem 11, we convert proofs over  $\mathbb{Q}$  into proofs over  $\mathbb{Z}$  without changing the set of primes mentioned in the proof and thus get an  $\text{Ext-PC}^\vee_{\mathbb{Q}}$  lower bound.

In Section 4 we show that  $\text{Ext-PC}^\vee_{\mathbb{Q}}$  simulates Res-Lin and thus we get an alternative lower bound for the size of Res-Lin refutations of  $\text{BVP}_n$ .

## 2 Preliminaries

In this paper we are going to work with polynomials over integers or rationals. We define the size of a polynomial roughly as the total length of the bit representation of its coefficients:

► **Definition 3** (Size of a polynomial). *Let  $f$  be an arbitrary integer or rational polynomial in variables  $\{x_1, \dots, x_n\}$ .*

- *If  $f \in \mathbb{Z}[x_1, \dots, x_n]$  then  $\text{Size}(f) = \sum (\lceil \log |a_i| \rceil + 1)$  where  $a_i$  are the coefficients of  $f$ .*
- *If  $f \in \mathbb{Q}[x_1, \dots, x_n]$  then  $\text{Size}(f) = \sum (\lceil \log |p_i| \rceil + \lceil \log |q_i| \rceil + 1)$  where  $p_i \in \mathbb{Z}$ ,  $q_i \in \mathbb{N}$  and  $\frac{p_i}{q_i}$  are the coefficients of  $f$ .*

► **Definition 4** (Polynomial Calculus). *Let  $\Gamma = \{P_1, \dots, P_m\} \subset \mathbb{F}[x_1, \dots, x_n]$  be a set of polynomials in variables  $\{x_1, \dots, x_n\}$  over a field  $\mathbb{F}$  such that the system of equations  $P_1 = 0, \dots, P_m = 0$  has no solution. A Polynomial Calculus refutation of  $\Gamma$  is a sequence of polynomials  $R_1, \dots, R_s$  where  $R_s = 1$  and for every  $l$  in  $\{1, \dots, s\}$ ,  $R_l \in \Gamma$  or is obtained through one of the following derivation rules for  $j, k < l$*

- $R_l = \alpha R_j + \beta R_k$  for  $\alpha, \beta \in \mathbb{F}$
- $R_l = x_i R_k$

*The size of the refutation is  $\sum_{l=1}^s \text{Size}(R_l)$ . The degree of the refutation is  $\max_l \deg(R_l)$ .*

Now we consider a variant of Polynomial Calculus proof system with additional **square root derivation rule** (see [9]). Moreover, we extend our definition from fields to **rings**.

► **Definition 5** (Polynomial Calculus with square root). *Let  $\Gamma = \{P_1, \dots, P_m\} \subset R[x_1, \dots, x_n]$  be a set of polynomials in variables  $\{x_1, \dots, x_n\}$  over a domain  $R$  such that the system of equations  $P_1 = 0, \dots, P_m = 0$  has no solution. A  $\text{PC}_R^\vee$  refutation of  $\Gamma$  is a sequence of polynomials  $R_1, \dots, R_s$  where  $R_s = M$  for some constant  $M \in R, M \neq 0$  and for every  $l$  in  $\{1, \dots, s\}$ ,  $R_l \in \Gamma$  or is obtained through one of the following derivation rules for  $j, k < l$*

- $R_l = \alpha R_j + \beta R_k$  for  $\alpha, \beta \in R$
- $R_l = x_i R_k$  for some  $i \in \{1, \dots, n\}$
- $R_l^2 = R_k$  (which means that we can take square root of a polynomial if and only if it is a square of some other polynomial)

*The size of the refutation is  $\sum_{l=1}^s \text{Size}(R_l)$ , where  $\text{Size}(R_l)$  is the size of the polynomial  $R_l$ . The degree of the refutation is  $\max_l \deg(R_l)$ .*

► **Note 6.** We will consider  $\mathbb{Q}$  or  $\mathbb{Z}$  as the ring  $R$ . For both of those rings, if we consider **Boolean** case, where axioms  $x_i^2 - x_i = 0$  added, our system will be complete, which means that for every unsatisfiable over  $\{0, 1\}$  assignment system  $\{f_i(\vec{x}) = 0\}$  there is a  $\text{PC}_R^\vee$  refutation. Also, note that if  $R$  is a domain and  $P^2 = 0$  for some  $P \in R[\vec{x}]$ , then  $P = 0$ .

We now define a variant of  $\text{PC}_R^\vee$ ,  $\text{Ext-PC}_R^\vee$  where the proof system is additionally allowed to introduce new variables  $y_i$  corresponding to arbitrary polynomials in the original variables  $x_i$ .

► **Definition 7** (Extended Polynomial Calculus with square root). *Let  $\Gamma = \{P_1, \dots, P_m\} \subset R[x_1, \dots, x_n]$  be a set of polynomials in variables  $\{x_1, \dots, x_n\}$  over a domain  $R$  such that the system of equations  $P_1 = 0, \dots, P_m = 0$  has no solution. A  $\text{Ext-PC}_R^\vee$  refutation of  $\Gamma$  is a  $\text{PC}_R^\vee$  refutation of a set*

$$\Gamma' = \{P_1, \dots, P_m, y_1 - Q_1(x_1, \dots, x_n), y_2 - Q_2(x_1, \dots, x_n, y_1), \dots, \\ y_m - Q_m(x_1, \dots, x_n, y_1, \dots, y_{m-1})\}$$

where  $Q_i \in R[\vec{x}, y_1, \dots, y_{i-1}]$  are arbitrary polynomials.

The size of the  $\text{Ext-PC}_R^\vee$  refutation is equal to the size of the  $\text{PC}_R^\vee$  refutation of  $\Gamma'$ .

### 3 Lower bound

In order to prove the lower bound for the  $\text{Ext-PC}_\mathbb{Q}^\vee$  proof system, we consider the following subset-sum instance [1, 18]:

► **Definition 8** (Binary Value Principle  $\text{BVP}_n$ ). *The **binary value principle** over the variables  $x_1, \dots, x_n$ ,  $\text{BVP}_n$  for short, is the following unsatisfiable system of equations:*

$$x_1 + 2x_2 + \dots + 2^{n-1}x_n + 1 = 0,$$

$$x_1^2 - x_1 = 0, x_2^2 - x_2 = 0, \dots, x_n^2 - x_n = 0.$$

► **Theorem 9.** *Any  $\text{Ext-PC}_\mathbb{Z}^\vee$  refutation of  $\text{BVP}_n$  requires size  $\Omega(2^n)$ . Moreover, the absolute value of the constant in the end of our  $\text{Ext-PC}_\mathbb{Z}^\vee$  refutation consists of at least  $C \cdot 2^n$  bits for some constant  $C > 0$ . Also, the constant in the end of our  $\text{Ext-PC}_\mathbb{Z}^\vee$  refutation is divisible by every prime number less than  $2^n$ .*

**Proof.** Assume that  $\{R_1, \dots, R_t\}$  is an  $\text{Ext-PC}_\mathbb{Z}^\vee$  refutation of  $\text{BVP}_n$ . Then we know that  $\{R_1, \dots, R_t\}$  is a  $\text{PC}_\mathbb{Z}^\vee$  refutation of some set

$$\Gamma' = \{G(\vec{x}), F_1(\vec{x}), \dots, F_n(\vec{x}), y_1 - Q_1(\vec{x}), \dots, y_m - Q_m(\vec{x}, y_1, \dots, y_{m-1})\}$$

where  $G(\vec{x}) = 1 + \sum_{i=1}^{i=n} 2^{(i-1)}x_i$ ,  $F_i(\vec{x}) = x_i^2 - x_i$  and  $Q_i \in \mathbb{Z}[\vec{x}, y_1, \dots, y_{i-1}]$ .

By the definition of an  $\text{Ext-PC}_\mathbb{Z}^\vee$  refutation we know that there exists an integer constant  $M \neq 0$  such that  $R_t = M$ .

▷ **Claim 10.**  $M$  is divisible by every prime number less than  $2^n$ .

Proof of claim. Consider arbitrary integer number  $0 \leq k < 2^n$  and its binary representation  $b_1, \dots, b_n$ . Let  $k + 1$  be **prime**. Then  $G(b_1, \dots, b_n) = k + 1$ ,  $F_i(b_1, \dots, b_n) = b_i^2 - b_i = 0$ . Also consider integers  $c_1, \dots, c_m$  such that  $c_i = Q_i(b_1, \dots, b_n, c_1, c_2, \dots, c_{i-1})$ . Now we will prove by induction that every integer number  $R_i(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$  and thus  $M$  is divisible by every prime number less than  $2^n$ .

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**Base case:** if  $i = 1$ , then

$$R_i = G(b_1, \dots, b_n, c_1, \dots, c_m) = k + 1$$

or

$$R_i = F_i(b_1, \dots, b_n, c_1, \dots, c_m) = 0$$

or

$$R_i(b_1, \dots, b_n, c_1, \dots, c_m) = c_i - Q_i(b_1, \dots, b_n, c_1, \dots, c_{i-1}) = 0$$

which means that  $R_i$  is divisible by  $k + 1$ .

**Induction step:** suppose we know that  $R_j$  is divisible by  $k + 1$  for any  $j \leq i$ . Now we will show it for  $R_{i+1}$ . There are four cases:

1. If  $R_{i+1} \in \Gamma'$ , then this case is equivalent to the base case and  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$ .
2. If  $R_{i+1} = \alpha R_j + \beta R_s$  for  $\alpha, \beta \in \mathbb{Z}$  and  $j, s \leq i$ , then  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$  because  $R_j(b_1, \dots, b_n, c_1, \dots, c_m)$  and  $R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  are divisible by  $k + 1$  and  $\alpha$  and  $\beta$  are integers.
3. If  $R_{i+1} = x_j R_s$  or  $R_{i+1} = y_j R_s$ , then  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$  because  $R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$  and  $b_i$  and  $c_i$  are integers.
4. If  $R_{i+1}^2 = R_s$ , then we know that  $R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$ . Suppose  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is not divisible by  $k + 1$ . Then  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)^2$  is not divisible by  $k + 1$  since  $k + 1$  is **prime**. But  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)^2 = R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  which leads us to a contradiction.

Since every  $R_i(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $k + 1$ , we know that  $M = R_t(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by every  $k + 1$  less than  $2^n$ , and in particular  $M$  is divisible by every prime number less than  $2^n$ .

So we know that  $M$  is divisible by the product of all prime numbers less than  $2^n$ . Then we know that  $|M| > (\pi(2^n))!$  where  $\pi(2^n)$  is the number of all prime numbers less than  $2^n$ . By the prime number theorem  $\pi(2^n) > C \frac{2^n}{n}$ . By Stirling's approximation we get

$$|M| > \left(C \frac{2^n}{n}\right)! > C' \cdot \left(C \frac{2^n}{e \cdot n}\right)^{C \frac{2^n}{n}} > C'' \left(2^{\frac{n}{2}}\right)^{C \frac{2^n}{n}} > C''' 2^{(2^n C_0)}$$

which means that  $M$  consists of at least  $C_1 \cdot 2^n$  bits and therefore any Ext-PC $_{\mathbb{Z}}^{\vee}$  refutation of BVP $_n$  requires size  $\Omega(2^n)$ . ◁

◀

In order to prove a lower bound over  $\mathbb{Q}$ , we need to convert an Ext-PC $_{\mathbb{Q}}^{\vee}$  proof into an Ext-PC $_{\mathbb{Z}}^{\vee}$  proof. The key idea of this translation is that we can create an Ext-PC $_{\mathbb{Z}}^{\vee}$  proof in which the constant in the end is a multiplication of some constants occurring in the original Ext-PC $_{\mathbb{Q}}^{\vee}$  refutation. Since the constant in the end of the Ext-PC $_{\mathbb{Z}}^{\vee}$  refutation is divisible by all prime numbers less than  $2^n$ , we get a lower bound on the size of constants occurring in the Ext-PC $_{\mathbb{Q}}^{\vee}$  refutation and hence on the size of the refutation itself.

► **Theorem 11.** Any Ext-PC $_{\mathbb{Q}}^{\vee}$  refutation of BVP $_n$  requires size  $\Omega(2^n)$ .

**Proof.** Assume that  $\{R_1, \dots, R_t\}$  is an  $\text{Ext-PC}_{\mathbb{Q}}^{\vee}$  refutation of  $\Gamma$  of the size  $S$ . Then we know that  $\{R_1, \dots, R_t\}$  is a  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation of some set  $\Gamma' = \Gamma \cup \{y_1 - Q_1(\vec{x}), \dots, y_m - Q_m(\vec{x}, y_1, \dots, y_{m-1})\}$  where  $Q_i \in \mathbb{Q}[\vec{x}, \vec{y}]$ . Also, we know that  $R_t = M$  for some  $M \in \mathbb{Q}$ .

Consider integers  $M_1, \dots, M_m$  where  $M_i$  is equal to the product of denominators of all coefficients of polynomial  $Q_i$ . Also consider all polynomials  $R_j(\vec{x}, \vec{y})$  which was derived by using linear combination rule which means that  $R_j = \alpha R_i + \beta R_k$ . Then we consider **all** constants  $\alpha$  and  $\beta$  occurring in linear combination derivations in our proof. Let's denote the set of those constants as  $\{\gamma_1, \gamma_2, \dots, \gamma_l\} \subset \mathbb{Q}$ . Now consider the set of all **denominators** of the constants in  $\{\gamma_1, \gamma_2, \dots, \gamma_l\}$  and denote this set as  $\{\delta_1, \delta_2, \dots, \delta_l\} \subset \mathbb{N}$ .

Also consider the products of all denominators of coefficients of polynomials  $\{R_1, \dots, R_t\}$ . We will denote the set of those integers as  $\{L_1, \dots, L_t\} \subset \mathbb{N}$ .

Now we will construct the  $\text{Ext-PC}_{\mathbb{Z}}^{\vee}$  refutation of  $\Gamma$  such that the constant in the end of this proof is equal to  $M_1^{c_1} \cdot M_2^{c_2} \dots M_m^{c_m} \cdot \delta_1^{c_{m+1}} \dots \delta_l^{c_{m+l}} \cdot L_1^{c_{m+l+1}} \dots L_t^{c_{m+l+t}} \cdot M$  where  $\{c_1, c_2, \dots, c_{m+l+t}\} \subset \mathbb{N} \cup \{0\}$ .

Firstly, we will translate polynomials  $Q_i$  into some integer polynomials  $Q'_i$ . Consider  $Q'_1(\vec{x}) = M_1 \cdot Q_1(\vec{x})$  where  $M_1$  is equal to the product of denominators of all coefficients of the polynomial  $Q_1$ . Then  $Q'_1 \in \mathbb{Z}[\vec{x}]$  and  $T_1 = M_1$ . Then consider  $Q'_2(\vec{x}, y'_1) = T_2 \cdot Q_2(\vec{x}, \frac{y'_1}{T_1})$  where  $T_2$  is equal to  $T_1^{\alpha_{11}} \cdot M_2$  where  $\alpha_{11}$  is an **arbitrary** non-negative integer such that  $Q'_2 \in \mathbb{Z}[\vec{x}, y'_1]$ . Then for every  $i$  we consider  $Q'_i(\vec{x}, y'_1, \dots, y'_{i-1}) = T_i \cdot Q_i(\vec{x}, \frac{y'_1}{T_1}, \dots, \frac{y'_{i-1}}{T_{i-1}})$  where  $T_i = T_1^{\alpha_{i1}} \cdot T_2^{\alpha_{i2}} \dots T_{i-1}^{\alpha_{ii-1}} \cdot M_i$  where  $\alpha_{i1}, \dots, \alpha_{ii-1}$  are **arbitrary** integers such that  $Q'_i \in \mathbb{Z}[\vec{x}, y'_1, \dots, y'_{i-1}]$ . Note that we are not interested in the size of the integers  $\alpha_{ij}$  so they could be arbitrary large.

Now we will construct a  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, \dots, R'_s\}$  of the set  $\Gamma'' = \Gamma \cup \{y'_1 - Q'_1(\vec{x}), \dots, y'_m - Q'_m(\vec{x}, y'_1, \dots, y'_{m-1})\}$  of the following form: this refutation duplicates the original refutation  $\{R_1, \dots, R_t\}$  in all cases except when the polynomial  $R_i$  was derived by multiplying by some variable  $y_j$  from some polynomial  $R_k$ . In this case we will multiply corresponding polynomial by  $y'_j$  and then multiply it by  $\frac{1}{T_j}$ .

Formally, we will prove the following claim:

▷ **Claim 12.** There is a  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, \dots, R'_s\}$  of the set  $\Gamma'' = \Gamma \cup \{y'_1 - Q'_1(\vec{x}), \dots, y'_m - Q'_m(\vec{x}, y'_1, \dots, y'_{m-1})\}$  for which the following properties holds:

- For every polynomial  $R'_i(\vec{x}, y'_1, \dots, y'_m)$  one of the following equations holds:  $R'_i(\vec{x}, y_1 \cdot T_1, \dots, y_m \cdot T_m) = R_j(\vec{x}, y_1, \dots, y_m)$  for some  $j$  or  $R'_i(\vec{x}, y_1 \cdot T_1, \dots, y_m \cdot T_m) = T_k \cdot R_j(\vec{x}, y_1, \dots, y_m)$  for some  $k$  and  $j$ .
- If  $R'_i(\vec{x}, y'_1, \dots, y'_m)$  was derived from  $R'_j(\vec{x}, y'_1, \dots, y'_m)$  and  $R'_k(\vec{x}, y_1, \dots, y_m)$  by taking linear combination with rational constants  $\alpha$  and  $\beta$  (which means that  $R'_i = \alpha R'_j + \beta R'_k$ ), then  $\alpha = \frac{1}{T_f}$  and  $\beta = 0$  for some  $f$  or there is some polynomial  $R_h(\vec{x}, y'_1, \dots, y'_m)$  which was derived from some polynomials  $R_k$  and  $R_l$  by using linear combination with constants  $\alpha$  and  $\beta$ .

**Proof of claim.** The proof is an easy (but lengthy) inductive argument and is given in the Appendix A. ◁

Now we will show that  $\Gamma''$  has a  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation in which the constant in the end is equal to

$$M_1^{c_1} \cdot M_2^{c_2} \dots M_m^{c_m} \cdot \delta_1^{c_{m+1}} \dots \delta_l^{c_{m+l}} \cdot L_1^{c_{m+l+1}} \dots L_t^{c_{m+l+t}} \cdot M.$$

In order to do this we will fix a  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, \dots, R'_s\}$  of  $\Gamma''$  with the properties from the Claim 12 and construct a  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation of  $\Gamma''$  by induction. Moreover, we will construct a  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation  $\{R''_1, \dots, R''_f\}$  in which every polynomial  $R''_i$  is equal to  $M_1^{d_1} \cdot M_2^{d_2} \dots M_m^{d_m} \cdot \delta_1^{d_{m+1}} \dots \delta_l^{d_{m+l}} \cdot L_1^{d_{m+l+1}} \dots L_t^{d_{m+l+t}} \cdot R'_i$  for some non-negative integers  $d_1, \dots, d_{m+l+t}$  and some polynomial  $R'_i$ .

Informally, we are going to multiply each line in our  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation by some constant in order to get a correct  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation. But since we cannot divide polynomials in our  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation by any constant, we will duplicate original  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation multiplied by some constant of the form  $M_1^{d_1} \cdot M_2^{d_2} \dots M_m^{d_m} \cdot \delta_1^{d_{m+1}} \dots \delta_l^{d_{m+l}} \cdot L_1^{d_{m+l+1}} \dots L_t^{d_{m+l+t}}$  every time we would like to simulate derivation in the original proof.

**Induction statement.** Let  $\{R'_1, \dots, R'_i\}$  be a  $\text{PC}_{\mathbb{Q}}^{\vee}$  derivation from  $\Gamma''$  with the properties from the Claim 12. Then there exists a  $\text{PC}_{\mathbb{Z}}^{\vee}$  derivation  $\{R''_1, \dots, R''_f\}$  from  $\Gamma''$  such that

- $f \leq 2i^2$ .
- There is some constant  $F_i = M_1^{b_1} \cdot M_2^{b_2} \dots M_m^{b_m} \cdot \delta_1^{b_{m+1}} \dots \delta_l^{b_{m+l}} \cdot L_1^{b_{m+l+1}} \dots L_t^{b_{m+l+t}} \in \mathbb{N}$  such that

$$F_i \cdot R'_1 = R''_{f-i+1}, F_i \cdot R'_2 = R''_{f-i+2}, \dots, F_i \cdot R'_i = R''_f$$

Both *base case of induction* and *induction step* are straightforward derivations and are given in the Appendix B.

So now we have a  $\text{Ext-PC}_{\mathbb{Z}}^{\vee}$  refutation of  $\Gamma$  such that the constant in the end of this refutation is equal to  $M_1^{c_1} \cdot M_2^{c_2} \dots M_m^{c_m} \cdot \delta_1^{c_{m+1}} \dots \delta_l^{c_{m+l}} \cdot L_1^{c_{m+l+1}} \dots L_t^{c_{m+l+t}} \cdot M$ . Suppose that  $M = \frac{p'}{q}$  where  $p \in \mathbb{Z}$  and  $q \in \mathbb{N}$ . Then, from Theorem 9 we know that  $M_1^{c_1} \cdot M_2^{c_2} \dots M_m^{c_m} \cdot \delta_1^{c_{m+1}} \dots \delta_l^{c_{m+l}} \cdot L_1^{c_{m+l+1}} \dots L_t^{c_{m+l+t}} \cdot p'$  is divisible by every prime number less than  $2^n$ . Since  $M_1, \dots, M_m, \delta_1, \dots, \delta_l, L_1, \dots, L_t$  are positive integers we know that  $M_1 \cdot M_2 \dots M_m \cdot \delta_1 \dots \delta_l \cdot L_1 \dots L_t \cdot p'$  is divisible by every prime number less than  $2^n$ . Also we know that

$$\log[M_1] + \dots + \log[M_m] + \log[\delta_1] + \dots + \log[\delta_l] + \log[L_1] + \dots + \log[L_t] + \log[p] \leq O(\text{Size}(S))$$

because all constants  $M_1, \dots, M_m, L_1, \dots, L_t$  are products of denominators in the lines of our refutation  $\{R_1, \dots, R_t\}$  and constants  $\delta_1, \dots, \delta_l$  are denominators of rationals in linear combinations used in our derivation.

On the other hand, we know that

$$M_1 \cdot M_2 \dots M_m \cdot \delta_1 \dots \delta_l \cdot L_1 \dots L_t \cdot p' \geq 2^{2^{\Omega(n)}}$$

since our product is divisible by every prime number less than  $2^n$ . Then we know that  $S \geq 2^{\Omega(n)}$ .  $\blacktriangleleft$

#### 4 Connection between Res-Lin, $\text{Ext-PC}_{\mathbb{Q}}^{\vee}$ and $\text{Ext-PC}_{\mathbb{Q}}$

Following [22], we define Res-Lin proof system.

► **Definition 13.** A *disjunction of linear equations* is of the following general form:

$$(a_1^{(1)}x_1 + \dots + a_n^{(1)}x_n = a_0^{(1)}) \vee \dots \vee (a_1^{(t)}x_1 + \dots + a_n^{(t)}x_n = a_0^{(t)}) \quad (1)$$

where  $t \geq 0$  and the coefficients  $a_i^j$  are *integers* (for all  $0 \leq i \leq n, 1 \leq j \leq t$ ). The semantics of such a disjunction is the natural one: We say that an assignment of integral values to the variables  $x_1, \dots, x_n$  satisfies (1) if and only if there exists  $j \in \{1, \dots, t\}$  so that the equation  $a_1^{(j)}x_1 + \dots + a_n^{(j)}x_n = a_0^{(j)}$  holds under the given assignment.



The **size** of the disjunction of linear equations is  $\sum_{i=1}^n \sum_{j=1}^t |a_i^{(j)}|$  if all coefficients are written in **unary** notation. If all coefficients are written in **binary** notation then the **size** is equal to  $\sum_{i=1}^n \sum_{j=1}^t (\lceil \log |a_i^{(j)}| \rceil + 1)$ .

► **Definition 14.** Let  $K := \{K_1, \dots, K_m\}$  be a collection of disjunctions of linear equations. An Res-Lin proof from  $K$  of a disjunction of linear equations  $D$  is a finite sequence  $\pi = (D_1, \dots, D_l)$  of disjunctions of linear equations, such that  $D_l = D$  and for every  $i \in \{1, \dots, l\}$ , either  $D_i = K_j$  for some  $j \in \{1, \dots, m\}$ , or  $D_i$  is a Boolean axiom  $(x_h = 0) \vee (x_h = 1)$  for some  $h \in \{1, \dots, n\}$ , or  $D_i$  was deduced by one of the following Res-Lin inference rules, using  $D_j, D_k$  for some  $j, k < i$ :

- **Resolution:** Let  $A, B$  be two, possibly empty, disjunctions of linear equations and let  $L_1, L_2$  be two linear equations. From  $A \vee L_1$  and  $B \vee L_2$  derive  $A \vee B \vee (\alpha L_1 + \beta L_2)$  where  $\alpha, \beta \in \mathbb{Z}$ .
- **Weakening:** From a (possibly empty) disjunction of linear equations  $A$  derive  $A \vee L$ , where  $L$  is an arbitrary linear equation over  $\{x_1, \dots, x_n\}$ .
- **Simplification:** From  $A \vee (k = 0)$  derive  $A$ , where  $A$  is a, possibly empty, disjunction of linear equations and  $k \neq 0$  is a constant.
- **Contraction:** From  $A \vee L \vee L$  derive  $A \vee L$ , where  $A$  is a, possibly empty, disjunction of linear equations and  $L$  is some linear equation.

Note that we assume that the order of equations in the disjunction is not significant, while we contract identical equations, especially.

An Res-Lin **refutation** of a collection of disjunctions of linear equations  $K$  is a proof of the empty disjunction from  $K$ . The **size** of an Res-Lin proof  $\pi$  is the total size of all the disjunctions of linear equations in  $\pi$ .

If all coefficients in our Res-Lin proof  $\pi$  are written in the **unary** notation then we denote this proof an Res-Lin<sub>U</sub> derivation. Otherwise, if all coefficients are written in the **binary** notation then we denote this proof an Res-Lin<sub>B</sub> derivation.

► **Note 15.** In the original Res-Lin proof system duplicate linear equations can be discarded from the disjunction. Instead, we will use **contraction** rule explicitly. It is easy to see that both these variants of Res-Lin system are equivalent.

► **Definition 16.** Let  $D$  be a disjunction of linear equations:

$$(a_1^{(1)}x_1 + \dots + a_n^{(1)}x_n = a_0^{(1)}) \vee \dots \vee (a_1^{(t)}x_1 + \dots + a_n^{(t)}x_n = a_0^{(t)})$$

We denote by  $\widehat{D}$  its translation into the following system of polynomial equations:

$$y_1 \cdot y_2 \cdots y_t = 0$$

$$y_1 = a_1^{(1)}x_1 + \dots + a_n^{(1)}x_n - a_0^{(1)}, \quad y_2 = a_1^{(2)}x_1 + \dots + a_n^{(2)}x_n - a_0^{(2)}, \quad \dots, \\ y_t = a_1^{(t)}x_1 + \dots + a_n^{(t)}x_n - a_0^{(t)}$$

If  $D$  is the empty disjunction, we define  $\widehat{D}$  to be the single polynomial equation  $1 = 0$ .

Now we will prove that  $\text{Ext-PC}_{\mathbb{Q}}^{\vee}$  p-simulates Res-Lin<sub>B</sub> and  $\Sigma\Pi\Sigma\text{-PC}_{\mathbb{Q}}$  p-simulates Res-Lin<sub>U</sub>.

► **Theorem 17.** Let  $\pi = (D_1, \dots, D_l)$  be an Res-Lin<sub>B</sub> proof sequence of  $D_l$  from some collection of initial disjunctions of linear equations  $Q_1, \dots, Q_m$ . Also consider  $L_1, \dots, L_t$  – all affine forms that we have in all disjunctions in our Res-Lin<sub>B</sub> proof sequence.

Then, there exists a  $\text{PC}_{\mathbb{Q}}^{\vee}$  proof of  $\widehat{D}_l$  from  $\widehat{Q}_1 \cup \dots \cup \widehat{Q}_m \cup \{y_1 = L_1, y_2 = L_2, \dots, y_t = L_t\}$  of size at most  $O(p(\text{Size}(\pi)))$  for some polynomial  $p$ .

**Proof.** The proof is a straightforward induction and is given in the Appendix C. ◀

Following [13], we define the  $\Sigma\Pi\Sigma$ - $PC_R$  proof system.

► **Definition 18** ([13]). Let  $\Gamma = \{P_1, \dots, P_m\} \subset R[x_1, \dots, x_n]$  be a set of polynomials in variables  $\{x_1, \dots, x_n\}$  over a ring  $R$  such that the system of equations  $P_1 = 0, \dots, P_m = 0$  has no solution. A  $\Sigma\Pi\Sigma$ - $PC_R$  refutation of  $\Gamma$  is a  $PC_R$  refutation of a set  $\Gamma' = \{P_1, \dots, P_m, Q_1, \dots, Q_m\}$  where  $Q_i$  are polynomials of the form  $Q_i = y_i - (a_{i0} + \sum_j a_{ij}x_j)$  for some constants  $a_{ij} \in R$ .

The size of the  $\Sigma\Pi\Sigma$ - $PC_R$  refutation is equal to the size of the  $PC_R$  refutation of  $\Gamma'$ .

► **Theorem 19.** Let  $\pi = (D_1, \dots, D_l)$  be an  $\text{Res-Lin}_U$  proof sequence of  $D_l$ , from some collection of initial disjunctions of linear equations  $Q_1, \dots, Q_m$ . Then, there exists a  $\Sigma\Pi\Sigma$ - $PC_{\mathbb{Q}}$  proof of  $\widehat{D}_l$  from  $\widehat{Q}_1 \cup \dots \cup \widehat{Q}_m$  of size at most  $O(p(\text{Size}(\pi)))$  for some polynomial  $p$ .

**Proof.** To prove this theorem we will use the following lemma from [13]:

► **Lemma 20** ([13], revision 2 of the ECCC report, lemma 7, p.32). Let  $\Gamma = \{P_1, \dots, P_a, Q_1, \dots, Q_b, X, Y\}$  be a set of polynomials such that

$$P_1 = x_1 - (x - 1), P_2 = x_2 - (x - 2), \dots, P_a = x_a - (x - a),$$

$$Q_1 = y_1 - (y - 1), Q_2 = y_2 - (y - 2), \dots, Q_b = y_b - (y - b),$$

$$X = x \cdot x_1 \cdot x_2 \cdots x_a, Y = y \cdot y_1 \cdot y_2 \cdots y_b.$$

Then we can introduce new variables  $z, z_1, \dots, z_{a+b}$  using the  $\Sigma\Pi\Sigma$ - $PC_{\mathbb{Q}}$  extension rule and derive  $\Gamma'$  from  $\Gamma$  in  $\Sigma\Pi\Sigma$ - $PC_{\mathbb{Q}}$  with a derivation of size  $\text{poly}(ab)$ , where  $\Gamma' = \{Z_0, Z_1, \dots, Z_{a+b}, Z\}$  and

$$Z_0 = z - (x + y), Z_1 = z_1 - (x + y + 1), Z_2 = z_2 - (x + y + 2), \dots, Z_{a+b} = z_{a+b} - (x + y + a + b),$$

$$Z = z \cdot z_1 \cdot z_2 \cdots z_{a+b}.$$

Now we will prove the theorem by induction on lines in  $\pi$ .

*Base case:* An  $\text{Res-Lin}_U$  axiom  $Q_i$  is translated into  $\widehat{Q}_i$  and  $\text{Res-Lin}_U$  Boolean axiom  $(x_i = 0) \vee (x_i = 1)$  is translated into  $PC$  axiom  $x_i^2 - x_i = 0$ .

*Induction step:* Now we will simulate all  $\text{Res-Lin}_U$  derivation rules in the  $\Sigma\Pi\Sigma$ - $PC_{\mathbb{Q}}$  proof.

- **Resolution, Weakening, Simplification** rules simulation is the same as in Theorem 17.
- **Contraction:** Assume that  $D_i = A \vee L$  and  $D_j = A \vee L \vee L$  where  $L$  is a linear equation. Then, we have already derived polynomial equations

$$y_{j1} = (a_{j1}^{(1)}x_1 + \dots + a_{jn}^{(1)}x_n - a_{j0}^{(1)}), \dots, y_{jt_{j-1}} = y_{jt_j} = (a_{j1}^{(t_j)}x_1 + \dots + a_{jn}^{(t_j)}x_n - a_{j0}^{(t_j)}),$$

$$y_{j1} \cdot y_{j2} \cdots y_{jt_{j-1}} \cdot y_{jt_j} = 0.$$

Then we can derive  $y_{jt_{j-1}} = y_{jt_j}$  and  $y_{j1} \cdot y_{j2} \cdots y_{jt_{j-2}} \cdot (y_{jt_{j-1}}^2) = 0$ . Using lemma we can introduce new variables  $\{z_{-M}, \dots, z_M\}$  and derive

$$z_{-M} = y_{jt_{j-1}} + M, z_{-M+1} = y_{jt_{j-1}} + M - 1, \dots, z_0 = y_{jt_{j-1}}, z_M = y_{jt_{j-1}} - M,$$

$$z_{-M} \cdot z_{-M+1} \cdots z_{M-1} \cdot z_M = 0,$$

where  $M = |a_{j1}^{(t_{j-1})}| + |a_{j2}^{(t_{j-1})}| + \dots + |a_{jn}^{(t_{j-1})}|$ . Then we can substitute  $y_{jt_j} - k$  for each  $z_k$  one by one and get equation

$$f(y_{jt_{j-1}}) = 0$$

where  $f(y_{jt_{j-1}}) = b_1 \cdot y_{jt_{j-1}} + b_2 \cdot y_{jt_{j-1}}^2 + \dots + b_{2M+1} \cdot y_{jt_{j-1}}^{2M+1}$  is some polynomial from  $\mathbb{Z}[y_{jt_{j-1}}]$  and  $b_1 = (M!)^2 \cdot (-1)^M$ . Then we can derive the following equation by using multiplication rule:

$$y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot f(y_{jt_{j-1}}) = b_1 \cdot y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot y_{jt_{j-1}} + \\ + y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot (y_{jt_{j-1}}^2) \cdot (b_2 + b_3 \cdot y_{jt_{j-1}} + \dots + b_{2M+1} \cdot y_{jt_{j-1}}^{2M-1}) = 0.$$

Now, using the equation  $y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot (y_{jt_{j-1}}^2) = 0$  we can derive  $b_1 \cdot y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot y_{jt_{j-1}} = 0$  and since  $b_1 \neq 0$  we can derive  $y_{j1} \cdot y_{j2} \cdots y_{jt_j-2} \cdot y_{jt_{j-1}} = 0$ . This equation is the last part of  $\widehat{D}_i$  because other parts were derived earlier.

◀

Now we will show that our lower bound provides an interesting counterpart to a result from [18].

► **Theorem 21** ([18]). *Any Res-Lin<sub>B</sub> refutation of  $1 + 2x_1 + \dots + 2^n x_n = 0$  is of the size  $2^{\Omega(n)}$ .*

**Proof.** From Theorem 11 we know that any Ext-PC<sub>Q</sub><sup>√</sup> refutation of BVP<sub>n</sub> requires size  $2^{\Omega(n)}$  and thus from Theorem 17 we know that there is some polynomial  $p$  such that for any Res-Lin<sub>B</sub> refutation of BVP<sub>n</sub> of size  $S$  the equation  $p(S) \geq C_0 \cdot 2^{C_1 \cdot n}$  holds. Then we know that for some constant  $C$  the equation  $S \geq 2^{C \cdot n}$  holds.

◀

Also we will show that there is no straightforward translation of Res-Lin<sub>B</sub> derivations into Ext-PC<sub>Q</sub> refutations.

► **Theorem 22.** *Any Ext-PC<sub>Q</sub>-derivation of  $1 + x_1 + \dots + 2^{n-1} x_n = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1} x_n)^2 = 0$  requires size  $2^{\Omega(n)}$ .*

**Proof.** The proof of this theorem essentially copies the proof of Theorem 11 and consists of two parts. In the first part we prove that if we have an Ext-PC<sub>Z</sub>-derivation of  $M \cdot (1 + x_1 + \dots + 2^{n-1} x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1} x_n)^2 = 0$  where  $M \in \mathbb{Z}$ ,  $M \neq 0$ , then  $M$  is divisible by every prime number less than  $2^n$ .

In the second part we prove that for every Ext-PC<sub>Q</sub>-derivation of  $(1 + x_1 + \dots + 2^{n-1} x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1} x_n)^2 = 0$  there is an Ext-PC<sub>Z</sub>-derivation of  $M_1^{\alpha_1} \cdots M_k^{\alpha_k} \cdot (1 + x_1 + \dots + 2^{n-1} x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1} x_n)^2 = 0$  where  $M_i \in \mathbb{Z}$ ,  $M_i \neq 0$  and  $M_i$  are denominators from the original Ext-PC<sub>Q</sub>-derivation. Then we know that  $M_1 \cdots M_k$  is divisible by all prime numbers less than  $2^n$  and thus the size of the original Ext-PC<sub>Q</sub>-derivation was  $2^{\Omega(n)}$ .

For the full proof see Appendix D.

◀

## Open Problems

1. Theorem 17 says that Ext-PC<sub>Q</sub><sup>√</sup> p-simulates any Res-Lin<sub>B</sub> derivation. However, from Theorem 22 we know that simulation from Theorem 17 doesn't work for Ext-PC<sub>Q</sub>. Is the square root rule necessary, that is, can we p-simulate the Res-Lin<sub>B</sub> refutation in the Ext-PC<sub>Q</sub> proof system?
2. A major question is to prove an exponential lower bound on the size of the  $\Sigma\Pi\Sigma$ -PC<sub>Q</sub> refutation of a translation of formula in CNF.

3. Theorem 21 says that any  $\text{Res-Lin}_B$  refutation of  $\text{BVP}_n$  requires size  $2^{\Omega(n)}$ . Does the exponential lower bound on the size of the  $\text{Res-Lin}_B$  refutation imply the exponential lower bound on the number of lines in the  $\text{Res-Lin}_B$  refutation? Do we necessarily need large coefficients in some  $\text{Res-Lin}_B$  refutations with a small number of lines? Or if there is a  $\text{Res-Lin}_B$  refutation with a small number of lines then there is a  $\text{Res-Lin}_B$  refutation with a small number of lines and small coefficients?

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## A

 Proof of the Claim 12

► **Claim 12.** *There is a  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, \dots, R'_s\}$  of the set  $\Gamma'' = \Gamma \cup \{y'_1 - Q'_1(\vec{x}), \dots, y'_m - Q'_m(\vec{x}, y'_1, \dots, y'_{m-1})\}$  for which the following properties holds:*

- *For every polynomial  $R'_i(\vec{x}, y'_1, \dots, y'_m)$  one of the following equations holds:  $R'_i(\vec{x}, y_1 \cdot T_1, \dots, y_m \cdot T_m) = R_j(\vec{x}, y_1, \dots, y_m)$  for some  $j$  or  $R'_i(\vec{x}, y_1 \cdot T_1, \dots, y_m \cdot T_m) = T_k \cdot R_j(\vec{x}, y_1, \dots, y_m)$  for some  $k$  and  $j$ .*
- *If  $R'_i(\vec{x}, y'_1, \dots, y'_m)$  was derived from  $R'_j(\vec{x}, y'_1, \dots, y'_m)$  and  $R'_k(\vec{x}, y_1, \dots, y_m)$  by taking linear combination with rational constants  $\alpha$  and  $\beta$  (which means that  $R'_i = \alpha R'_j + \beta R'_k$ ), then  $\alpha = \frac{1}{T_f}$  and  $\beta = 0$  for some  $f$  or there is some polynomial  $R_h(\vec{x}, y'_1, \dots, y'_m)$  which was derived from some polynomials  $R_k$  and  $R_l$  by using linear combination with constants  $\alpha$  and  $\beta$ .*

Proof of claim. We will construct  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, R'_2, \dots, R'_s\}$  of the set  $\Gamma''$  by induction.

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**Induction statement.** Let  $\{R_1, \dots, R_i\}$  be a  $\text{PC}_{\mathbb{Q}}^{\vee}$  derivation from  $\Gamma'$ . Then there exists a  $\text{PC}_{\mathbb{Q}}^{\vee}$  derivation  $\{R'_1, \dots, R'_p\}$  from  $\Gamma''$  such that

- $p \leq 2i$ .
- For every  $R_j(x_1, \dots, x_n, y_1, \dots, y_m)$  there exists some  $R'_k(x_1, \dots, x_n, y'_1, \dots, y'_m)$  such that

$$R'_k(x_1, \dots, x_n, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_j(x_1, \dots, x_n, y_1, \dots, y_m).$$

- All the properties mentioned in the claim are true for our derivation  $\{R'_1, \dots, R'_p\}$ .

*Base case:* If  $i = 1$  then  $R_i \in \Gamma'$ . If  $R_i \in \Gamma$  then we can take  $R'_1 = R_i$ . Otherwise, if  $R_i = y_j - Q_j(\vec{x})$  then we can take  $R'_1 = y'_j - Q'_j(\vec{x}, y'_1, \dots, y'_{j-1})$  and  $R'_2 = \frac{y'_j - Q'_j(\vec{x}, y'_1, \dots, y'_{j-1})}{T_j}$ . Then it's obvious that

$$R'_2(\vec{x}, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_1(\vec{x}, y_1, \dots, y_m).$$

*Induction step:* Suppose we have already constructed the  $\text{PC}_{\mathbb{Q}}^{\vee}$  refutation  $\{R'_1, R'_2, \dots, R'_p\}$  for which the induction statement is true. Now we have five cases depending on the way the  $R_{i+1}$  is derived.

**Case 1:** If  $R_{i+1} \in \Gamma'$  then this case is equivalent to the base case of induction.

**Case 2:** If  $R_{i+1} = \alpha R_j + \beta R_l$  then  $R'_{p+1} = \alpha R'_{j'} + \beta R'_{l'}$  where  $R'_{j'}(x_1, \dots, x_n, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_j(x_1, \dots, x_n, y_1, \dots, y_m)$  and  $R'_{l'}(x_1, \dots, x_n, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_l(x_1, \dots, x_n, y_1, \dots, y_m)$ .

**Case 3:** If  $R_{i+1} = x_l \cdot R_j$  then  $R'_{p+1} = x_l \cdot R'_{j'}$  where  $R'_{j'}(x_1, \dots, x_n, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_j(x_1, \dots, x_n, y_1, \dots, y_m)$ .

**Case 4:** If  $R_{i+1}^2 = R_j$  then we take

$$R'_{p+1}(x_1, \dots, x_n, y'_1, \dots, y'_m) = R_{i+1}(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m})$$

By the induction statement we know that

$$R_j(x_1, \dots, x_n, y_1, \dots, y_m) = R'_{j'}(x_1, \dots, x_n, T_1 \cdot y'_1, \dots, T_m \cdot y'_m)$$

for some  $R'_{j'}$ . Thus we know that

$$R_j(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m}) = R'_{j'}(x_1, \dots, x_n, y'_1, \dots, y'_m).$$

So we know that

$$\begin{aligned} R'_{p+1}(x_1, \dots, x_n, y'_1, \dots, y'_m)^2 &= R_{i+1}(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m})^2 = \\ &= R_j(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m}) = R'_{j'}(x_1, \dots, x_n, y'_1, \dots, y'_m) \end{aligned}$$

and  $R'_{p+1}$  is derived from  $R'_{j'}$ .

**Case 5:** If  $R_{i+1} = y_l \cdot R_j$  then we take  $R'_{p+1} = y'_l \cdot R'_{j'}$  and  $R'_{p+2} = \frac{R'_{p+1}}{T_l}$  where  $R'_{j'}(x_1, \dots, x_n, T_1 \cdot y_1, \dots, T_m \cdot y_m) = R_j(x_1, \dots, x_n, y_1, \dots, y_m)$ .

It's easy to see that in all these cases the induction statement stays true.  $\triangleleft$

## B

 Induction form the Theorem 11

**Induction statement.** Let  $\{R'_1, \dots, R'_i\}$  be a  $\text{PC}_{\mathbb{Q}}^{\vee}$  derivation from  $\Gamma''$  with the properties from the Claim 12. Then there exists a  $\text{PC}_{\mathbb{Z}}^{\vee}$  derivation  $\{R''_1, \dots, R''_f\}$  from  $\Gamma''$  such that

- $f \leq 2i^2$ .
- There is some constant  $F_i = M_1^{b_1} \cdot M_2^{b_2} \dots M_m^{b_m} \cdot \delta_1^{b_{m+1}} \dots \delta_l^{b_{m+l}} \cdot L_1^{b_{m+l+1}} \dots L_t^{b_{m+l+t}} \in \mathbb{N}$  such that

$$F_i \cdot R'_1 = R''_{f-i+1}, F_i \cdot R'_2 = R''_{f-i+2}, \dots, F_i \cdot R'_i = R''_f$$

*Base case:* If  $i = 1$  then  $R'_i \in \Gamma''$ . Then we can take  $R''_1 = R'_i$ .

*Induction step:* Suppose we have already constructed the  $\text{PC}_{\mathbb{Z}}^{\vee}$  refutation  $\{R''_1, R''_2, \dots, R''_f\}$  for which the induction statement is true. Then there are four cases depending on the way the  $R'_{i+1}$  is derived.

**Case 1:** If  $R'_{i+1} \in \Gamma''$  then  $F_{i+1} = F_i$  and

$$\begin{aligned} R''_{f+1} = R'_{i+1}, R''_{f+2} = F_{i+1} \cdot R'_1, R''_{f+3} = F_{i+1} \cdot R'_2, \dots, \\ R''_{f+i+1} = F_{i+1} \cdot R'_i, R''_{f+i+2} = F_{i+1} \cdot R'_{i+1} \end{aligned}$$

**Case 2:** If  $R'_{i+1} = x_j R'_l$  or  $R'_{i+1} = y_j R'_l$  then  $F_{i+1} = F_i$ ,

$$R''_{f+1} = F_{i+1} \cdot R'_1, R''_{f+2} = F_{i+1} \cdot R'_2, \dots, R''_{f+i} = F_{i+1} \cdot R'_i$$

and  $R''_{f+i+1} = x_j R''_{f-i+l} = F_{i+1} \cdot R'_{i+1}$  or  $R''_{f+i+1} = y_j R''_{f-i+l} = F_{i+1} \cdot R'_{i+1}$ .

**Case 3:** If  $R'_{i+1} = \alpha R_j + \beta R_k$  where  $\alpha = \frac{p_1}{q_1}$  and  $\beta = \frac{p_2}{q_2}$  where  $\{p_1, q_1, p_2, q_2\} \subset \mathbb{Z}$ . Then we can take  $F_{i+1} = q_1 q_2 F_i$ ,

$$\begin{aligned} R''_{f+1} = q_1 q_2 \cdot R''_{f-i+1} = F_{i+1} \cdot R'_1, R''_{f+2} = q_1 q_2 \cdot R''_{f-i+2} = F_{i+1} \cdot R'_2, \dots, \\ R''_{f+i} = q_1 q_2 \cdot R''_f = F_{i+1} R'_i \end{aligned}$$

and  $R''_{f+i+1} = p_1 q_2 \cdot R''_{f-i+j} + p_2 q_1 \cdot R''_{f-i+k} = M_{i+1} R'_{i+1}$ . From the Claim 12 we know that  $\alpha = \frac{1}{T_k}$  for some  $k$  and  $\beta = 0$ , or  $q_2$  and  $q_1$  are equal to some  $\delta_k$  and  $\delta_r$ . From the induction statement we know that

$$F_i = M_1^{b_1} \cdot M_2^{b_2} \dots M_m^{b_m} \cdot \delta_1^{b_{m+1}} \dots \delta_l^{b_{m+l}} \cdot L_1^{b_{m+l+1}} \dots L_t^{b_{m+l+t}}.$$

Then, since  $T_k = M_1^{r_1 k} \dots M_m^{r_m k}$ , we know that

$$F_{i+1} = M_1^{b'_1} \cdot M_2^{b'_2} \dots M_m^{b'_m} \cdot \delta_1^{b'_{m+1}} \dots \delta_l^{b'_{m+l}} \cdot L_1^{b'_{m+l+1}} \dots L_t^{b'_{m+l+t}},$$

and the induction statement stays true.

**Case 4:** Suppose  $R'_{i+1} = R'_j$ . We know that

$$R'_{i+1}(x_1, \dots, x_n, y'_1, \dots, y'_m) = R_k(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m})$$

or

$$R'_{i+1}(x_1, \dots, x_n, y'_1, \dots, y'_m) = T_h \cdot R_k(x_1, \dots, x_n, \frac{y'_1}{T_1}, \dots, \frac{y'_m}{T_m})$$

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for some  $h$ . Then we can take  $M' = L_k \cdot T_1^{\alpha_1} \cdot T_2^{\alpha_2} \cdots T_m^{\alpha_m} = L_k \cdot M_1^{\alpha_1} \cdot M_2^{\alpha_2} \cdots M_m^{\alpha_m}$  for some non-negative integers  $\alpha_1, \dots, \alpha_m$ , such that  $M' \cdot R_{i+1}'$  is an integer polynomial. We know that such integers  $\alpha_1, \dots, \alpha_m$  exist since  $L_k$  is the product of all denominators of coefficients of polynomial  $R_k$ .

Then we can take  $F_{i+1} = M' \cdot F_i$ . It's obvious that  $F_{i+1} \cdot R_{i+1}'$  is an integer polynomial. Then we can make the following  $\text{PC}_{\mathbb{Z}}^{\vee}$  derivation:

$$\begin{aligned} R_{f+1}'' &= F_i(M')^2 \cdot R_{f-i+j}'' = (F_i M')^2 \cdot R_j', \quad R_{f+2}' = M' \cdot R_{f-i+1}' = F_{i+1} \cdot R_1, \\ R_{f+3}' &= M' \cdot R_{f-i+2}' = F_{i+1} \cdot R_2, \quad \dots, \quad R_{f+i+1}' = M' \cdot R_f' = F_{i+1} R_i. \end{aligned}$$

Then we can take  $R_{f+i+2}'' = F_i M' \cdot R_{i+1}'$  and since  $R_{f+1}'' = (F_i M')^2 \cdot R_j'$  we know that  $(R_{f+i+2}'')^2 = R_{f+1}''$  and we get a correct  $\text{PC}_{\mathbb{Z}}^{\vee}$  derivation.

Since  $M' = L_p \cdot M_1^{\alpha_1} \cdot M_2^{\alpha_2} \cdots M_m^{\alpha_m}$  we know that

$$F_{i+1} = M_1^{b_1'} \cdot M_2^{b_2'} \cdots M_m^{b_m'} \cdot \delta_1^{b_{m+1}'} \cdots \delta_f^{b_{m+i}'} \cdot L_1^{b_{m+i+1}'} \cdots L_t^{b_{m+i+t}'},$$

and the induction statement stays true.

### C Proof of the Theorem 17

► **Theorem 17.** *Let  $\pi = (D_1, \dots, D_l)$  be an  $\text{Res-Lin}_B$  proof sequence of  $D_l$  from some collection of initial disjunctions of linear equations  $Q_1, \dots, Q_m$ . Also consider  $L_1, \dots, L_t$  all affine forms that we have in all disjunctions in our  $\text{Res-Lin}_B$  proof sequence.*

*Then, there exists a  $\text{PC}_{\mathbb{Q}}^{\vee}$  proof of  $\widehat{D}_l$  from  $\widehat{Q}_1 \cup \dots \cup \widehat{Q}_m \cup \{y_1 = L_1, y_2 = L_2, \dots, y_t = L_t\}$  of size at most  $O(p(\text{Size}(\pi)))$  for some polynomial  $p$ .*

**Proof.** We proceed by induction on the number of lines in  $\pi$ .

*Base case:* An  $\text{Res-Lin}_B$  axiom  $Q_i$  is translated into  $\widehat{Q}_i$  and  $\text{Res-Lin}_B$  Boolean axiom  $(x_i = 0) \vee (x_i = 1)$  is translated into PC axiom  $x_i^2 - x_i = 0$ .

*Induction step:* Now we will simulate all  $\text{Res-Lin}_B$  derivation rules in the  $\text{PC}_{\mathbb{Q}}^{\vee}$  proof.

■ **Resolution:** Assume that  $D_i = A \vee B \vee (\alpha L_1 + \beta L_2)$  where  $D_j = A \vee L_1$  and  $D_k = B \vee L_2$ . Then, we have already derived polynomial equations

$$\begin{aligned} y_{j1} &= (a_{j1}^{(1)} x_1 + \dots + a_{jn}^{(1)} x_n - a_{j0}^{(1)}), \quad \dots, \quad y_{jt_j} = (a_{j1}^{(t_j)} x_1 + \dots + a_{jn}^{(t_j)} x_n - a_{j0}^{(t_j)}), \\ y_{k1} &= (a_{k1}^{(1)} x_1 + \dots + a_{kn}^{(1)} x_n - a_{k0}^{(1)}), \quad \dots, \quad y_{kt_k} = (a_{k1}^{(t_k)} x_1 + \dots + a_{kn}^{(t_k)} x_n - a_{k0}^{(t_k)}), \\ y_{j1} \cdot y_{j2} \cdots y_{jt_j} &= 0, \quad y_{k1} \cdot y_{k2} \cdots y_{kt_k} = 0 \end{aligned}$$

where

$$\begin{aligned} A &= (a_{j1}^{(2)} x_1 + \dots + a_{jn}^{(2)} x_n = a_{j0}^{(2)}) \vee \dots \vee (a_{j1}^{(t_j)} x_1 + \dots + a_{jn}^{(t_j)} x_n = a_{j0}^{(t_j)}), \\ B &= (a_{k1}^{(2)} x_1 + \dots + a_{kn}^{(2)} x_n = a_{k0}^{(2)}) \vee \dots \vee (a_{k1}^{(t_k)} x_1 + \dots + a_{kn}^{(t_k)} x_n = a_{k0}^{(t_k)}) \\ L_1 &= (a_{j1}^{(1)} x_1 + \dots + a_{jn}^{(1)} x_n = a_{j0}^{(1)}), \quad L_2 = (a_{k1}^{(1)} x_1 + \dots + a_{kn}^{(1)} x_n = a_{k0}^{(1)}). \end{aligned}$$

Then we can derive  $y_{j1} \cdot y_{j2} \cdots y_{jt_j} \cdot y_{k2} \cdots y_{kt_k} = 0$ ,  $y_{k1} \cdot y_{j2} \cdots y_{jt_j} \cdot y_{k2} \cdots y_{kt_k} = 0$  and thus  $(\alpha y_{j1} + \beta y_{k1}) \cdot y_{j2} \cdots y_{jt_j} \cdot y_{k2} \cdots y_{kt_k} = 0$ . Then there is some equation  $y_i = L_i$  from the set  $\{y_1 = L_1, y_2 = L_2, \dots, y_t = L_t\}$ , for which holds

$$L_i = \alpha(a_{j1}^{(1)} x_1 + \dots + a_{jn}^{(1)} x_n - a_{j0}^{(1)}) + \beta(a_{k1}^{(1)} x_1 + \dots + a_{kn}^{(1)} x_n - a_{k0}^{(1)}).$$

Then we can derive  $y_i = \alpha y_{j1} + \beta y_{k1}$  and  $y_i \cdot y_{j2} \cdots y_{jt_j} \cdot y_{k2} \cdots y_{kt_k} = 0$  which is part of  $\widehat{D}_i$ .



- **Weakening:** Assume that  $D_i = D_j \vee L$  where  $L$  is a linear equation. Then, we have already derived polynomial equations

$$y_{j1} = (a_{j1}^{(1)}x_1 + \dots + a_{jn}^{(1)}x_n - a_{j0}^{(1)}), \dots, y_{jt_j} = (a_{j1}^{(t_j)}x_1 + \dots + a_{jn}^{(t_j)}x_n - a_{j0}^{(t_j)}),$$

$$y_{j1} \cdot y_{j2} \cdots y_{jt_j} = 0.$$

We know that there is some variable  $y_0$  for which  $y_0 = b_1x_1 + \dots + b_nx_n - b_0$  where  $L$  is a linear equation  $b_1x_1 + \dots + b_nx_n = b_0$ . From  $y_{j1} \cdot y_{j2} \cdots y_{jt_j} = 0$  we can derive  $y_0 \cdot y_{j1} \cdot y_{j2} \cdots y_{jt_j} = 0$  which is part of  $\widehat{D}_i$ .

- **Simplification:** Suppose that  $D_i = A$  and  $D_j = A \vee (k = 0)$  where  $k \in \mathbb{Z}, k \neq 0$ . Then, we have already derived polynomial equations

$$y_{j1} = (a_{j1}^{(1)}x_1 + \dots + a_{jn}^{(1)}x_n - a_{j0}^{(1)}), \dots,$$

$$y_{jt_{j-1}} = (a_{j1}^{(t_{j-1})}x_1 + \dots + a_{jn}^{(t_{j-1})}x_n - a_{j0}^{(t_{j-1})}), y_{jt_j} = k,$$

$$y_{j1} \cdot y_{j2} \cdots y_{jt_j} = 0.$$

From equation  $y_{j1} \cdot y_{j2} \cdots y_{jt_j} = 0$  we can derive equation  $y_{j1} \cdot y_{j2} \cdots y_{jt_{j-1}} \cdot k = 0$  from which we can derive  $y_{j1} \cdot y_{j2} \cdots y_{jt_{j-1}} = 0$  which is part of  $\widehat{D}_i$ .

- **Contraction:** Assume that  $D_i = A \vee L$  and  $D_j \vee L \vee L$  where  $L$  is a linear equation. Then, we have already derived polynomial equations

$$y_{j1} = (a_{j1}^{(1)}x_1 + \dots + a_{jn}^{(1)}x_n - a_{j0}^{(1)}), \dots, y_{jt_{j-1}} = y_{jt_j} = (a_{j1}^{(t_j)}x_1 + \dots + a_{jn}^{(t_j)}x_n - a_{j0}^{(t_j)}),$$

$$y_{j1} \cdot y_{j2} \cdots y_{jt_{j-1}} \cdot y_{jt_j} = 0.$$

Then we can derive  $y_{jt_{j-1}} = y_{jt_j}$  and  $y_{j1} \cdot y_{j2} \cdots y_{jt_{j-2}} \cdot (y_{jt_{j-1}}^2) = 0$ . Using multiplication we can derive  $y_{j1}^2 \cdot y_{j2}^2 \cdots y_{jt_{j-2}}^2 \cdot (y_{jt_{j-1}}^2) = 0$  from which we can derive the equation  $y_{j1} \cdot y_{j2} \cdots y_{jt_{j-1}} = 0$  by using the square root rule. This equation is the last part of  $\widehat{D}_i$  because other parts were derived earlier. ◀

## D Proof of the theorem 22

- ▶ **Theorem 22.** Any Ext-PC $_{\mathbb{Q}}$ -derivation of  $1 + x_1 + \dots + 2^{n-1}x_n = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0$  requires size  $2^{\Omega(n)}$ .

**Proof.** Firstly, we need the following claim:

▷ **Claim.** For any Ext-PC $_{\mathbb{Z}}$ -derivation of  $M \cdot (1 + x_1 + \dots + 2^{n-1}x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0$  where  $M \in \mathbb{Z}, M \neq 0$ , constant  $M$  is divisible by every prime number less than  $2^n$ .

**Proof of claim.** Assume that  $\{R_1, \dots, R_t\}$  is an Ext-PC $_{\mathbb{Z}}$ -derivation of  $M \cdot (1 + x_1 + \dots + 2^{n-1}x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0$ . Then we know that  $\{R_1, \dots, R_t\}$  is a PC $_{\mathbb{Z}}$  refutation of some set

$$\Gamma' = \{G(\vec{x}), F_1(\vec{x}), \dots, F_n(\vec{x}), y_1 - Q_1(\vec{x}), \dots, y_m - Q_m(\vec{x}, y_1, \dots, y_{m-1})\}$$

where  $G(\vec{x}) = (1 + \sum_{i=1}^{i=n} 2^{(i-1)}x_i)^2$ ,  $F_i(\vec{x}) = x_i^2 - x_i$ ,  $Q_i \in \mathbb{Z}[\vec{x}, y_1, \dots, y_{i-1}]$  and  $R_t = M \cdot (1 + x_1 + \dots + 2^{n-1}x_n)$ .

Now consider arbitrary integer number  $0 \leq k < 2^n$  and its binary representation  $b_1, \dots, b_n$ . Then  $G(b_1, \dots, b_n) = (k + 1)^2$ ,  $F_i(b_1, \dots, b_n) = b_i^2 - b_i = 0$ . Also consider integers  $c_1, \dots, c_m$  such that  $c_i = Q_i(b_1, \dots, b_n, c_1, c_2, \dots, c_{i-1})$ . Now we will prove by induction that every integer number  $R_i(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k + 1)^2$  and thus  $M$  is divisible by every prime number less than  $2^n$  since  $1 + b_1 + \dots + 2^{n-1}b_n = k + 1$ .

## 21:18 A Lower Bound for Polynomial Calculus with Extension Rule

**Base case:** if  $i = 1$ , then  $R_i = G(b_1, \dots, b_n, c_1, \dots, c_m) = (k+1)^2$  or  $R_i = F_i(b_1, \dots, b_n, c_1, \dots, c_m) = 0$  or  $R_i(b_1, \dots, b_n, c_1, \dots, c_m) = c_i - Q_i(b_1, \dots, b_n, c_1, \dots, c_{i-1}) = 0$  which means that  $R_i$  is divisible by  $(k+1)^2$ .

**Induction step:** suppose we know that  $R_j$  is divisible by  $(k+1)^2$  for any  $j \leq i$ . Now we will show it for  $R_{i+1}$ . There are three cases:

1. If  $R_{i+1} \in \Gamma'$ , then this case is equivalent to the base case and  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k+1)^2$ .
2. If  $R_{i+1} = \alpha R_j + \beta R_s$  for  $\alpha, \beta \in \mathbb{Z}$  and  $j, s \leq i$ , then  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k+1)^2$  because  $R_j(b_1, \dots, b_n, c_1, \dots, c_m)$  and  $R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  are divisible by  $(k+1)^2$  and  $\alpha$  and  $\beta$  are integers.
3. If  $R_{i+1} = x_j R_s$  or  $R_{i+1} = y_j R_s$ , then  $R_{i+1}(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k+1)^2$  because  $R_s(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k+1)^2$  and  $b_i$  and  $c_i$  are integers.

Since every  $R_i(b_1, \dots, b_n, c_1, \dots, c_m)$  is divisible by  $(k+1)^2$ , we know that  $R_t(b_1, \dots, b_n, c_1, \dots, c_m) = M \cdot (k+1)$  is divisible by  $(k+1)^2$ . Then we know that  $M$  is divisible by  $k+1$  and thus  $M$  is divisible by every prime number less than  $2^n$ .

Now assume that  $\{R_1, \dots, R_t\}$  is an Ext-PC $_{\mathbb{Q}}$ -derivation from arbitrary set of equations  $\Gamma \subset \mathbb{Z}[\vec{x}]$  of the size  $S$ . Then we know that  $\{R_1, \dots, R_t\}$  is a PC $_{\mathbb{Q}}^{\vee}$  refutation of some set  $\Gamma' = \Gamma \cup \{y_1 - Q_1(\vec{x}), \dots, y_m - Q_m(\vec{x}, y_1, \dots, y_{m-1})\}$  where  $Q_i \in \mathbb{Q}[\vec{x}, \vec{y}]$ . Like in the proof of Theorem 11 we can consider all products of denominators of polynomials  $Q_i$ ,  $R_i$  and all denominators in linear combination rule. Let's denote those constants as  $T_i$ . We know that  $\prod T_i \leq 2^{\Omega(S)}$ . From the proof of Theorem 11 we know that there is an Ext-PC $_{\mathbb{Z}}$ -derivation  $\{R'_1, \dots, R'_f\}$  from the set  $\Gamma$  for which  $R'_f = T_1^{\alpha_1} \dots T_r^{\alpha_r} R_t$  where  $\alpha_i \in \mathbb{N}$ .

Then we can consider

$$\Gamma = \{(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0, x_1^2 - x_1 = 0, \dots, x_n^2 - x_n = 0\}$$

and  $R_t = 1 + x_1 + \dots + 2^{n-1}x_n$ . Then we know that for every Ext-PC $_{\mathbb{Q}}$ -derivation of  $1 + x_1 + \dots + 2^{n-1}x_n = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0$  of size  $S$  there is an Ext-PC $_{\mathbb{Z}}$ -derivation of  $M \cdot (1 + x_1 + \dots + 2^{n-1}x_n) = 0$  from equation  $(1 + x_1 + \dots + 2^{n-1}x_n)^2 = 0$  where  $M = T_1^{\alpha_1} \dots T_r^{\alpha_r}$  and  $T_1 \dots T_r \leq 2^{\Omega(S)}$ . However, from previous claim we know that  $M$  is divisible by all prime numbers less than  $2^n$ . Then  $T_1^{\alpha_1} \dots T_r^{\alpha_r}$  is divisible by all prime numbers less than  $2^n$  which means that  $T_1 \dots T_r$  is divisible by all prime numbers less than  $2^n$ . Then  $2^{2^{\Omega(n)}} \leq T_1 \dots T_r \leq 2^{\Omega(S)}$  which means that  $S \geq 2^{\Omega(n)}$ .  $\triangleleft$