

On Explicit Branching Programs for the Rectangular Determinant and Permanent Polynomials

V. Arvind

Institute of Mathematical Sciences (HBNI), Chennai, India
arvind@imsc.res.in

Abhranil Chatterjee

Institute of Mathematical Sciences (HBNI), Chennai, India
abhranilc@imsc.res.in

Rajit Datta

Chennai Mathematical Institute, Chennai, India
rajit@cmi.ac.in

Partha Mukhopadhyay

Chennai Mathematical Institute, Chennai, India
partham@cmi.ac.in

Abstract

We study the arithmetic circuit complexity of some well-known family of polynomials through the lens of parameterized complexity. Our main focus is on the construction of explicit algebraic branching programs (ABP) for determinant and permanent polynomials of the *rectangular* symbolic matrix in both commutative and noncommutative settings. The main results are:

- We show an explicit $O^*\left(\binom{n}{\lfloor k/2 \rfloor}\right)$ -size ABP construction for noncommutative permanent polynomial of $k \times n$ symbolic matrix. We obtain this via an explicit ABP construction of size $O^*\left(\binom{n}{\lfloor k/2 \rfloor}\right)$ for $S_{n,k}^*$, noncommutative symmetrized version of the elementary symmetric polynomial $S_{n,k}$.
- We obtain an explicit $O^*(2^k)$ -size ABP construction for the commutative rectangular determinant polynomial of the $k \times n$ symbolic matrix.
- In contrast, we show that evaluating the rectangular noncommutative determinant over rational matrices is $\#W[1]$ -hard.

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1 Introduction

The complexity of arithmetic computations is usually studied in the model of arithmetic circuits and its various restrictions. An *arithmetic circuit* is a directed acyclic graph with each indegree-0 node (called an input gate) labeled by either a variable in $\{x_1, x_2, \dots, x_n\}$ or a scalar from the field \mathbb{F} , and all other nodes (called gates) labeled as either $+$ or \times gate. At a special node (designated the output gate), the circuit computes a multivariate polynomial in $\mathbb{F}[x_1, x_2, \dots, x_n]$. Usually we use the notation $\mathbb{F}[X]$ to denote the polynomial ring $\mathbb{F}[x_1, x_2, \dots, x_n]$.



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Arithmetic computations are also considered in the noncommutative setting. The free noncommutative ring $\mathbb{F}\langle y_1, y_2, \dots, y_n \rangle$ is usually denoted by $\mathbb{F}\langle Y \rangle^1$. In the ring $\mathbb{F}\langle Y \rangle$, monomials are words in Y^* and polynomials in $\mathbb{F}\langle Y \rangle$ are \mathbb{F} -linear combinations of words. We define noncommutative arithmetic circuits essentially as their commutative counterparts. The only difference is that at each product gate in a noncommutative circuit there is a prescribed left to right ordering of its inputs.

A more restricted model than arithmetic circuits are algebraic branching programs. An *algebraic branching program* (ABP) is a directed acyclic graph with one in-degree-0 vertex called *source*, and one out-degree-0 vertex called *sink*. The vertex set of the graph is partitioned into layers $0, 1, \dots, \ell$, with directed edges only between adjacent layers (i to $i + 1$). The source and the sink are at layers zero and ℓ respectively. Each edge is labeled by a linear form over variables x_1, x_2, \dots, x_n . The polynomial computed by the ABP is the sum over all source-to-sink directed paths of the product of linear forms that label the edges of the path. An ABP is *homogeneous* if all edge labels are homogeneous linear forms. ABPs can be defined in both commutative and noncommutative settings.

The main purpose of the current paper is to present new arithmetic complexity upper bound results, in the form of “optimal” algebraic branching programs, for some important polynomials in both the commutative and noncommutative domains. These results are motivated by our recent work on an algebraic approach to designing efficient parameterized algorithms for various combinatorial problems [1].

We now proceed to define the polynomials and explain the results obtained.

The Elementary Symmetric Polynomial

We first recall the definition of k^{th} elementary symmetric polynomial $S_{n,k} \in \mathbb{F}[X]$, over the n variables $X = \{x_1, x_2, \dots, x_n\}$,

$$S_{n,k}(X) = \sum_{S \subseteq [n]: |S|=k} \prod_{i \in S} x_i.$$

It is well-known that $S_{n,k}(X)$ can be computed by an algebraic branching program of size $O(nk)$. In this paper, we consider the noncommutative symmetrized version $S_{n,k}^*$, in the ring $\mathbb{F}\langle Y \rangle$, defined as:

$$S_{n,k}^*(Y) = \sum_{T \subseteq [n]: |T|=k} \sum_{\sigma \in S_k} \prod_{i \in T} y_{\sigma(i)}.$$

The complexity of the polynomial $S_{n,k}^*$ is first considered by Nisan in his seminal work in noncommutative computation [9]. Nisan shows that any ABP for $S_{n,k}^*$ is of size $\Omega\left(\binom{n}{\lfloor k/2 \rfloor}\right)^2$. Furthermore, Nisan also shows the *existence* of ABP of size $O\left(\binom{n}{\lfloor k/2 \rfloor}\right)$ for $S_{n,k}^*$. However, it is not clear how to construct such an ABP in time $O\left(\binom{n}{\lfloor k/2 \rfloor}\right)$. Note that an ABP of size $O^*(n^k)$ for $S_{n,k}^*$ can be directly constructed in $O^*(n^k)$ time by opening up the expression completely³. The main upper bound question is whether we can achieve any constant factor saving of the parameter k in terms of size and run time of the construction. In this paper, we give such an explicit construction. Note that Nisan’s result also rules out any FPT(k)-size ABP for $S_{n,k}^*$. That also justifies the problem from an *exact computation* point of view.

¹ Throughout the paper, we use X to denote the set of commuting variables and Y, Z to denote the set of noncommuting variables.

² We use $\binom{n}{\lfloor r \rfloor}$ to denote $\sum_{i=0}^r \binom{n}{i}$.

³ In this paper we use the notation $O^*(\cdot)$ freely to suppress the terms asymptotically smaller than the main term.

Rectangular Permanent and Rectangular Determinant Polynomial

The next polynomial of interest in the current paper is rectangular permanent polynomial. Given a $k \times n$ rectangular matrix $X = (x_{i,j})_{1 \leq i \leq k, 1 \leq j \leq n}$ of commuting variables and a $k \times n$ rectangular matrix $Y = (y_{i,j})_{1 \leq i \leq k, 1 \leq j \leq n}$ of noncommuting variables, the rectangular permanent polynomial in commutative and noncommutative domains are defined as follows

$$\text{rPer}(X) = \sum_{\sigma \in I_{k,n}} \prod_{i=1}^k x_{i,\sigma(i)}, \quad \text{rPer}(Y) = \sum_{\sigma \in I_{k,n}} \prod_{i=1}^k y_{i,\sigma(i)}.$$

Here, $I_{k,n}$ is the set of all injections from $[k] \rightarrow [n]$. An alternative view is that $\text{rPer}(X) = \sum_{S \subseteq [n]: |S|=k} \text{Per}(X_S)$ where X_S is the $k \times k$ submatrix where the columns are indexed by the set S . Of course, such a polynomial can be computed in time $O^*(n^k)$ using a circuit of similar size, the main interesting issue is to understand whether the dependence on the parameter k can be improved. It is implicit in the work of Vassilevska and Williams [10] that the $\text{rPer}(X)$ polynomial in the commutative setting can be computed by an algebraic branching program of size $O^*(2^k)$. This problem originates from its connection with combinatorial problems studied in the context of exact algorithm design [10]. In the noncommutative setting, set-multilinearizing $S_{n,k}^*(Y)$ polynomial (i.e. replacing each y_i at position j by $y_{j,i}$), we obtain $\text{rPer}(Y)$ where Y is a $k \times n$ symbolic matrix of noncommuting variables. Using this connection with the explicit construction of $S_{n,k}^*(Y)$ polynomial, we provide an ABP for $\text{rPer}(Y)$ in the *noncommutative setting* of size $O^*\left(\binom{n}{\lfloor k/2 \rfloor}\right)$. The construction time is also similar.

As in the usual commutative case, the noncommutative determinant polynomial of a symbolic matrix $Y = (y_{i,j})_{1 \leq i,j \leq k}$ is defined as follows (the variables in the monomials are ordered from left to right):

$$\text{Det}(Y) = \sum_{\sigma \in S_k} \text{sgn}(\sigma) y_{1,\sigma(1)} \cdots y_{k,\sigma(k)}.$$

Nisan [9] has also shown that any algebraic branching program for the *noncommutative determinant* of a $k \times k$ symbolic matrix must be of size $\Omega(2^k)$. In this paper we give an explicit construction of such an ABP in time $O^*(2^k)$. Here too, the main point is that Nisan has also shown that the lower bound is tight, but we provide an explicit construction.

Moreover, motivated by the result of Vassilevska and Williams [10], we study the complexity of the rectangular determinant polynomial (in commutative domain) defined as follows.

$$\text{rDet}(X) = \sum_{S \in \binom{[n]}{k}} \text{Det}(X_S).$$

We prove that the rectangular determinant polynomial can be computed using $O^*(2^k)$ -size explicit ABP.

Finally, we consider the problem of evaluating the noncommutative rectangular determinant over matrix algebras and show that it is $\#W[1]$ -hard for polynomial dimensional matrices. Hence the noncommutative rectangular determinant is unlikely to have an explicit $O^*(n^{o(k)})$ -size ABP. Recently, we have shown the $\#W[1]$ -hardness of computing noncommutative rectangular permanents over poly-dimensional rational matrices [1]. We note that the noncommutative $n \times n$ determinant over matrix algebras is well-studied, and computing it remains $\#P$ -hard even over 2×2 rational matrices [3, 7, 6]. Our proof technique is based on

Hadamard product of noncommutative polynomials which is also used in [3]. However, the crucial difference is that, to show the #P-hardness of noncommutative determinant, authors in [3] reduce the evaluation of commutative permanent to this case; whereas, #W[1]-the hardness of noncommutative rectangular determinant seems more challenging as commutative rectangular permanent is in FPT. In contrast, we show that the rectangular determinant (and rectangular permanent), whose entries are $r \times r$ matrices over any field, can be computed in time $O^*(2^k r^{2k})$.

Our Results

We first formally define what we mean by *explicit* circuit upper bounds.

► **Definition 1** (Explicit Circuit Upper Bound). *A family $\{f_n\}_{n>0}$ of degree- k polynomials in the commutative ring $\mathbb{F}[x_1, x_2, \dots, x_n]$ (or the noncommutative ring $\mathbb{F}\langle y_1, y_2, \dots, y_n \rangle$) has $q(n, k)$ -explicit upper bounds if there is an $O^*(q(n, k))$ time-bounded algorithm \mathcal{A} that on input $\langle 0^n, k \rangle$ outputs a circuit C_n of size $O^*(q(n, k))$ computing f_n .*

We show the following explicit upper bound results.

► **Theorem 2.**

1. *The family of symmetrized elementary polynomials $\{S_{n,k}^*(Y)\}_{n>0}$ has $\binom{n}{\lfloor k/2 \rfloor}$ -explicit ABPs over any field.*
2. *The noncommutative rectangular permanent family $\{\text{rPer}(Y)\}_{n>0}$, where Y is a $k \times n$ symbolic matrix of variables has $\binom{n}{\lfloor k/2 \rfloor}$ -explicit ABPs.*

► **Remark 3.** We note here that there is an algorithm of run time $O^*(\binom{n}{\lfloor k/2 \rfloor})$ for computing the rectangular permanent over rings and semirings [5]. Our contribution in Theorem 2.2 is that we obtain an $\binom{n}{\lfloor k/2 \rfloor}$ -explicit ABP for it.

► **Theorem 4.**

1. *The family of noncommutative determinants $\{\text{Det}(Y)\}_{k>0}$ has 2^k -explicit ABPs over any field.*
2. *There is a family $\{f_n\}$ of noncommutative degree- k polynomials f_n such that f_n has the same support as $S_{n,k}^*$, and it has 2^k -explicit ABPs. This result holds over any field that has at least n distinct elements.*
3. *The commutative rectangular determinant family $\{\text{rDet}(X)\}_{k>0}$, where X is a $k \times n$ matrix of variables has 2^k -explicit ABPs.*

We stress here that the constructive aspect of the above upper bounds is new. The *existence* of the ABPs claimed in the first two parts of Theorem 2 and the first part of Theorem 4 follows from Nisan's work [9] which shows a tight connection between optimal ABP-size for some $f \in \mathbb{F}\langle X \rangle$ and ranks of the matrices M_r whose rows are labeled by degree r monomials, columns by degree $k - r$ monomials and the $(m_1, m_2)^{\text{th}}$ entry is the coefficient of $m_1 m_2$ in f .

Next we describe the parameterized hardness result for rectangular determinant polynomial when we evaluate over matrix algebras.

► **Theorem 5.** *For any fixed $\epsilon > 0$, evaluating the $k \times n$ rectangular determinant polynomial over $n^\epsilon \times n^\epsilon$ rational matrices is #W[1]-hard, treating k as fixed parameter.*

However, we can easily design an algorithm of run time $O^*(2^k r^{2k})$ for computing the rectangular permanent and determinant polynomials with $r \times r$ matrix entries over any field.

Organization

The paper is organized as follows. In Section 2, we provide the necessary background. The proofs of Theorem 2 and Theorem 4 are given in Section 3 and Section 4 respectively. We prove Theorem 5 in Section 5.

2 Preliminaries

We provide some background results from noncommutative computation. Given a commutative circuit C , we can naturally associate a noncommutative circuit C^{nc} by prescribing an input order at each multiplication gate. This is captured in the following definition.

► **Definition 6.** *Given a commutative circuit C computing a polynomial in $\mathbb{F}[x_1, x_2, \dots, x_n]$, the noncommutative version of C , C^{nc} is the noncommutative circuit obtained from C by fixing an ordering of the inputs to each product gate in C and replacing x_i by the noncommuting variable $y_i : 1 \leq i \leq n$.*

Let $f \in \mathbb{F}[X]$ be a homogenous degree- k polynomial computed by a circuit C , and let $\hat{f}(Y) \in \mathbb{F}\langle Y \rangle$ be the polynomial computed by C^{nc} . Let X_k denote the set of all degree- k monomials over X . As usual, Y^k denotes all degree- k noncommutative monomials (i.e., words) over Y . Each monomial $m \in X_k$ can appear as different noncommutative monomials \hat{m} in \hat{f} . We use the notation $\hat{m} \rightarrow m$ to denote that $\hat{m} \in Y^k$ will be transformed to $m \in X_k$ by substituting x_i for $y_i, 1 \leq i \leq n$. Then, we observe the following, $[m]f = \sum_{\hat{m} \rightarrow m} [\hat{m}]\hat{f}$.

For each monomial $\hat{m} = y_{i_1}y_{i_2} \cdots y_{i_k}$, the permutation $\sigma \in S_k$ maps \hat{m} to the monomial \hat{m}^σ defined as $\hat{m}^\sigma = y_{i_{\sigma(1)}}y_{i_{\sigma(2)}} \cdots y_{i_{\sigma(k)}}$. By linearity, $\hat{f} = \sum_{\hat{m} \in Y^k} [\hat{m}]\hat{f} \cdot \hat{m}$ is mapped by σ to the polynomial, $\hat{f}^\sigma = \sum_{\hat{m} \in Y^k} [\hat{m}]\hat{f} \cdot \hat{m}^\sigma$. This gives the following definition.

► **Definition 7.** *The symmetrized polynomial of f , f^* is degree- k homogeneous polynomial $f^* = \sum_{\sigma \in S_k} \hat{f}^\sigma$.*

Next, we recall the definition of Hadamard product of two polynomials.

► **Definition 8.** *Given polynomials f, g , their Hadamard product is defined as*

$$f \circ g = \sum_m ([m]f \cdot [m]g) \cdot m,$$

where $[m]f$ denotes the coefficient of monomial m in f .

In the commutative setting, computing the Hadamard product is intractable in general. This is readily seen as the Hadamard product of the determinant polynomial with itself yields the permanent polynomial. However, in the noncommutative setting the Hadamard product of two ABPs can be computed efficiently [2].

► **Theorem 9** ([2]). *Given a noncommutative ABP of size S' for degree k polynomial $f \in \mathbb{F}\langle y_1, y_2, \dots, y_n \rangle$ and a noncommutative ABP of size S for another degree k polynomial $g \in \mathbb{F}\langle y_1, y_2, \dots, y_n \rangle$, we can compute a noncommutative ABP of size SS' for $f \circ g$ in deterministic $SS' \cdot \text{poly}(n, k)$ time.*

Let C be a circuit and B an ABP computing homogeneous degree- k polynomials $f, g \in \mathbb{F}\langle Y \rangle$ respectively. Then their Hadamard product $f \circ g$ has a noncommutative circuit of polynomially bounded size which can be computed efficiently [2].

Furthermore, if C is given by black-box access then $f \circ g(a_1, a_2, \dots, a_n)$ for $a_i \in \mathbb{F}, 1 \leq i \leq n$ can be evaluated by evaluating C on matrices defined by the ABP B [3] as follows: For each $i \in [n]$, the transition matrix $M_i \in M_s(\mathbb{F})$ are computed from the noncommutative

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ABP B (which is of size s) that encode layers. We define $M_i[k, \ell] = [x_i]L_{k, \ell}$, where $L_{k, \ell}$ is the linear form on the edge (k, ℓ) . Now to compute $(f \circ g)(a_1, a_2, \dots, a_n)$ where $a_i \in \mathbb{F}$ for each $1 \leq i \leq n$, we compute $C(a_1M_1, a_2M_2, \dots, a_nM_n)$. The value $(f \circ g)(a_1, a_2, \dots, a_n)$ is the $(1, s)^{th}$ entry of the matrix $f(a_1M_1, a_2M_2, \dots, a_nM_n)$.

► **Lemma 10** ([3]). *Given a circuit C and a ABP B computing homogeneous noncommutative polynomials f and g in $\mathbb{F}\langle Y \rangle$, the Hadamard product $f \circ g$ can be evaluated at any point $(a_1, \dots, a_n) \in \mathbb{F}^n$ by evaluating $C(a_1M_1, \dots, a_nM_n)$ where M_1, \dots, M_n are the transition matrices of B , and the dimension of each M_i is the size of B .*

3 The Proof of Theorem 2

In this section, we present the construction of explicit ABPs for $S_{n,k}^*(Y)$ and noncommutative $\text{rPer}(Y)$.

3.1 The construction of ABP for $S_{n,k}^*(Y)$

The construction of the ABP for $S_{n,k}^*(Y)$ is inspired by a inclusion-exclusion based dynamic programming algorithm for the disjoint sum problem [4].

Proof of Theorem 2.1. Let us denote by F the family of subsets of $[n]$ of size exactly $k/2$. Let $\downarrow F$ denote the family of subsets of $[n]$ of size at most $k/2$. For a subset $S \subset [n]$, we define $m_S = \prod_{j \in S} y_j$. Let us define

$$f_S = \sum_{\sigma \in S_{k/2}} \prod_{j=1}^{k/2} y_{i_{\sigma(j)}}$$

where $S \in F$ and $S = \{i_1, i_2, \dots, i_{k/2}\}$, otherwise for subsets $S \notin F$, we define $f_S = 0$. Note that, for each $S \in F$, f_S is the symmetrization of the monomial m_S which we denote by m_S^* (notice Definition 7).

For each $S \in \downarrow F$, let us define $\hat{f}_S = \sum_{S \subseteq A} f_A$ where $A \in F$. We now show, using the inclusion-exclusion principle, that we can express $S_{n,k}^*$ using an appropriate combination of these symmetrized polynomials for different subsets.

► **Lemma 11.**

$$S_{n,k}^* = \sum_{S \in \downarrow F} (-1)^{|S|} \hat{f}_S^2.$$

Proof. Let us first note that, $S_{n,k}^* = \sum_{A \in F} \sum_{B \in F} [A \cap B = \emptyset] f_A f_B$, where we use $[P]$ to denote that the proposition P is true. By the inclusion-exclusion principle:

$$\begin{aligned} S_{n,k}^* &= \sum_{A \in F} \sum_{B \in F} [A \cap B = \emptyset] f_A f_B \\ &= \sum_{A \in F} \sum_{B \in F} \sum_{S \in \downarrow F} (-1)^{|S|} [S \subseteq A \cap B] f_A f_B \\ &= \sum_{S \in \downarrow F} (-1)^{|S|} \sum_{A \in F} \sum_{B \in F} [S \subseteq A] [S \subseteq B] f_A f_B \\ &= \sum_{S \in \downarrow F} (-1)^{|S|} \left(\sum_{A \in F} [S \subseteq A] f_A \right)^2 = \sum_{S \in \downarrow F} (-1)^{|S|} \hat{f}_S^2. \quad \blacktriangleleft \end{aligned}$$

Now we describe two ABPs where the first ABP simultaneously computes f_A for each $A \in F$ and the second one simultaneously computes \hat{f}_S for each $S \in \downarrow F$.

► **Lemma 12.** *There is an $\binom{n}{\downarrow k/2}$ -explicit multi-output ABP B_1 that outputs the collection $\{f_A\}$ for each $A \in F$.*

Proof. First note that, $m_S^* = \sum_{j \in S} m_{S \setminus \{j\}}^* \cdot y_j$. Now, the construction of the ABP is obvious. It consists of $(k/2 + 1)$ layers where layer $\ell \in \{0, 1, \dots, k/2\}$ has $\binom{n}{\ell}$ many nodes indexed by ℓ size subsets of $[n]$. In $(\ell + 1)^{th}$ layer, the node indexed by S is connected to the nodes $S \setminus \{j\}$ in the previous layer with an edge label y_j for each $j \in S$. Clearly, in the last layer, the S^{th} sink node computes f_S . ◀

► **Lemma 13.** *There is an $\binom{n}{\downarrow k/2}$ -explicit multi-output ABP B_2 that outputs the collection $\{\hat{f}_S\}$ for each $S \in \downarrow F$.*

Proof. To construct such an ABP, we use ideas from [4]. We define $\hat{f}_{i,S} = \sum_{S \subseteq A} f_A$ where $S \subseteq A$ and $A \cap [i] = S \cap [i]$. Note that, $\hat{f}_{n,S} = f_S$ and $\hat{f}_{0,S} = \hat{f}_S$. From the definition, it is clear that $\hat{f}_{i-1,S} = \hat{f}_{i,S} + \hat{f}_{i,S \cup \{i\}}$ if $i \notin S$ and $\hat{f}_{i-1,S} = \hat{f}_{i,S}$ if $i \in S$. Hence, we can take a copy of ABP B_1 from Lemma 12, and then simultaneously compute $\hat{f}_{i,S}$ for each $S \in \downarrow F$ and i ranging from n to 0. Clearly, the new ABP B_2 consists of $(n + k/2 + 1)$ many layers and at most $\binom{n}{\downarrow k/2}$ nodes at each layer. The number of edges in the ABP is also linear in the number of nodes. ◀

Let $f = \sum_{m \in Y^k} [m]f \cdot m$ be a noncommutative polynomial of degree k in $\mathbb{F}\langle Y \rangle$. The reverse of f is defined as the polynomial

$$f^R = \sum_{m \in Y^k} [m]f \cdot m^R,$$

where m^R is the reverse of the word m .

► **Lemma 14** (Reversing an ABP). *Suppose B is a multi-output ABP with r sink nodes where the i^{th} sink node computes $f_i \in \mathbb{F}\langle Y \rangle$ for each $i \in [r]$. We can construct an ABP of twice the size of B that computes the polynomial $\sum_{i=1}^r f_i \cdot L_i \cdot f_i^R$ where L_i are affine linear forms.*

Proof. Suppose B has ℓ layers, then we construct an ABP of $2\ell + 1$ layers where the first ℓ layers are the copy of ABP B and the last ℓ layers are the “mirror image” of the ABP B , call it B^R . In the $(\ell + 1)^{th}$ layer we connect the i th sink node of ABP B to the i th source node of B^R by an edge with edge label L_i . Note that, B^R has r source nodes and one sink node and the polynomial computed between i th source node and sink is f_i^R . ◀

Now, applying the construction of Lemma 14 to the multi-output ABP B_2 of Lemma 13 with $L_S = (-1)^{|S|}$ we obtain an ABP that computes the polynomial $\sum_S (-1)^{|S|} \hat{f}_S \cdot \hat{f}_S^R$. Since \hat{f}_S is a symmetrized polynomial, we note that $\hat{f}_S^R = \hat{f}_S$ and using Lemma 11 we conclude that this ABP computes $S_{n,k}^*$. The ABP size is $O(k \binom{n}{\downarrow k/2})$. ◀

3.2 The construction of ABP for rPer(Y)

Proof of Theorem 2.2. A $\binom{n}{\downarrow k/2}$ -explicit ABP for the rectangular permanent polynomial can be obtained easily from the $\binom{n}{\downarrow k/2}$ -explicit ABP for $S_{n,k}^*(Y)$ by careful set-multilinearization. This can be done by simply renaming the variables $y_i : 1 \leq i \leq n$ at the position $1 \leq j \leq k$ by $y_{j,i}$. ◀

4 The Proof of Theorem 4

We divide the proof in three subsections.

4.1 A 2^k -explicit ABP for $k \times k$ noncommutative determinant

In this section, we present an optimal explicit ABP construction for the noncommutative determinant polynomial for the square symbolic matrix. .

Proof of Theorem 4.1. The ABP B has $k + 1$ layers with $\binom{k}{\ell}$ nodes at the layer ℓ for each $0 \leq \ell \leq k$. The source of the ABP is labeled \emptyset and the nodes in layer ℓ are labeled by the distinct size ℓ subsets $S \subseteq [k]$, $1 \leq \ell \leq k$, hence the sink is labeled $[k]$. From the node labeled S in layer ℓ , there are $k - \ell$ outgoing edges $(S, S \cup \{j\})$, $j \in [k] \setminus S$.

Define the sign $\text{sgn}(S, j)$ as $\text{sgn}(S, j) = (-1)^{t_j}$, where t_j is the number of elements in S larger than j . Equivalently, t_j is the number of swaps required to insert j in the correct position, treating S as a sorted list.

For noncommutative determinant polynomial, we connect the set S in the i^{th} layer to a set $S \cup \{j\}$ in the $(i + 1)^{\text{th}}$ layer with the edge label $\text{sgn}(S, j) \cdot y_{i+1, j}$. The source to sink paths in this ABP are in 1-1 correspondence to the node labels on the paths which give subset chains $\emptyset \subset T_1 \subset T_2 \subset \dots \subset T_k = [k]$ such that $|T_i \setminus T_{i-1}| = 1$ for all $i \leq k$. Such subset chains are clearly in 1-1 correspondence with permutations $\sigma \in S_k$ listed as a sequence: $\sigma(1), \sigma(2), \dots, \sigma(k)$, where $T_i = \{\sigma(1), \sigma(2), \dots, \sigma(i)\}$. The following claim spells out the connection between the sign $\text{sgn}(\sigma)$ of σ and the $\text{sgn}(S, j)$ function defined above.

▷ **Claim 15.** For each $\sigma \in S_k$ and $T_i = \{\sigma(1), \sigma(2), \dots, \sigma(i)\}$, we have

$$\text{sgn}(\sigma) = \prod_{i=1}^k \text{sgn}(T_{i-1}, \sigma(i)).$$

Proof. We first note that $\text{sgn}(\sigma) = (-1)^t$, if there are t transpositions $(r_i \ s_i)$, $1 \leq i \leq t$ such that $\sigma \cdot (r_1 \ s_1) \cdot (r_2 \ s_2) \cdot \dots \cdot (r_t \ s_t) = 1$. Equivalently, interpreting this as sorting the list $\sigma(1), \sigma(2), \dots, \sigma(k)$ by swaps $(r_i \ s_i)$, applying these t swaps will sort the list into $1, 2, \dots, k$. As already noted, $\text{sgn}(T_{i-1}, \sigma(i)) = (-1)^{t_i}$, where t_i is the number of swaps required to insert $\sigma(i)$ in the correct position into the sorted order of T_{i-1} (where $\sigma(i)$ is initially placed to the right of T_{i-1}). Hence, $\sum_{i=1}^k t_i$ is the total number of swaps required for this insertion sort procedure to sort $\sigma(1), \sigma(2), \dots, \sigma(k)$. It follows that $\prod_{i=1}^k \text{sgn}(T_{i-1}, \sigma(i)) = (-1)^{\sum_{i=1}^k t_i} = \text{sgn}(\sigma)$, which proves the claim. ◁

The fact that the ABP computes the noncommutative determinant polynomial follows directly from Claim 15 and the edge labels. ◀

4.2 A 2^k -explicit ABP weakly equivalent to $S_{n,k}^*$

A polynomial $f \in \mathbb{F}[X]$ (resp. $\mathbb{F}\langle Y \rangle$) is said to be *weakly equivalent* to a polynomial $g \in \mathbb{F}[X]$ (resp. $\mathbb{F}\langle Y \rangle$), if for each monomial m over X , $[m]f = 0$ if and only if $[m]g = 0$. For the construction of an ABP computing a polynomial weakly equivalent to $S_{n,k}^*$, we will suitably modify the ABP construction described above.

Proof of Theorem 4.2. Let α_i , $1 \leq i \leq n$ be distinct elements from \mathbb{F} . For each $j \in [k] \setminus S$, the edge $(S, S \cup \{j\})$ is labeled by the linear form $\text{sgn}(S, j) \cdot \sum_{i=1}^n \alpha_i^j y_i$, where y_i , $1 \leq i \leq n$ are noncommuting variables. This gives an ABP B of size $O^*(2^k)$.

We show that the polynomial computed by ABP B is weakly equivalent to $S_{n,k}^*$. Clearly, B computes a homogeneous degree k polynomial in the variables $y_i, 1 \leq i \leq n$. We determine the coefficient of a monomial $y_{i_1}y_{i_2} \cdots y_{i_k}$. As noted, each source to sink path in B corresponds to a permutation $\sigma \in S_k$. Along that path the ABP compute the product of linear forms

$$\text{sgn}(\sigma)L_{\sigma(1)}L_{\sigma(2)} \cdots L_{\sigma(k)}, \text{ where } L_{\sigma(q)} = \sum_{i=1}^n \alpha_i^{\sigma(q)} y_i,$$

where the sign is given by the previous claim. The coefficient of monomial $y_{i_1}y_{i_2} \cdots y_{i_k}$ in the above product is given by $\text{sgn}(\sigma) \prod_{q=1}^k \alpha_{i_q}^{\sigma(q)}$. Thus, the coefficient of $y_{i_1}y_{i_2} \cdots y_{i_k}$ in the ABP is given by $\sum_{\sigma \in S_k} \text{sgn}(\sigma) \prod_{q=1}^k \alpha_{i_q}^{\sigma(q)}$, which is the determinant of the $k \times k$ Vandermonde matrix whose q^{th} column is $(\alpha_{i_q}, \alpha_{i_q}^2, \dots, \alpha_{i_q}^k)^T$. Clearly, that determinant is non-zero if and only if the monomial $y_{i_1}y_{i_2} \cdots y_{i_k}$ is multilinear. Clearly the proof works for any field that contains at least n distinct elements. ◀

▶ **Remark 16.** A polynomial $f \in \mathbb{F}(Y)$ is *positively weakly equivalent* to $S_{n,k}^*$, if for each multilinear monomial $m \in Y^k$, $[m]f > 0$. In the above proof, let g be the polynomial computed by ABP B that is weakly equivalent to $S_{n,k}^*$. Clearly, $f = g \circ g$ is positively weakly equivalent to $S_{n,k}^*$, and f has a 4^k -explicit ABP, since B is 2^k -explicit. This follows from Theorem 9. We leave open the problem of finding a 2^k -explicit ABP for some polynomial that is positively weakly equivalent to $S_{n,k}^*$. Such an explicit construction would imply a deterministic $O^*(2^k)$ time algorithm for k -path which is a long-standing open problem [8].

4.3 A 2^k -explicit ABP for $k \times n$ commutative rectangular determinant

In this section, we present the ABP construction for commutative determinant polynomial for $k \times n$ symbolic matrix.

Proof of Theorem 4.3. We adapt the ABP presented in Subsection 4.1. The main difference is that, for the edge $(S, S \cup \{j\})$, the linear form is $\text{sgn}(S, j) \cdot (\sum_{i=1}^n x_{j,i} z_i)$, where $z_i : 1 \leq i \leq n$ are fresh noncommuting variables, and the $x_{j,i} : 1 \leq j \leq k, 1 \leq i \leq n$ are commuting variables.

Then with a similar argument as before, the coefficient of the monomial $z_{i_1}z_{i_2} \cdots z_{i_k}$ where $i_1 < i_2 < \dots < i_k$ is given by $\sum_{\sigma \in S_k} \text{sgn}(\sigma) x_{\sigma(1), i_1} \cdots x_{\sigma(k), i_k}$. Now for a fixed $\sigma \in S_k$, let τ^σ be the injection $[k] \rightarrow [n]$ such that $\tau^\sigma(j) = i_{\sigma^{-1}(j)} : 1 \leq j \leq k$.

Let (j_1, j_2) be an index pair that is an inversion in σ , i.e. $j_1 < j_2$ and $\sigma(j_1) > \sigma(j_2)$. Let $\ell_1 = \sigma(j_1)$ and $\ell_2 = \sigma(j_2)$. So $i_{\tau^\sigma(\ell_1)} = i_{\sigma^{-1}(\ell_1)}$ and $i_{\tau^\sigma(\ell_2)} = i_{\sigma^{-1}(\ell_2)}$. Clearly, $i_{\tau^\sigma(\ell_1)} < i_{\tau^\sigma(\ell_2)}$. Hence:

$$\sum_{\sigma \in S_k} \text{sgn}(\sigma) x_{\sigma(1), i_1} \cdots x_{\sigma(k), i_k} = \sum_{\tau^\sigma \in I_{k,n}} \text{sgn}(\tau^\sigma) x_{1, \tau^\sigma(1)} \cdots x_{k, \tau^\sigma(k)}.$$

Now the idea is to filter out only the good monomials $z_{i_1}z_{i_2} \cdots z_{i_k}$ where $i_1 < i_2 < \dots < i_k$ from among all the monomials. This can be done by taking Hadamard product (using Theorem 9) with the following polynomial,

$$S_{n,k}^{nc}(Z) = \sum_{S=\{i_1 < i_2 < \dots < i_k\}} z_{i_1} z_{i_2} \cdots z_{i_k}.$$

Clearly, $S_{n,k}^{nc}$ has a $\text{poly}(n, k)$ -sized ABP which is just the noncommutative version (see Definition 6) of the well-known ABP for commutative $S_{n,k}$. Finally, we substitute each $z_i = 1$ to get the desired ABP for $\text{rDet}(X)$. ◀

5 Hardness of Evaluating Rectangular Determinant Over Matrix Algebras

In this section we prove a hardness result for evaluating the rectangular determinant over matrix algebras. More precisely, if A is a $k \times n$ matrix whose entries A_{ij} are $n^\epsilon \times n^\epsilon$ rational matrices for a fixed $\epsilon > 0$, then it is $\#W[1]$ -hard to compute $\text{rDet}(A)$. We show this by a reduction from the $\#W[1]$ -complete problem of counting the number of simple k -paths in directed graphs.

However, there is a simple algorithm of run time $O^*(2^k r^{2k})$ to evaluate rectangular permanent or rectangular determinant of size $k \times n$ over matrix algebras of dimension r . The proof is given in the appendix.

For the proof of Theorem 5, we also use the notion of *Graph Polynomial*. Let $G(V, E)$ be a directed graph with n vertices where $V(G) = \{v_1, v_2, \dots, v_n\}$. A k -walk is a sequence of k vertices $v_{i_1}, v_{i_2}, \dots, v_{i_k}$ where $(v_{i_j}, v_{i_{j+1}}) \in E$ for each $1 \leq j \leq k-1$. A k -path is a k -walk where no vertex is repeated. Let A be the adjacency matrix of G , and let z_1, z_2, \dots, z_n be noncommuting variables. Define an $n \times n$ matrix B

$$B[i, j] = A[i, j] \cdot z_i, \quad 1 \leq i, j \leq n.$$

Let $\vec{1}$ denote the all 1's vector of length n . Let \vec{z} be the length n vector defined by $\vec{z}[i] = z_i$. The *graph polynomial* $C_G \in \mathbb{F}\langle Z \rangle$ is defined as

$$C_G(z_1, z_2, \dots, z_n) = \vec{1}^T \cdot B^{k-1} \cdot \vec{z}.$$

Let W be the set of all k -walks in G . The following observation is folklore.

► **Observation 1.**

$$C_G(z_1, z_2, \dots, z_n) = \sum_{v_{i_1} v_{i_2} \dots v_{i_k} \in W} z_{i_1} z_{i_2} \dots z_{i_k}.$$

Hence, G contains a k -path if and only if the graph polynomial C_G contains a multilinear term.

5.1 The Proof of Theorem 5

Let $I_{k,n}$ be the set of injections from $[k] \rightarrow [n]$. Define

$$S := \{f \in I_{2k, 2n} \mid \exists g \in I_{k,n} \text{ such that } \forall i \in [k], f(2i-1) = g(i); f(2i) = n + g(i)\}.$$

Clearly, there is a bijection between S and $I_{k,n}$. We denote each $f \in S$ as f_g where $g \in I_{k,n}$ is the corresponding injection. By a simple counting argument, we observe the following.

► **Observation 2.** For each $f \in S$, $\text{sgn}(f) = (-1)^{\frac{k(k-1)}{2}}$.

Consider a set of noncommuting variables $Y = \{y_{1,1}, y_{1,2}, \dots, y_{2k, 2n}\}$ corresponding to the entries of a $2k \times 2n$ symbolic matrix Y . Given $f \in I_{2k, 2n}$, define $m_f = \prod_{i=1}^{2k} y_{i, f(i)}$.

► **Lemma 17.** There is an ABP B of $\text{poly}(n, k)$ size that computes a polynomial $F \in \mathbb{F}\langle Y \rangle$ such that for each $f \in I_{2k, 2n}$, $[m_f]F = 1$ if $f \in S$ and otherwise $[m_f]F = 0$.

Proof. The ABP B consists of $2k + 1$ layers, labelled $\{0, 1, \dots, 2k\}$. For each even $i \in [0, 2k]$, there is exactly one node q_i at level i . For each odd $i \in [0, 2k]$, there are n nodes $p_{i,1}, p_{i,2}, \dots, p_{i,n}$ at level i . We now describe the edges of B . For each even $i \in [0, 2k - 2]$ and $j \in [n]$, there is an edge from q_i to $p_{i+1,j}$ labelled $y_{i+1,j}$. For each odd $i \in [0, 2k - 1]$ and $j \in [n]$, there is an edge from $p_{i,j}$ to q_{i+1} labelled $y_{i+1,n+j}$. For an injection $f \in I_{2k,2n}$, B contributes a monomial m_f if and only if $f \in S$ and B can be computed in $\text{poly}(n, k)$ time. \blacktriangleleft

Suppose, Y is a $2k \times 2n$ matrix where the $(i, j)^{\text{th}}$ entry is $y_{i,j}$. By Observation 2 and Lemma 17,

$$\text{rDet}(Y) \circ F(Y) = \sum_{f_g \in S} \text{sgn}(f_g) m_{f_g} = (-1)^{\frac{k(k-1)}{2}} \sum_{g \in I_{k,n}} m_{f_g}.$$

Let $Z = \{z_1, \dots, z_n\}$ be a set of noncommuting variables. Define for each $g \in I_{k,n}$, $m'_g = \prod_{i=1}^k z_{g(i)}$. Define a map τ such that $\tau : y_{i,j} \mapsto z_j$ if i is odd, and $\tau : y_{i,j} \mapsto 1$ for even i . In other words, $\tau(m_{f_g}) = m'_g$. Notice that,

$$\text{rDet}(Y) \circ F(Y)|_{\tau} = (-1)^{\frac{k(k-1)}{2}} \sum_{g \in I_{k,n}} m_{f_g}|_{\tau} = (-1)^{\frac{k(k-1)}{2}} \sum_{g \in I_{k,n}} m'_g = (-1)^{\frac{k(k-1)}{2}} S_{n,k}^*(Z).$$

Given a directed graph G on n vertices, we first construct an ABP for the noncommutative graph polynomial C_G over rationals. From the definition, it follows that C_G has a polynomial size ABP. Notice that, $(\text{rDet}(Y) \circ F(Y)|_{\tau}) \circ C_G(Z)(\vec{1}) = S_{n,k}^*(Z) \circ C_G(Z)(\vec{1})$ counts the number of directed k -paths in the graph G , and hence evaluating this term is $\#\text{W}[1]$ -hard. Let us modify the ABP for graph polynomial $C_G(Z)$ by replacing each edge labeled by z_j at i^{th} layer by two edges where the first edge is labeled by $y_{2i-1,j}$ and second one is labeled by $y_{2i,n+j}$. Let $C'_G(Y)$ is the new polynomial computed by the ABP. Notice that, each monomial of the modified graph polynomial looks like $\prod_{i=1}^{2k} y_{i,f(i)}$ for some $f : [2k] \mapsto [2n]$. More importantly, for each k -path $v_{i_1} v_{i_2} \dots v_{i_k}$, if $g \in I_{k,n}$ is the corresponding injection, then $\prod_{i=1}^k z_{g(i)}$ is converted to $\prod_{i=1}^{2k} y_{i,f_g(i)}$ for $f_g \in S$. Notice that, $(\text{rDet}(Y) \circ F(Y)|_{\tau}) \circ C_G(Z) = (\text{rDet}(Y) \circ F(Y) \circ C'_G(Y))|_{\tau}$ and hence, evaluating $(\text{rDet}(Y) \circ F(Y) \circ C'_G(Y))(\vec{1})$ is $\#\text{W}[1]$ -hard.

Now, assume to the contrary, we have an FPT algorithm \mathcal{A} to evaluate $\text{rDet}(Y)$ over matrix inputs. As, $C'_G(Y)$ and $F(Y)$ are computed by ABPs, we obtain an ABP B' computing $C'_G \circ F(Y)$. From ABP B' , we construct the $t \times t$ transition matrices $M_{1,1}, \dots, M_{2k,2n}$ where t is the size of the ABP B' . From Lemma 10 we know that, we are interested to compute $\text{rDet}(Y)$ over the matrix tuple $(M_{1,1}, \dots, M_{2k,2n})$ which is same as invoking the algorithm \mathcal{A} on the following $2k \times 2n$ matrix A : $a_{i,j} = M_{i,j}$. By a simple reduction we get a similar hardness over $n^\epsilon \times n^\epsilon$ dimensional matrix algebras for any fixed $\epsilon > 0$. \blacktriangleleft

6 Conclusion

In this paper, we have presented the construction of explicit algebraic branching programs for noncommutative symmetrized elementary symmetric polynomial, noncommutative rectangular permanent polynomial and commutative rectangular determinant polynomial. Additionally, we present an explicit algebraic branching program for noncommutative square determinant polynomial. In most of the cases the constructions are optimal in the sense of lower bound result of Nisan [9]. It is also shown that evaluating rectangular determinant polynomial over matrix algebras is $\#\text{W}[1]$ -hard. The paper brings out further avenues of research. A very interesting problem is to tightly classify the complexity of computing the commutative rectangular $k \times n$ determinant polynomial. Is it computable in $\text{poly}(n, k)$

time? If not, can one show a complexity theoretic hardness of evaluating the commutative determinant polynomial? We feel that the main obstacle is to interpret rectangular determinant computation combinatorially. Another open end is to construct an explicit algebraic branching program for noncommutative rectangular determinant polynomial of size $O^*(n^{ck})$ for some $c < 1$ similar to the one we have constructed for noncommutative rectangular permanent polynomial.

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A Computing Rectangular Permanent and Determinant over Small Dimensional Algebras

The main result of the section is as follows.

► **Theorem 18.** *Let \mathbb{F} be any field and \mathcal{A} be an r dimensional algebra over \mathbb{F} with basis e_1, e_2, \dots, e_r . Let $\{A_{ij}\}_{\substack{1 \leq i \leq k \\ 1 \leq j \leq n}}$ be a $k \times n$ matrix with $A_{ij} \in \mathcal{A}$. Then $\text{rPer}(A)$ and $\text{rDet}(A)$ can be computed in deterministic $O^*(2^{kr^k})$ time.*

Proof. We present the proof for rectangular permanent. The proof for rectangular determinant is identical. The proof follows easily from expressing each entry $A_{i,j}$ in the standard basis and then rearranging terms. Let e_1, e_2, \dots, e_r be the standard basis for \mathcal{A} over \mathbb{F} . First we note that

$$\begin{aligned}
 \text{rPer}(A) &= \sum_{f \in I_{k,n}} \prod_{i=1}^k A_{if(i)} \\
 &= \sum_{f \in I_{k,n}} \prod_{i=1}^k \sum_{\ell=1}^r A_{if(i)}^{(\ell)} e_{\ell} \\
 &= \sum_{f \in I_{k,n}} \sum_{(t_1, t_2, \dots, t_k) \in [r]^k} \prod_{i=1}^k A_{if(i)}^{(t_i)} \prod_{i=1}^k e_{t_i} \\
 &= \sum_{(t_1, t_2, \dots, t_k) \in [r]^k} \left(\sum_{f \in I_{k,n}} \prod_{i=1}^k A_{if(i)}^{(t_i)} \right) \prod_{i=1}^k e_{t_i}. \tag{1}
 \end{aligned}$$

Now we observe that

$$\sum_{f \in I_{k,n}} \prod_{i=1}^k A_{if(i)}^{(t_i)} = \text{rPer}(A^{(t_1, t_2, \dots, t_k)}),$$

where $A^{(t_1, t_2, \dots, t_k)}$ is the $k \times n$ matrix defined as $A_{ij}^{(t_1, t_2, \dots, t_k)} = A_{ij}^{(t_i)}$. Thus we have

$$\text{rPer}(A) = \sum_{(t_1, t_2, \dots, t_k) \in [r]^k} \text{rPer}(A^{(t_1, t_2, \dots, t_k)}) \prod_{i=1}^k e_{t_i}. \tag{2}$$

For a fixed $(t_1, t_2, \dots, t_k) \in [r]^k$ the value $\text{rPer}(A^{(t_1, t_2, \dots, t_k)})$ can be computed in $O^*(2^k)$ time using the rectangular permanent algorithm [10]. Now we can compute $\text{rPer}(A)$ by computing r^k many such rectangular permanents and putting them together according to equation 2. This gives a deterministic $O^*(2^k r^{2k})$ time algorithm for computing $\text{rPer}(A)$. ◀

As a direct corollary we get the following.

► **Corollary 19.** *Let \mathbb{F} be any field and let A be a $k \times n$ matrix with $A_{ij} \in \mathbb{M}_{r \times r}(\mathbb{F})$. Then $\text{rPer}(A)$ and $\text{rDet}(A)$ can be computed in $O^*(2^k r^k)$ time.*