

# UG-Hardness to NP-Hardness by Losing Half

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## Abstract

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The 2-to-2 Games Theorem of [16, 10, 11, 17] implies that it is NP-hard to distinguish between Unique Games instances with assignment satisfying at least  $(\frac{1}{2} - \varepsilon)$  fraction of the constraints *vs.* no assignment satisfying more than  $\varepsilon$  fraction of the constraints, for every constant  $\varepsilon > 0$ . We show that the reduction can be transformed in a non-trivial way to give a stronger guarantee in the completeness case: For at least  $(\frac{1}{2} - \varepsilon)$  fraction of the vertices on one side, all the constraints associated with them in the Unique Games instance can be satisfied.

We use this guarantee to convert the known UG-hardness results to NP-hardness. We show:

1. Tight inapproximability of approximating independent sets in degree  $d$  graphs within a factor of  $\Omega\left(\frac{d}{\log^2 d}\right)$ , where  $d$  is a constant.
2. NP-hardness of approximate the Maximum Acyclic Subgraph problem within a factor of  $\frac{2}{3} + \varepsilon$ , improving the previous ratio of  $\frac{14}{15} + \varepsilon$  by Austrin *et al.* [4].
3. For any predicate  $P^{-1}(1) \subseteq [q]^k$  supporting a balanced pairwise independent distribution, given a  $P$ -CSP instance with value at least  $\frac{1}{2} - \varepsilon$ , it is NP-hard to satisfy more than  $\frac{|P^{-1}(1)|}{q^k} + \varepsilon$  fraction of constraints.

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## 1 Introduction

Unique Games Conjecture (UGC) is a central open problem in computer science. It states that for a certain constraint satisfaction problem over a large alphabet, called *Unique Games* (UG), it is NP-hard to decide whether a given instance has an assignment that satisfies almost all the constraints or there is no assignment which satisfies even an  $\varepsilon$  fraction of the constraints for a very small constant  $\varepsilon > 0$ .

Since the formulation of the conjecture, it has found interesting connections to tight hardness of approximation result for many optimization problems [14, 15, 19, 23, 13, 18, 20, 21]. One of the most notable implications is the result of Raghavendra [23] which informally can be stated as follows: Assuming the NP-hardness of approximating this single CSP (Unique Games) implies tight hardness for approximating every other constraint satisfaction problem, stated in terms of integrality gap of certain canonical SDP.

Unique Games Conjecture is inspired by the NP-hardness of approximating a problem called Label Cover. A Label Cover instance consists of two sets of variables  $A$  and  $B$  and a bipartite graph  $G$  between them. The variables from  $A$  take values from some alphabet



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$\Sigma_A$  and variables from  $B$  take values from  $\Sigma_B$ . Every edge  $e$  in  $G$  has a  $d$ -to-1 projection constraint  $\pi : \Sigma_A \rightarrow \Sigma_B$ .<sup>1</sup> For an edge  $(a, b)$ , a label  $\alpha$  to  $a$  and a label  $\beta$  to  $b$  satisfies the edge iff  $\pi(\alpha) = \beta$  where  $\pi$  is a constraint on the edge  $(a, b)$ . In this language, Unique Games is a Label Cover instance where all the constraints are 1-to-1. An instance is called  $\varepsilon$ -satisfiable if there exists an assignment  $\sigma : A \cup B \rightarrow \Sigma_A \cup \Sigma_B$ , that satisfies at least  $\varepsilon$  fraction of the edges in the graph.

A recent series of works [16, 10, 11, 17] implies that for a given Label Cover instance with 2-to-1 projection constraints, it is NP-hard to find an  $\varepsilon$ -satisfiable assignment even if the instance is  $(1 - \varepsilon)$ -satisfiable for all  $\varepsilon > 0$ . This directly implies the following inapproximability for Unique Games.

► **Theorem 1.** *For every  $\varepsilon > 0$ , there exists  $\Sigma$  such that for Unique Games instance over  $\Sigma$ , it is NP-hard to distinguish between the following two cases*

- *Yes Case: The instance is  $(\frac{1}{2} - \varepsilon)$ -satisfiable.*
- *No Case: No assignment satisfies  $\varepsilon$  fraction of the constraints.*

Although we do not improve upon this theorem in terms of inapproximability gap, we show a stronger guarantee in the Yes Case. Specifically, we show that in the Yes case, there are at least  $\frac{1}{2} - \varepsilon$  fraction of *vertices* on, say, the left side such that *all* the edges incident on them are satisfied by some assignment and also the instance is left-regular. This clearly implies the above theorem. Formally, the main theorem that we prove is (See Definition 7 for a formal definition of Unique Games):

► **Theorem 2.** *For every  $\delta > 0$  there exists  $L \in \mathbb{N}$  such that the following holds. Given an instance  $G = (A, B, E, [L], \{\pi_e\}_{e \in E})$  of Unique Games, which is regular on the  $A$  side, it is NP-hard to distinguish between the following two cases:*

- *YES case: There exist  $A' \subseteq A$  of size  $(\frac{1}{2} - \delta)|A|$  and assignment that satisfies all the edges incident on  $A$ .*
- *NO case: Every assignment satisfies at most  $\delta$  fraction of the edge constraints.*

We will denote by  $\text{val}(G)$  the maximum, over all assignments, fraction of edges satisfied and  $\text{sval}(G)$  to be the maximum, over all assignments, fraction of vertices in  $A$  such that all its edges are satisfied. Thus, the above theorem says that for every  $\delta$  there exists a label set  $[L]$  such that it is NP-hard to distinguish between the cases  $\text{sval}(G) \geq \frac{1}{2} - \delta$  and  $\text{val}(G) \leq \delta$ .

### 1.1 $(\frac{1}{2} - \varepsilon)$ -satisfiable UG vs. $(1 - \varepsilon)$ -satisfiable UG

Let  $\varepsilon > 0$  be a very small constant. In the  $(1 - \varepsilon)$ -satisfiable Unique Games instance, by simple averaging argument it follows that for any satisfying assignment  $\sigma : A \cup B \rightarrow [L]$ , there exists  $A' \subseteq A$ ,  $|A'| \geq (1 - \sqrt{\varepsilon})|A|$  such that for all  $v \in A'$ , at least  $(1 - \sqrt{\varepsilon})$  fraction of edges of  $v$  are satisfied. Having such a large  $A'$  is crucial in many UG-reductions. For eg. a typical  $k$ -query inner verifier samples  $v \in A$  and  $k$  neighbors of  $u_1, u_2, \dots, u_k$  of  $v$  u.a.r. Thus, with probability at least  $(1 - \sqrt{\varepsilon})(1 - k\sqrt{\varepsilon}) \approx 1$  all the edges  $(u, v_i)$  are satisfied by any  $(1 - \varepsilon)$  satisfying assignment  $\sigma$ .

In contrast to this, if we take  $\frac{1}{2}$ -satisfiable UG then the probability that all the edges  $(v, u_i)$  are satisfied is at most  $\frac{1}{2^k}$  in the worst case. Therefore, in converting the known UG-hardness result to NP-hardness result using the NP-hardness of Unique Games with gap  $(\frac{1}{2} - \varepsilon, \varepsilon)$ , it is not always the case that we lose ‘*only half*’ in the completeness case.

<sup>1</sup> A constraint  $\pi : \Sigma_A \rightarrow \Sigma_B$  is called a  $d$ -to-1 projection constraint, if every  $\beta \in \Sigma_B$  has exactly  $d$  pre-images.

Another important property of the Unique Games instance which was used in many reductions is that in the completeness case, there are 0.99 fraction of vertices on one side such that *all* the edges attached to them are satisfied i.e  $\text{sval}(G) \geq 1 - \delta$  instead of  $\text{val}(G) \geq 1 - \delta$ . For eg., this property was crucial in the hardness of approximating independent sets in bounded degree graphs [3] and in many other reductions [7, 8].

As shown in [19], having completeness  $\text{val}(G) \geq 1 - \delta$  for all sufficiently small  $\delta > 0$  is equivalent to having completeness  $\text{sval}(G) \geq 1 - \delta'$  for all sufficiently small  $\delta' > 0$ . It was crucial in the reduction that the  $\text{val}(G)$  is arbitrarily close to one for the equivalence to hold. We do not know a black-box way of showing the equivalence of  $\text{val}(G) = c$  and  $\text{sval}(G) = c$  for any  $c < 1$ . Thus, in order to prove Theorem 2, with a stronger completeness guarantee, we crucially exploit the structure of the game given by the known proofs of the 2-to-2 theorems.

## 1.2 Implications

Using Theorem 2, we show the following hardness results by going over the known reductions based on the Unique Games Conjecture.

### Independent sets in degree $d$ graphs

The first application is approximating maximum sized independent set in a degree  $d$  graph, where  $d$  is a large constant.

► **Theorem 3.** *It is NP-hard (under randomized reductions) to approximate independent sets in a degree  $d$  graph within a factor of  $O\left(\frac{d}{\log^2 d}\right)$ , where  $d$  is constant.*

This improves the NP-hardness of approximation within a factor  $O\left(\frac{d}{\log^4 d}\right)$ , as shown in Chan [9] as well as shows the tightness of the randomized polynomial time approximation algorithm given by Bansal *et al.* [6].

### Max-Acyclic Subgraph

Given a directed graph  $G(V, E)$ , the Max-Acyclic Subgraph problem is to determine the maximum fraction of edges  $E' \subseteq E$  such that removal of  $E \setminus E'$  makes the graph acyclic (removes all the cycles). We can always make a graph acyclic by removing at most  $\frac{1}{2}$  fraction of the edges and hence it gives a trivial  $\frac{1}{2}$ -approximation algorithm. Guruswami *et al.* [13] showed this is tight by showing that assuming the Unique Games Conjecture, it is NP-hard to approximate Max-Acyclic Subgraph within a factor of  $\frac{1}{2} + \varepsilon$  for all  $\varepsilon > 0$ . In terms of NP-hardness, Austrin *et al.* [4] showed NP-hardness of approximating Max-Acyclic Subgraph within a ratio of  $\frac{14}{15} + \varepsilon$ , improving upon the previous bound of  $\frac{65}{66} + \varepsilon$  by Newman [22]. Our next theorem shows an improved inapproximability of  $\frac{2}{3} + \varepsilon$ . One interesting feature of the hard instance is that it is hard to perform better than the trivial  $\frac{1}{2}$ -approximation on the instance.

► **Theorem 4.** *For all  $\varepsilon > 0$ , given a directed graph  $G(V, E)$ , it is NP-hard to approximate Max-Acyclic Subgraph problem within a factor of  $\frac{2}{3} + \varepsilon$  for all  $\varepsilon > 0$ .*

We note that Theorem 1 along with the reduction from [13] imply NP-hardness of Max-Acyclic Subgraph problem within a factor of  $\frac{4}{5} + \varepsilon$  (See Remark 35 for a proof sketch). Therefore, Theorem 4 improves upon this bound too.

- Select a  $\ell - 1$  dimensional subspace  $L'$  u.a.r.
- Select a  $\ell$  dimensional subspace  $L$  containing  $L'$  u.a.r.
- Check if  $f(L)|_{L'} = h(L')$ .

■ **Figure 1** 2-to-1 Test  $\mathcal{T}_1$ .

### Predicates supporting balanced pairwise independent distributions

The next result is approximating Max- $k$ -CSP(P) for a predicate  $P : [q]^k \rightarrow \{0, 1\}$  where  $P^{-1}(1)$  supports a balanced pairwise independent distribution. In [5], it was shown that assuming UGC, given a  $(1 - \varepsilon)$ -satisfiable instance of Max- $k$ -CSP(P), it is hard to find an assignment that satisfies more than  $\frac{|P^{-1}(1)|}{q^k} + \varepsilon$  fraction of the constraints for any constant  $\varepsilon > 0$ . Note that a random assignment satisfies  $\frac{|P^{-1}(1)|}{q^k}$  fraction of the constraints in expectation and the theorem says that doing better than this even for almost satisfiable instance is UG-hard. If we instead use Theorem 2 as a starting point of the reduction, we get the following NP-hardness result.

► **Theorem 5.** *If a predicate  $P : [q]^k \rightarrow \{0, 1\}$  supports a balanced pairwise independent distribution, then it is NP-hard to find a solution with value  $\frac{|P^{-1}(1)|}{q^k} + \varepsilon$  if a given  $P$ -CSP instance is  $\frac{1}{2} - \varepsilon$  satisfiable, for every  $\varepsilon > 0$ .*

Theorem 2 implies many more NP-hardness results in a straightforward way by going over the known reductions based on UGC, but we shall restrict ourselves to proving only the above three theorems. We only state the following important implication which follows from the result of Raghavendra [23] and our main theorem. We refer to [23] for the definition of  $(c, s)$  SDP integrality gap of a  $P$ -CSP instance.

► **Theorem 6 (Informal).** *For all  $\varepsilon > 0$ , if a  $P$ -CSP has  $(c, s)$  SDP integrality gap instance, then it is NP-hard to distinguish between  $(\frac{c}{2} - \varepsilon)$ -satisfiable instances from at most  $(s + \varepsilon)$ -satisfiable instances.*

The reduction actually gives a stronger result; Instead of completeness  $(\frac{c}{2} - \varepsilon)$  one can get  $(\frac{c}{2} + \frac{r}{2} - \varepsilon)$  where  $r = \frac{|P^{-1}(1)|}{q^k}$  for a predicate  $P : [q]^k \rightarrow \{0, 1\}$ .

## 2 Overview

In this section, we give an overview of the proof of Theorem 2. The main idea which goes in proving Theorem 2 is very simple and we elaborate it next.

Let  $V = \mathbb{F}_2^n$  and  $\binom{V}{\ell}$  denotes the set of all  $\ell$  dimensional subspaces of  $V$ . Consider the following Grassmann 2-to-1 test  $\mathcal{T}_1$  for functions  $f : \binom{V}{\ell} \rightarrow \mathbb{F}_2^\ell$  and  $h : \binom{V}{\ell-1} \rightarrow \mathbb{F}_2^{\ell-1}$ , where for a subspace  $L$  ( $L'$ ),  $f(L)$  ( $h(L')$ ) represents a linear function on the subspace, by fixing an arbitrarily chosen basis of  $L$  ( $L'$ ).

From the test it is clear that for every pair  $(L, L')$  such that  $L' \subseteq L$ , for every linear function  $\beta$  on  $L'$ , there are linear functions  $\alpha_1, \alpha_2$  on  $L$  such that the test passes for any pair  $(\alpha_i, \beta)$ . This gives the 2-to-1 type constraints.

One way to convert a 2-to-1 test to a unique test is by choosing a random  $i \in \{1, 2\}$  for every pair  $(L, L')$  such that  $L' \subseteq L$  and for every linear function  $\beta$  on  $L'$ , and adding the accepting pair  $(\alpha_i, \beta)$  where  $\{(\alpha_1, \alpha_2), \beta\}$  are the original accepting assignments. This does

- Select a  $\ell - 1$  dimensional subspace  $L'$  u.a.r.
- Select a  $\ell$  dimensional subspace  $L$  containing  $L'$  u.a.r. and  $x \in L \setminus L'$  u.a.r.
- Check if  $f(L, x)|_{L'} = h(L')$ .

■ **Figure 2** Unique Test  $\mathcal{T}_2$ .

give a unique test and if  $f$  and  $h$  are restrictions of a global linear function to the subspaces, then with high probability the test passes with probability  $\approx \frac{1}{2}$ . One drawback of this test is that, if we consider a bipartite graph on  $\binom{V}{\ell} \times \binom{V}{\ell-1}$  where two subspaces  $L, L'$  are connected iff  $L' \subseteq L$ , then for any global linear function we can only argue that half the edges are *satisfied* in the sense of the unique test. Note that the uniform distribution on the edges of this bipartite graph is the same as the test distribution  $\mathcal{T}_1$ . Hence, the similar guarantee of satisfying around half the edges stays in the final Unique Games instance created from the works of [16, 10, 11, 17] and hence falls short of proving Theorem 2.

Now we convert it into a Unique Test  $\mathcal{T}_2$  with a guarantee that for around  $\frac{1}{2}$  fraction of the vertices, *all* the edges incident on them are satisfied if the assignments  $f$  and  $h$  are restrictions of a global linear function. Towards this, we modify the domain of  $f$ . We consider two functions  $f : \binom{V}{\ell} \times 2^{[\ell]} \rightarrow \mathbb{F}_2^\ell$  and  $h : \binom{V}{\ell-1} \rightarrow \mathbb{F}_2^{\ell-1}$ . We fix an arbitrary one-to-one correspondence between the elements of  $\ell$  dimension subspace and  $2^{[\ell]}$ . Thus, we can now interpret  $f$  as defined on tuples  $(L, x)$  where  $x \in L$ . We consider the assignments  $f(L, x)$  and  $h(L')$  as linear functions on spaces  $L$  and  $L'$  respectively. Consider the following bipartite graph  $(\binom{V}{\ell} \times 2^{[\ell]}, \binom{V}{\ell-1}, E)$  where  $(L, x)$  is connected to  $L'$  iff  $x \notin L'$  and  $L' \subseteq L$ . The test distribution which we will define next will be uniform on the edges of this graph.

We now put permutation constraint on the edges of the graph. For each vertex  $(L, x)$  we select  $b_{L,x} \in \{0, 1\}$  u.a.r. For an edge  $e \in E$  between  $(L, x)$  and  $L'$  we set the following unique constraint: Extend the linear function given by  $h$  on  $L'$  to a linear function  $\tilde{h}$  on  $\text{span}\{L', x\}$  by setting  $\tilde{h}(x) = b_{L,x}$ . The accepting labels for an edge  $e$  are  $f(L, x)$  and  $h(L')$  such that  $\tilde{h}(\text{span}\{L', x\})|_{L'}$  and  $f(L, x)|_{L'}$  are identical. Note that once  $b_{L,x}$  is chosen, for every label  $f(L, x)$  there is a unique label to its neighbor  $L'$  which satisfies the constraint and also vice-versa.

Suppose  $(f, h)$  are restrictions of a fixed global linear function  $g : V \rightarrow \mathbb{F}_2$  to the respective subspaces. In this case, if  $b_{L,x} \in \{0, 1\}$  is such that  $g(x) = b_{L,x}$ , then the assignments  $(f, h)$  satisfy all the edges incident on  $(L, x)$ . This is because for any edge between  $(L, x)$  and  $L'$ , we have  $\tilde{h}(\text{span}\{L', x\})|_{L'} = f(L, x)|_{L'} = g|_{L'}$ . Since the event  $g(x) = b_{L,x}$  happens with probability  $\frac{1}{2}$ , we get that with high probability for at least  $(\frac{1}{2} - \varepsilon)$  fraction of the vertices on the left, all the edges incident on it are satisfied by the assignment  $(f, h)$  for any constant  $\varepsilon > 0$ .

### 3 Preliminaries

We start by defining the Unique Games.

► **Definition 7** (Unique Games). *An instance  $G = (A, B, E, [L], \{\pi_e\}_{e \in E})$  of the Unique Games constraint satisfaction problem consists of a bipartite graph  $(A, B, E)$ , a set of alphabets  $[L]$  and a permutation map  $\pi_e : [L] \rightarrow [L]$  for every edge  $e \in E$ . Given a labeling  $\ell : A \cup B \rightarrow [L]$ , an edge  $e = (u, v)$  is said to be satisfied by  $\ell$  if  $\pi_e(\ell(v)) = \ell(u)$ .*

*$G$  is said to be at most  $\delta$ -satisfiable if every labeling satisfies at most a  $\delta$  fraction of the edges.*

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We will define the following two quantities related to the satisfiability of the Unique Games instance.

$$\begin{aligned} \text{val}(G) &:= \max_{\sigma: A \cup B \rightarrow [L]} \{\text{fraction of edges in } G \text{ satisfied by } \sigma\}. \\ \text{sval}(G) &:= \max_{\sigma: A \cup B \rightarrow [L]} \left\{ \frac{|A'|}{|A|} \mid \forall e(u, v) \text{ s.t. } u \in A, e \text{ is satisfied by } \sigma \right\}. \end{aligned}$$

The following is a conjecture by Khot [14] which has been used to prove many *tight* inapproximability results.

► **Conjecture 8** (Unique Games Conjecture [14]). *For every sufficiently small  $\delta > 0$  there exists  $L \in \mathbb{N}$  such that given an instance  $\mathcal{G} = (A, B, E, [L], \{\pi_e\}_{e \in E})$  of Unique Games it is NP-hard to distinguish between the following two cases:*

- YES case:  $\text{val}(G) \geq 1 - \delta$ .
- NO case:  $\text{val}(G) \leq \delta$ .

For a linear subspace  $L \subseteq \mathbb{F}_2^n$ , the dimension of  $L$  is denoted by  $\dim(L)$ . For two subspaces  $L_1, L_2 \subseteq \mathbb{F}_2^n$ , we will use  $\text{span}(L_1, L_2)$  to denote the subspace  $\{x_1 + x_2 \mid x_1 \in L_1, x_2 \in L_2\}$ . We will sometimes abuse the notation and write  $\text{span}(x, L)$ , where  $x \in \mathbb{F}_2^n$ , to denote  $\text{span}(\{0, x\}, L)$ . For subspaces  $L_1, L_2$  such that  $L_1 \cap L_2 = \{0\}$ , define  $L_1 \oplus L_2 := \text{span}(L_1, L_2)$ .

For  $0 < \ell < n$ , let  $Gr(\mathbb{F}_2^n, \ell)$  be the set of all  $\ell$  dimensional subspaces of  $\mathbb{F}_2^n$ . Similarly, for a subspace  $L$  of  $\mathbb{F}_2^n$  such that  $\dim(L) > \ell$ , let  $Gr(L, \ell)$  be the set of all  $\ell$  dimensional subspaces of  $\mathbb{F}_2^n$  contained in  $L$ .

## 4 The Reduction

In this section, we go over the reduction in [11] from a gap 3LIN instance to a 2-to-1 Label Cover instance and then show how to reduce it to a Unique Games instance in Section 4.4. We retain most of the notations from [11].

### 4.1 Outer Game

The starting point of the reduction is the following problem:

► **Definition 9** (REG-3LIN). *The instance  $(X, Eq)$  of REG-3LIN consists if variables  $X = \{x_1, x_2, \dots, x_n\}$  taking values in  $\mathbb{F}_2$  and  $\mathbb{F}_2$  linear constraints  $e_1, e_2, \dots, e_m$ , where each  $e_i$  is a linear constraint on 3 variables. The instance is regular in the following ways: every equation consists of 3 distinct variables, every variable  $x_i$  appears in exactly 5 constraints and every two distinct constraints share at most one variable.*

An instance  $(X, Eq)$  is said to be  $t$ -satisfiable if there exists an assignment to  $X$  which satisfies  $t$  fraction of the constraints. We have the following theorem implied by the PCP theorem of [1, 2, 12].

► **Theorem 10.** *There exists an absolute constant  $s < 1$  such that for every constant  $\varepsilon > 0$  it is NP-hard to distinguish between the cases when the instance is at least  $(1 - \varepsilon)$  satisfiable vs. at most  $s$  satisfiable.*

We now define an outer 2-prover 1-round game, parameterized by  $k, q \in \mathbb{Z}^+$  and  $\beta \in (0, 1)$ , which will be the starting point of our reduction. The verifier selects  $k$  constraints  $e_1, e_2, \dots, e_k$  from the instance  $(X, Eq)$  uniformly at random with repetition. If  $e_i$  and  $e_j$  share a variable

for some  $i \neq j$  then accept. otherwise, Let  $x_{i,1}, x_{i,2}, x_{i,3}$  be the variables in constraint  $e_i$ . Let  $X_1 = \cup_{i=1}^k \{x_{i,1}, x_{i,2}, x_{i,3}\}$ . The verifier then selects a subset  $X_2$  of  $X_1$  as follows: for each  $i \in [k]$ , with probability  $(1 - \beta)$  add  $x_{i,1}, x_{i,2}, x_{i,3}$  to  $X_2$  and with probability  $\beta$ , select a variable from  $\{x_{i,1}, x_{i,2}, x_{i,3}\}$  uniformly at random and add it to  $X_2$ .

On top of this, the verifier selects  $q$  pair of *advice* strings  $(s_j, s_j^*)$  where  $s_j \in \{0, 1\}^{X_2}$ , and  $s_j^* \in \{0, 1\}^{X_1}$  for  $1 \leq j \leq q$  as follows : For each  $j \in [q]$ , select  $s_j \in \{0, 1\}^{X_2}$  uniformly at random. The string  $s_j$  can be thought as assigning bits to each of the variables from  $X_2$ . The string  $s_j^* \in \{0, 1\}^{3k}$  is deterministically selected such that its projection on  $X_2$  is same as  $s_j$  and the rest of the coordinates are filled with 0.

The verifier sends  $(X_1, s_1^*, s_2^*, \dots, s_q^*)$  to prover 1 and  $(X_2, s_1, s_2, \dots, s_q)$  to prover 2. The verifier expects an assignment to variables in  $X_i$  from prover  $i$ . The verifier accepts if and only if the assignment to  $X_1$  given by prover 1 satisfies all the equations  $e_1, e_2, \dots, e_k$  and the assignment  $X_2$  given by prover 2 is consistent with the answer of prover 1.

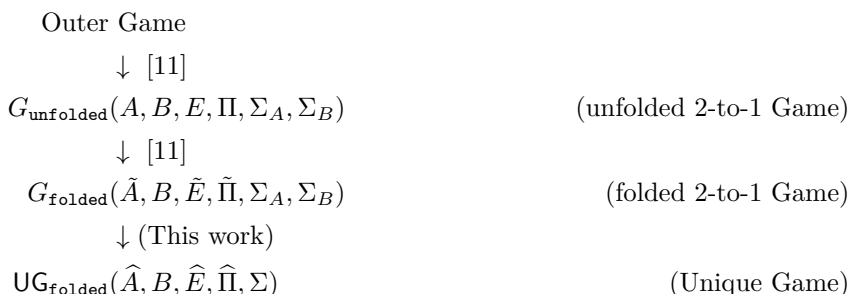
**Completeness:** It is easy to see the completeness case. If the instance  $(X, Eq)$  is  $(1 - \varepsilon)$  satisfiable then there is a provers' strategy which makes the verifier accept with probability at least  $(1 - k\varepsilon)$ . The strategy is to use a fixed  $(1 - \varepsilon)$ -satisfiable assignment and answer according to it. In this case, with probability at least  $(1 - k\varepsilon)$ , the verifier chooses  $k$  constraints which are all satisfied by the fixed assignment and hence the verifier will accept provers' answers.

**Soundness:** Consider the case when the instance  $(X, Eq)$  is at most  $s$ -satisfiable for  $s < 1$  from Theorem 10. If the provers were given only  $X_1$  and  $X_2$  without the advice strings, then the parallel repetition theorem of Raz [24] directly implies that for any provers' strategy, they can make the verifier accept with probability at most  $2^{-\Omega(\beta k)}$ . It turns out that a few advice strings will not give provers any significant advantage. This is formalized in the following theorem.

► **Theorem 11** ([16]). *If the REG-3LIN instance  $(X, Eq)$  is at most  $s < 1$  (from Theorem 10) satisfiable then there is no strategy with which the provers can make the verifier accept with probability greater than  $2^{-\Omega(\beta k/2^q)}$ .*

► **Remark 12.** The importance of advice strings will come later in the proof of soundness. Specifically, the proof of Theorem 23 (from [11] which we use as a black-box) crucially uses the advice strings given to the provers.

To prove our main theorem, the reduction is carried out in three steps:



The first two steps are explained in the next two subsections. These follow from [11]. The main contribution of our work is the last step which is given in Section 4.4.

## 4.2 Unfolded 2-to-1 Game

In this section we reduce REG-3LIN to an instance of 2-to-1 Label cover instance  $G_{\text{unfolded}} = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$ .

A set of  $k$  equations  $(e_1, e_2, \dots, e_k)$  is *legitimate* if the support of equations are pairwise disjoint and for every two different equations  $e_i$  and  $e_j$  and for any  $x \in e_i$  and  $y \in e_j$ , the pair  $\{x, y\}$  does not appear in any equation in  $(X, Eq)$ . Let  $\mathcal{U}$  be the set of all legitimate tuples of  $k$  equations. For  $U \in \mathcal{U}$ , Let  $X_U \subseteq \mathbb{F}_2^n$  be the subspace with support in  $U \subseteq [n]$ . For an equation  $e = (i, j, k) \in U$ , let  $x_e$  be a vector in  $X_U$  where  $x_i = x_j = x_k = 1$  and rest of the coordinates are 0. Denote by  $b_e \in \mathbb{F}_2$  the RHS of the equation  $e$ . Let  $H_U$  be the span of  $\{x_e : e \in U\}$ . Finally, Let  $\mathcal{V}$  be the collection of all sets of variables upto size  $3k$ .

### Vertices $(A, B)$

Let  $\ell \ll k$  which we will set later. The vertex set of the game  $G_{\text{unfolded}}$  is defined as follows:

$$A = \{(U, L) \mid U \in \mathcal{U}, L \in Gr(X_U, \ell), L \cap H_U = \{0\}\}.$$

$$B = \{(V, L') \mid V \in \mathcal{V}, L' \in Gr(X_V, \ell - 1)\}.$$

### Edges $E$

The distribution on edges are defined by the following process: Choose  $X_1$  and  $X_2$  as per the distribution given in the outer verifier conditioned on  $X_1 \in \mathcal{U}$ . Let  $U = X_1$  and  $V = X_2$ . Choose a random subspace  $L' \in Gr(X_V, \ell - 1)$  and a random  $L \in Gr(X_U, \ell)$  such that  $L' \subseteq L$ . Output  $\{(U, L), (V, L')\} \in (A, B)$ .

### Labels $(\Sigma_A, \Sigma_B)$

The label set  $\Sigma_A = \mathbb{F}_2^\ell$  and the label set  $\Sigma_B = \mathbb{F}_2^{\ell-1}$ . A labeling  $\sigma \in \Sigma_A$  to  $(U, L)$  can be thought of as a linear function  $\sigma : L \rightarrow \mathbb{F}_2$ . Similarly the label  $\sigma' \in \Sigma_B$  to a vertex  $(V, L')$  is thought of as a linear function  $\sigma' : L' \rightarrow \mathbb{F}_2$ . This can be done by fixing arbitrary basis of the respective spaces.

## 4.3 Folded 2-to-1 Game

For every assignment to the 3LIN instance, there are many vertices in the graph  $G_{\text{unfolded}}$  which get the same label according to strategy of labeling the vertices in  $G_{\text{unfolded}}$  with respect to the assignment. So we might as well enforce this constraint on the variables in  $G_{\text{unfolded}}$ . This is achieved by *folding*. In this section, we convert  $G_{\text{unfolded}}$  to the following Game  $G_{\text{folded}} = (\tilde{A}, B, \tilde{E}, \tilde{\Pi}, \Sigma_A, \Sigma_B)$ .

### Vertices $(\tilde{A}, B)$

Consider the following grouping of the vertices from  $A$

$$\mathcal{C}(U_0, L_0) = \{(U, L) \in A \mid L_0 \oplus H_U \oplus H_{U_0} = L \oplus H_U \oplus H_{U_0}\}.$$

The following Lemma 13 says that  $\mathcal{C}$  is indeed an equivalence class. We define the vertex set  $\tilde{A}$  as follows:

$$\tilde{A} = \{\mathcal{C}(U, L) \mid (U, L) \in A\}.$$



In other words, there is a vertex for every equivalence class in  $\tilde{A}$ .

► **Lemma 13** ([11]).  $\mathcal{C}$  is an equivalence class: there exists a  $\ell$  dimension subspace  $R_{\mathcal{C}}$  such that for all  $(U, L) \in \mathcal{C}$ ,

$$H_U \oplus L = R_{\mathcal{C}} \oplus H_U.$$

### Edges $\tilde{E}$

Sample  $(U, L)$   $(V, L')$  with respect to  $E$ . Output  $\mathcal{C}(U, L)$ ,  $(V, L')$ .

### Labels $(\Sigma_A, \Sigma_B)$

The label set  $\Sigma_A = \mathbb{F}_2^\ell$ , a label  $\sigma$  to  $\mathcal{C}$  can be thought of as a linear function  $\sigma : R_{\mathcal{C}} \rightarrow \mathbb{F}_2$ . As before, the label  $\sigma' \in \Sigma_B$  to a vertex  $(V, L')$  is thought of as a linear function  $\sigma' : L' \rightarrow \mathbb{F}_2$ .

In order to define the constraints on the edges, we need the following definitions:

► **Definition 14.** For a space  $H_U \oplus L$  such that  $L \cap H_U = \{0\}$  and a linear function  $\sigma : L \rightarrow \mathbb{F}_2$ , the extension of  $\sigma$ , respecting side conditions, to the whole space  $H_U \oplus L$  is a linear function  $\beta : H_U \oplus L \rightarrow \mathbb{F}_2$  such that for all  $e \in U$ ,  $\beta(x_e) = b_e$  and  $\beta|_L = \sigma$ .

Note that there is one to one mapping from a linear function on  $L$  and its extension as all the equations in  $U$  are disjoint and hence  $\{x_e \mid e \in U\}$  form a basis of the space  $H_U$ .

► **Definition 15.** Consider a label  $\sigma$  to a vertex  $\mathcal{C}$  which is a linear function on  $R_{\mathcal{C}}$ . The unfolding of it to the elements of the  $\mathcal{C}$  is given as follows: For  $(U, L) \in \mathcal{C}$ , define a linear function  $\tilde{\sigma}_U : H_U \oplus L \rightarrow \mathbb{F}_2$  such that it is equal to the extension of  $\sigma$  to  $H_U \oplus R_{\mathcal{C}}$  respecting side conditions.

The spaces  $H_U \oplus L$  and  $H_U \oplus R_{\mathcal{C}}$  are the same and hence the above definition makes sense. We are now ready to define the constraints.

### Constraints $\tilde{\Pi}$

Consider linear functions  $\sigma : R_{\mathcal{C}} \rightarrow \mathbb{F}_2$  and  $\sigma' : L' \rightarrow \mathbb{F}_2$ . A pair  $(\sigma, \sigma')$  satisfies the edge  $(\mathcal{C}, (V, L')) \in \tilde{E}$ , if for every  $(U, L) \in \mathcal{C}$  such that  $((U, L), (V, L')) \in E$ , the unfolding  $\tilde{\sigma}_U|_{L'} = \sigma'$ .

We have the following completeness and soundness guarantee of the reduction from [11].

► **Lemma 16** (Completeness). If the REG-3LIN instance  $(X, Eq)$  is  $(1 - \varepsilon)$  satisfiable then there exists  $\tilde{A}' \subseteq \tilde{A}$ ,  $|\tilde{A}'| \geq (1 - k\varepsilon)|\tilde{A}|$  and a labeling to the 2-to-1 Label Cover instance  $G_{\text{folded}}$  such that all the edges incident on  $\tilde{A}'$  are satisfied.

► **Lemma 17** (Soundness). For all  $\delta > 0$ , there exists  $q, k \geq 1$  and  $\beta \in (0, 1)$ , such that if the REG-3LIN instance  $(X, Eq)$  is at most  $s$  satisfiable (where  $s$  is from Theorem 10) then every labeling to  $G_{\text{folded}}$  satisfies at most  $\delta$  fraction of the edges.

## 4.4 Reduction to Unique Games

In this section, we convert  $G_{\text{folded}}$  Label Cover instance to a Unique Games instance with the stronger completeness guarantee that we are after. We will reduce an instance  $G_{\text{folded}} = (\tilde{A}, B, \tilde{E}, \tilde{\Pi}, \Sigma_A, \Sigma_B)$  to an instance of Unique Game  $UG_{\text{folded}} = (\hat{A}, B, \hat{E}, \hat{\Pi}, \Sigma)$ .

### Vertices $(\widehat{A}, B)$

We will split each vertex  $C \in \widehat{A}$  into many copies. Fix an  $\ell$  dimensional subspace  $R_C$  given by Lemma 13. For every  $x \in R_C$  and  $b \in \{0, 1\}$  we add a copy  $C_{x,b}$  to  $\widehat{A}$ .

$$\widehat{A} = \bigcup_{C \in \widehat{A}} \{C_{x,b} \mid x \in R_C, b \in \{0, 1\}\}.$$

### Edges $\widehat{E}$

The distribution on the edge set  $\widehat{E}$  is as follows: We first pick  $((U, L), (V, L'))$  according to the distribution  $E$ . Let  $(U, L) \in \mathcal{C}$ . We then select  $y \in (H_U \oplus L) \setminus (H_U \oplus L')$  and  $b \in \{0, 1\}$  uniformly at random. Note that  $\dim(\text{span}\{y, H_U\} \cap R_C) = 1$  since  $y \notin H_U$ . Let  $x \in \text{span}\{y, H_U\} \cap R_C$  be the non-zero vector. Output  $(C_{x,b}, (V, L'))$ .

▷ **Claim 18.**  $x$  is distributed uniformly in  $R_C \setminus (H_U \oplus L')$  conditioned on  $(U, V, L, L')$ .

*Proof.* We first claim that  $x \in R_C \setminus (H_U \oplus L')$  by showing  $x \notin H_U \oplus L'$ . Suppose not, then we can write  $x = h + x'$  where  $h \in H_U$  and  $x' \in L'$ . We also know that  $x \in \text{span}\{y, H_U\}$  and thus  $x$  can be written as  $x = \tilde{h} + y$  where  $\tilde{h} \in H_U$ . This implies  $h + x' = \tilde{h} + y$ . In other words,  $y = h + \tilde{h} + x' \in H_U \oplus L'$ , a contradiction.

Since each  $y \in (H_U \oplus L) \setminus (H_U \oplus L')$  gives a unique non-zero  $x \in \text{span}\{y, H_U\} \cap R_C$ , we will show that the number of  $y \in (H_U \oplus L) \setminus (H_U \oplus L')$  which gives a fixed  $x$  is same for all  $x \in R_C \setminus (H_U \oplus L')$  and this will prove the claim.

Fix any  $\tilde{x} \in R_C \setminus (H_U \oplus L')$ . We now claim that the set of all  $y \in (H_U \oplus L) \setminus (H_U \oplus L')$  that gives  $\tilde{x}$  is  $\text{span}\{\tilde{x}, H_U\} \setminus H_U$ . Clearly, for any  $y \notin \text{span}\{\tilde{x}, H_U\} \setminus H_U$ ,  $\tilde{x} \notin \text{span}\{y, H_U\}$  and also for every  $y \in \text{span}\{\tilde{x}, H_U\} \setminus H_U$ ,  $\tilde{x} \in \text{span}\{y, H_U\}$ . Thus, it remains to show that  $\text{span}\{x, H_U\} \setminus H_U \subseteq (H_U \oplus L) \setminus (H_U \oplus L')$  for all  $x \in R_C \setminus (H_U \oplus L')$ .

To prove the inclusion, suppose for contradiction  $\text{span}\{x, H_U\} \cap (H_U \oplus L') \neq \emptyset$ . This means  $x + h = \tilde{h} + v'$  for some  $h, \tilde{h} \in H_U$  and  $v' \in L'$ . This implies  $x = h + \tilde{h} + v' \in H_U \oplus L'$  contradicting  $x \in R_C \setminus (H_U \oplus L')$ . ◁

### Labels $\Sigma$

The label set  $\Sigma = \mathbb{F}_2^{\ell-1}$ , a label  $\sigma$  to  $C_{x,b}$  can be thought of as a linear function  $\sigma : R_C \rightarrow \mathbb{F}_2$  such that  $\sigma(x) = b$ . It is easy to see that there is a one-to-one correspondence between a label  $\sigma$  and a linear function  $\tilde{\sigma}$  on  $R_C$ . Similar to the previous case of  $G_{\text{folded}}$ , a label from  $\Sigma (= \Sigma_B)$  to a vertex  $(V, L')$  in  $B$  is interpreted as a linear function  $\sigma' : L' \rightarrow \mathbb{F}_2$ .

We define an analogous unfolding of label to vertices in  $\widehat{A}$  to the elements of the corresponding equivalence class. Since the label sets are different, for a label  $\sigma$  to  $C_{x,b}$  (thought of as a linear function on  $R_C$  respecting  $\sigma(x) = b$ ) we use the notation  $\widehat{\sigma}_U$  to denote its unfolding to  $(U, L) \in \mathcal{C}_{x,b}$ .

### 1-to-1 Constraints $\widehat{\Pi}$

Finally the constraint  $\pi_e : \Sigma \rightarrow \Sigma$  between the endpoints of an edge  $e = (C_{x,b}, (V, L'))$  is given as follows: Consider linear functions  $\sigma : R_C \rightarrow \mathbb{F}_2$  respecting  $\sigma(x) = b$  and  $\sigma' : L' \rightarrow \mathbb{F}_2$ . A pair  $(\sigma, \sigma') \in \pi_e$  if for every  $(U, L) \in \mathcal{C}$  such that  $((U, L), (V, L')) \in E$  and  $\text{span}\{x, H_U\} \cap L' = \{0\}$ , the unfolding  $\widehat{\sigma}_U$  satisfies  $\widehat{\sigma}_U|_{L'} = \sigma'$ .

To see that every  $\sigma'$  has a unique preimage, for any linear function  $\sigma' : L' \rightarrow \mathbb{F}_2$ , there is a unique linear function  $\sigma : R_C \rightarrow \mathbb{F}_2$  such that  $\sigma(x) = b$  satisfying the above conditions. This is because of the following claim.

▷ **Claim 19.** Any basis for  $L'$  along with  $x$  and  $\{x_e : e \in U\}$  form a basis for  $H_U \oplus R_C$  for every  $(U, L) \in \mathcal{C}$ .

*Proof.* Let us unwrap the conditions for putting an edge between  $(V, L')$  and  $\mathcal{C}_{x,b}$ . One necessary condition is that  $(\mathcal{C}, (V, L'))$  should be an edge in  $\tilde{E}$ . By the definition of  $\tilde{E}$ , there exists  $(U, L) \in \mathcal{C}$  such that  $L' \subseteq L$ . Recall,  $x$  is such that there exists  $y \in (H_U \oplus L) \setminus (H_U \oplus L')$  such that  $\dim(\text{span}\{y, H_U\} \cap R_C) = 1$  and  $x \in \text{span}(y, H_U) \cap R_C$ . Therefore  $x \in (H_U \oplus L) \setminus (H_U \oplus L')$  and hence  $\dim(\text{span}\{x, H_U \oplus L'\}) = k + \ell$  (as  $H_U \cap L' = \{0\}$ ). This implies that any basis of  $L'$ , basis  $\{x_e : e \in U\}$  of  $H_U$  and  $x$  span  $H_U \oplus L$ . Since by Lemma 13 the space  $H_U \oplus L$  is same as the space  $H_U \oplus R_C$ , the claim follows. ◁

We now show the completeness and soundness of the Unique Games instance:

► **Theorem 20** ([11]). *If the REG-3LIN instance is  $(1 - \varepsilon)$ -satisfiable, then there exists  $\tilde{A}' \subseteq \tilde{A}$ ,  $|\tilde{A}'| \geq (1 - k\varepsilon)|\tilde{A}|$  and a labeling to the 2-to-1 Label Cover instance  $G_{\text{folded}}$  such that all the edges incident on  $\tilde{A}'$  are satisfied.*

► **Lemma 21** (Completeness). *For all  $\varepsilon > 0$ , if there exists  $\tilde{A}' \subseteq \tilde{A}$ ,  $|\tilde{A}'| \geq (1 - k\varepsilon)|\tilde{A}|$  and a labeling to the 2-to-1 Label Cover instance  $G_{\text{folded}}$  such that all the edges incident on  $\tilde{A}'$  are satisfied then there exists  $\hat{A}' \subseteq \hat{A}$ ,  $|\hat{A}'| \geq (\frac{1-k\varepsilon}{2})|\hat{A}|$  and a labeling to Unique Games instance  $\text{UG}_{\text{folded}}$  such that all the edges incident on  $\hat{A}'$  are satisfied.*

**Proof.** Fix a labeling  $(\tilde{A}, \tilde{B})$  to  $G_{\text{folded}}$  where  $\tilde{A} : \tilde{A} \rightarrow \Sigma_A$  and  $\tilde{B} : B \rightarrow \Sigma_B$  which satisfies all the edges incident on  $(1 - k\varepsilon)$  fraction of the vertices in  $\tilde{A}$ . We will construct a labeling  $(\hat{A}, \hat{B})$  to the instance  $\text{UG}_{\text{folded}}$ , where  $\hat{A} : \hat{A} \rightarrow \Sigma$  and  $\hat{B} : B \rightarrow \Sigma$  which will satisfy all the edges adjacent to at least  $\frac{(1-k\varepsilon)}{2}$  fraction of vertices  $\hat{A}$  in  $\text{UG}_{\text{folded}}$ .

We will set  $\hat{B} = \tilde{B}$ . Now to assign a label to  $\mathcal{C}_{x,b} \in \hat{A}$ , we look at the labeling  $\sigma := \tilde{A}(\mathcal{C}) \in \mathbb{F}_2^\ell$  as a linear function  $\sigma : R_C \rightarrow \mathbb{F}_2$ . If  $\sigma(x) = b$ , we set  $\hat{A}(\mathcal{C}_{x,b})$  to be the same linear function  $\sigma : R_C \rightarrow \mathbb{F}_2$  respecting  $\sigma(x) = b$ . Otherwise, we set  $\hat{A}(\mathcal{C}_{x,b}) = \perp$ . It is obvious that exactly half the vertices in  $\hat{A}$  got assigned a label in  $\Sigma$ .

▷ **Claim 22.** If the label  $\tilde{A}(\mathcal{C})$  to  $\mathcal{C}$  satisfies all the edges incident on it, then the label  $\hat{A}(\mathcal{C}_{x,b})$  satisfies all the edges incident on  $\mathcal{C}_{x,b}$ , unless  $\hat{A}(\mathcal{C}_{x,b}) = \perp$ .

*Proof.* For convenience let  $\hat{\sigma} = \tilde{A}(\mathcal{C})$ . If we let  $\Gamma(\mathcal{C}) \subseteq B$  to be the neighbors of  $\mathcal{C}$  in  $G_{\text{folded}}$ , then the set of neighbors of  $\mathcal{C}_{x,b}$  is a subset of  $\Gamma(\mathcal{C})$ . Furthermore if  $(V, L')$  is connected to  $\mathcal{C}_{x,b}$  in  $\text{UG}_{\text{folded}}$  then  $x \notin L'$  and  $x \in R_C$ . The condition that the edge  $(\mathcal{C}, (V, L'))$  is satisfied by  $\tilde{A}$  means that for all  $(U, L) \in \mathcal{C}$  such that  $L' \subseteq L$ , the unfolding of  $\sigma$  satisfies  $\tilde{\sigma}_U|_{L'} = \tilde{B}((V, L'))$ . Since the unfolding of the label  $\hat{A}(\mathcal{C}_{x,b})$  to  $\mathcal{C}_{x,b}$  gives the same linear function  $\hat{\sigma}$ , it follows that  $\hat{\sigma}_U|_{L'} = \hat{B}((V, L'))$  for every  $(U, L) \in \mathcal{C}$  and every  $(V, L') \in \Gamma(\mathcal{C})$  such that  $L' \subseteq L$ . Therefore  $\hat{A}$  satisfies all the edges incident on  $\mathcal{C}_{x,b}$ . ◁

Let  $\tilde{A}' \subseteq \tilde{A}$  be the set of vertices such that all the edges incident on them are satisfied by labeling  $(\tilde{A}, \tilde{B})$ . By assumption  $|\tilde{A}'| \geq (1 - k\varepsilon)|\tilde{A}|$ . Consider the subset  $\hat{A}' \subseteq \hat{A}$

$$\hat{A}' = \{\mathcal{C}_{x,b} \mid \hat{A}(\mathcal{C}_{x,b}) \neq \perp, \mathcal{C} \in \tilde{A}'\}.$$

Now,  $|\hat{A}'| \geq \frac{1-k\varepsilon}{2}|\hat{A}|$  and from the above claim, all the edges incident on  $\hat{A}'$  are satisfied by the labeling  $(\hat{A}, \hat{B})$ . ◀

## 4.5 Soundness

Define  $\text{agreement}(F_U)$  for  $F_U : \{L \oplus H_U : L \in Gr(X_U, \ell), L \cap H_U = \{0\}\} \rightarrow \mathbb{F}_2^{\ell+3k}$ , respecting side conditions, as the probability of the following event:

- Select a  $\ell - 1$  dimension subspace  $L' \in X_U$  u.a.r.
  - Select a  $\ell$  dimension subspaces  $L_1$  and  $L_2$  containing  $L'$  u.a.r.
  - Check if  $F_U[L_1 \oplus H_U]|_{L'} = F_U[L_2 \oplus H_U]|_{L'}$ .

The main technical theorem which was conjectured in [11] and proved in [17] is that if  $\text{agreement}(F_U)$  is a constant bounded away from 0, then there is a global linear function  $g : X_U \rightarrow \{0, 1\}$  respecting the side conditions and a special (not too small) subset  $S$  of  $\{L \oplus H_U : L \in Gr(X_U, \ell), L \cap H_U = \{0\}\}$  such that for a constant fraction of elements in  $S$ ,  $F_U$  agrees with  $g$ . We will not need the details of this theorem. Instead, we state the main soundness lemma from [11] which crucially used the aforementioned structural theorem and also the advice strings as mentioned in Remark 12.

► **Theorem 23** ([11]). *For every constant  $\delta > 0$ , there exist large enough  $\ell \ll k$ ,  $q \in \mathbb{Z}^+$  and  $\beta \in (0, 1)$  such that if there is an unfolded assignment  $\mathcal{A} : A \rightarrow \Sigma_A$  to  $G_{\text{unfolded}}$  such that for at least  $\delta$  fraction of  $U$ ,  $\text{agreement}(F_U) \geq \delta$ , then there exists a provers' strategy which makes the outer verifier accepts with probability at least  $p_\delta$ , where  $p_\delta$  is independent of  $k$ .*

Armed with this theorem, we are ready to prove the soundness of the Unique Games instance  $\text{UG}_{\text{folded}}$ .

► **Lemma 24** (Soundness). *Let  $\delta > 0$  and fix  $q \in \mathbb{Z}^+$  and  $\beta \in (0, 1)$  and  $\ell \ll k$  as in Theorem 23. If  $\text{UG}_{\text{folded}}$  is  $\delta$  satisfiable then there exists a provers strategy which makes the outer verifier accepts with probability at least  $p_{\frac{\delta^4}{2^{16}}}$ .*

**Proof.** Fix any  $\delta$ -satisfiable assignment  $(\hat{\mathcal{A}}, \hat{\mathcal{B}})$ ,  $\hat{\mathcal{A}} : \hat{A} \rightarrow \Sigma$ ,  $\hat{\mathcal{B}} : \hat{B} \rightarrow \Sigma$  to the Unique Games instance  $\text{UG}_{\text{folded}}$ . We first get a randomized labeling  $(\tilde{\mathcal{A}}, \tilde{\mathcal{B}})$  to  $G_{\text{folded}}$  where  $\tilde{\mathcal{A}} : \tilde{A} \rightarrow \Sigma_A$  and  $\tilde{\mathcal{B}} : \tilde{B} \rightarrow \Sigma_B$  as follows: We will keep  $\tilde{\mathcal{B}} = \hat{\mathcal{B}}$ . For every  $\mathcal{C} \in \tilde{A}$ , we pick a random  $x \in R_{\mathcal{C}}$  and  $b \in \{0, 1\}$  and set  $\tilde{\mathcal{A}}(\mathcal{C}) = \hat{\mathcal{A}}(\mathcal{C}_{x,b})$ . We now unfold the assignment  $\tilde{\mathcal{A}}$  to  $\mathcal{A}$ . Define  $F_U[L] = \mathcal{A}(U, L)$  for every  $L \in Gr(X_U, \ell)$ .

Let  $p(U)$  denote the probability that an edge in  $\text{UG}_{\text{folded}}$  is satisfied conditioned on  $U$ . Consider  $U$  such that  $p(U) \geq \frac{\delta}{2}$ . By an averaging argument, there are at least  $\frac{\delta}{2}$  fraction of  $U$  such that  $p(U) \geq \frac{\delta}{2}$ .

▷ **Claim 25.**  $\mathbf{E}_{F_U}[\text{agreement}(F_U)] \geq \frac{p(U)^4}{2^{11}} - o_k(1)$ .

**Proof.** Define a randomized assignment  $F'_U[L']$  as follows: Select a random  $V \subseteq U$  conditioned on the event that  $L' \subseteq X_V$ . Set  $F'_U[L'] = \hat{\mathcal{B}}(V, L')$ .

Consider the following two distributions:

**Distribution  $\mathcal{D}_U$ :**

- Select  $\mathbf{V}$  u.a.r from  $\{V \mid (U, V) \in E\}$
- Select  $\mathbf{L}'$  u.a.r from  $Gr(X_V, \ell - 1)$
- Select  $\mathbf{L}$  u.a.r. from  $\{L \mid L \in Gr(X_U, \ell) \text{ and } L' \subseteq L\}$
- Let  $\mathcal{C}$  be the equivalence class such that  $(U, L) \in \mathcal{C}$ , select  $\mathbf{x} \sim R_{\mathcal{C}}$  as in the edge distribution  $\hat{E}$ .
- Select  $\mathbf{b} \in \{0, 1\}$  u.a.r.

**Distribution  $\mathcal{D}'_U$ :**

- Select  $L'$  u.a.r from  $Gr(X_U, \ell - 1)$
- Select  $V$  u.a.r from  $\{V \mid (U, V) \in E \text{ and } L' \in Gr(X_V, \ell - 1)\}$
- Select  $L$  u.a.r. from  $\{L \mid L \in Gr(X_U, \ell) \text{ and } L' \subseteq L\}$
- Let  $\mathcal{C}$  be the equivalence class such that  $(U, L) \in \mathcal{C}$ , select  $\mathbf{x} \sim R_{\mathcal{C}}$  as in the edge distribution  $\widehat{E}$ .
- Select  $\mathbf{b} \in \{0, 1\}$  u.a.r.

We have the following lemma from [11].

► **Lemma 26** ([11]). *Consider the two marginal distributions on the pair  $(V, L')$ , one w.r.t  $\mathcal{D}_U$  and another w.r.t  $\mathcal{D}'_U$ . If  $2^\ell \beta \leq \frac{1}{4}$ , then the statistical distance between the two distributions is at most  $\beta\sqrt{k} \cdot 2^{\ell+3}$ .*

In the distribution  $\mathcal{D}_U$ , there is always constraint between  $\mathcal{C}_{x,b}$  and  $(V, L')$  in  $\text{UG}_{\text{folded}}$ . Moreover, the distribution of  $(\mathcal{C}_{x,b}, (V, L'))$  is same as the edge distribution  $\widehat{E}$ . Therefore

$$p(U) = \Pr_{\mathcal{D}_U} \left[ \widehat{\mathcal{A}}(\mathcal{C}_{x,b}), \widehat{\mathcal{B}}(V, L') \text{ satisfy the edge } (\mathcal{C}_{x,b}, (V, L')) \right].$$

Rewriting the above equality,

$$p(U) = \Pr_{\mathcal{D}_U} \left[ \widehat{\sigma}_U|_{L'} = \widehat{\mathcal{B}}(V, L') \mid \sigma = \widehat{\mathcal{A}}(\mathcal{C}_{x,b}) \right].$$

Using Claim 18, the distribution of  $F_U[L]$ , conditioned on  $x \in R_{\mathcal{C}} \setminus (H_U \oplus L')$ , is same as the distribution  $\widehat{\mathcal{A}}(\mathcal{C}_{x,b})$  (with appropriate unfolding of it) chosen with respect to  $\mathcal{D}_U$ . As  $|R_{\mathcal{C}} \setminus (H_U \oplus L')| = |R_{\mathcal{C}}|/2$  for a random  $x \in R_{\mathcal{C}}$ , the event  $x \in R_{\mathcal{C}} \setminus (H_U \oplus L')$  happens with probability  $\frac{1}{2}$ . Since we pick an uniformly random  $x \in R_{\mathcal{C}}$  while defining  $\widehat{\mathcal{A}}(\mathcal{C})$ , which in turn defines  $F_U[L]$ , we have

$$\frac{p(U)}{2} \leq \mathbf{E}_{F_U} \Pr_{\mathcal{D}_U} \left[ F_U[L]|_{L'} = \widehat{\mathcal{B}}(V, L') \right],$$

Now,

$$\Pr_{\mathcal{D}_U} \left[ F_U[L]|_{L'} = \widehat{\mathcal{B}}(V, L') \right] \approx \Pr_{\mathcal{D}'_U} \left[ F_U[L]|_{L'} = \widehat{\mathcal{B}}(V, L') \right].$$

follows from the closeness of distributions  $\mathcal{D}_U$  and  $\mathcal{D}'_U$  on  $(V, L')$  given by Lemma 26 by setting  $\beta \ll \frac{1}{\sqrt{k}}$  (this setting of  $\beta$  is consistent with the setting of  $\beta$  in Theorem 23). Conditioned on  $L'$  the distribution of  $(V, L')$  in  $\mathcal{D}'_U$  is same as the distribution we used to assign  $F'_U[L']$  and therefore we get

$$\frac{p(U)}{2} - o_k(1) \leq \mathbf{E}_{F_U} \Pr_{L' \subseteq L} [F_U[L]|_{L'} = F'_U[L']].$$

Let  $E_1$  be the event that  $\frac{p(U)}{4} \leq \Pr_{L' \subseteq L} [F_U[L]|_{L'} = F'_U[L']]$ , by averaging argument  $\Pr[E_1] \geq \frac{p(U)}{4}$ . We now fix an  $F_U$  for which  $E_1$  occurs. By an averaging argument, there are at least  $\frac{p(U)}{8}$  fraction of  $L' \in Gr(X_U, \ell - 1)$  such that  $\Pr_{L \supseteq L'} [F_U[L]|_{L'} = F'_U[L']] \geq \frac{p(U)}{8}$ . For each of such  $L'$  we have,

$$\Pr_{L_1, L_2 \supseteq L'} [F_U[L_1] = F_U[L_2]] = \Pr_{L_1, L_2 \supseteq L'} [F_U[L_1]|_{L'} = F_U[L_2]|_{L'} = F'_U[L']] \geq \frac{p(U)^2}{2^6} - o_k(1).$$

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Thus overall, we get

$$\Pr_{L_1, L_2 \supseteq L'} [F_U[L_1] = F_U[L_2] \mid E_1] \geq \frac{p(U)^3}{2^9} - o_k(1).$$

Hence,

$$\mathbf{E}_{F_U} [\text{agreement}(F_U)] \geq \Pr[E_1] \cdot \Pr_{L_1, L_2 \supseteq L'} [F_U[L_1] = F_U[L_2] \mid E_1] \geq \frac{p(U)^4}{2^{11}} - o_k(1). \quad \blacktriangleleft$$

There are at least  $\frac{\delta}{2}$  fraction of  $U$  such that  $p(U) \geq \frac{\delta}{2}$ . This means for at least  $\frac{\delta}{2}$  fraction of  $U$ ,  $\mathbf{E}[\text{agreement}(F_U)] \geq \frac{\delta^4}{2^{15}} - o_k(1)$  using the previous claim. Thus, again by an averaging argument, there exists a fixed  $\{F_U : U \in \mathcal{U}\}$ , coming from unfolding of some assignment  $\tilde{A}$ , such that for at least  $\frac{\delta^4}{2^{16}}$  fraction of  $U$ , we have  $\text{agreement}(F_U) \geq \frac{\delta^4}{2^{16}}$ . The Lemma now follows from Theorem 23.  $\blacktriangleleft$

We now prove the main theorem.

**Proof of Theorem 2.** Fix  $\delta > 0$ . We let  $q, \beta$  and  $\ell \ll k$  be as given in the setting of Theorem 23. Firstly, if we look the the marginal distribution of the edge distribution on  $\hat{A}$  then it is uniform and hence the instance is left-regular.<sup>2</sup> Now, starting with an instance of  $(X, Eq)$  we have the following two guarantees of the reduction:

1. If the instance  $(X, Eq)$  is  $1 - \frac{2\delta}{k}$  satisfiable then by Theorem 20 and Lemma 21, the Unique Games instance  $\text{UG}_{\text{folded}}$  has a property that for at least  $(\frac{1}{2} - \delta)$  fraction of the vertices in  $\hat{A}$ , all the edges incident on them are satisfied.
2. Consider the other case in which the instance  $(X, Eq)$  is at most  $s < 1$ , satisfiable. If the Unique Games instance  $\text{UG}_{\text{folded}}$  is has a  $\delta$ -satisfying assignment, then by Lemma 24 there is a provers' strategy which can make the outer verifier accepts with probability at least  $p_{\frac{\delta^4}{2^{16}}} \gg 2^{-\Omega(\beta k/2^q)}$  for large enough  $k$ . This contradicts Theorem 11 and hence in this case,  $\text{UG}_{\text{folded}}$  has no assignment which satisfies  $\delta$  fraction of the edges.

Since by Theorem 10 distinguishing between a given instance  $(X, Eq)$  being at least  $1 - \frac{2\delta}{k}$  satisfiable or at most  $s$  satisfiable is NP-hard, this proves our main theorem.  $\blacktriangleleft$

## 5 Independent set in degree $d$ graphs

We consider a weighted graph  $H = (V, E)$  where the sum of all weights of all the vertices is 1 and also sum of weights of all the edges is also 1. For  $S \subseteq V$ , we will denote the total weight of vertices in  $S$  by  $w(S)$ .

► **Definition 27.** A graph  $H$  is  $(\delta, \varepsilon)$ -dense if for every  $S \subseteq V(H)$  with  $w(S) \geq \delta$ , the total weight of edges inside  $S$  is at least  $\varepsilon$ .

For  $\rho \in [-1, 1]$  and  $\beta \in [0, 1]$ , the quantity  $\Gamma_\rho(\beta)$  is defined as:

$$\Gamma_\rho(\beta) := \Pr[X \leq \phi^{-1}(\beta) \wedge Y \leq \phi^{-1}(\beta)],$$

where  $X$  and  $Y$  are jointly distributed normal Gaussian random variables with co-variance  $\rho$  and  $\phi$  is the cumulative density function of a normal Gaussian random variable.

We will prove the following theorem.

<sup>2</sup> The edges have weights, but it can be made an unweighted left-regular instance by adding multiple edges proportional to its weight with the same constraint.

Let  $G(A, B, E, [L], \{\pi_e\}_{e \in E})$  be an instance of Unique Games. The distribution of edges in  $H$  is as follows:

- Select  $u \in B$  uniformly at random.
- Select its two neighbors  $v_1$  and  $v_2$  uniformly at random. Let  $\pi_1$  and  $\pi_2$  are the constraints between  $(u, v_1)$  and  $(u, v_2)$  respectively.
- Select  $x, y \in \{0, 1\}^L$ , such that for each  $i \in [L]$ ,  $(x_i, y_i)$  are sampled independently from the distribution  $\mathcal{D}$ .
- Output an edge  $(v_1, x \circ \pi_1), (v_2, y \circ \pi_2)$ .

■ **Figure 3** Reduction from UG to Independent Set from [3].

► **Theorem 28.** Fix  $\varepsilon > 0, p \in (0, \frac{1}{2}]$ , then for all sufficiently small  $\delta > 0$ , there exists a polynomial time reduction from an instance of a left-regular Unique Games  $G(A, B, E, [L], \{\pi_e\}_{e \in E})$  to a graph  $H$  such that

1. If  $\text{sval}(G) \geq c$ , then there is an independent set of weight  $c \cdot p$  in  $H$ .
2. If  $\text{val}(G) \leq \delta$ , then  $H$  is  $(\beta, \Gamma_\rho(\beta) - \varepsilon)$  dense for every  $\beta \in [0, 1]$  and  $\rho = -\frac{p}{p-1}$ .

The reduction is exactly the same as the one in [3]. We will only show the complete case (1) here. The soundness is proved in [3]. This theorem will imply Theorem 3 using a randomized sparsification technique of [3] to convert the weighted graph into a bounded degree unweighted graph.

## 5.1 The AKS reduction

Consider the distribution  $\mathcal{D}$  on  $(a, b) \in \{0, 1\}^2$  such that  $\Pr[a = b = 1] = 0$  and each bit is  $p$ -biased i.e.  $\Pr[b = 1] = \Pr[a = 1] = p$ . For a string  $x \in \{0, 1\}^L$  and a permutation  $\pi : [L] \rightarrow [L]$ , let  $x \circ \pi \in \{0, 1\}^L$ ,  $(x \circ \pi)_i = x_{\pi(i)}$ .

Let  $G(A, B, E, [L], \{\pi_e\}_{e \in E})$  be an instance of Unique Games which is regular on the  $A$  side. We convert it into a weighted graph  $H$ . The vertex set is  $A \times \{0, 1\}^L$ . Weight of a vertex  $(v, x)$  where  $v \in A$  and  $x \in \{0, 1\}^L$  is  $\frac{\mu_p(x)}{|A|}$ , where  $\mu_p(x) := p^{|x|}(1-p)^{L-|x|}$ . The edge distribution is given as follows:

► **Lemma 29 (Completeness).** If  $\text{sval}(G) \geq c$ , then there is an independent set in  $H$  of weight  $c \cdot p$ .

**Proof.** Fix an assignment  $\ell : A \cup B \rightarrow \Sigma$  which gives  $\text{sval}(G) \geq c$ . Let  $A' \subseteq A$  be the set of vertices such that its edges are satisfied by  $\ell$ , we know that  $|A'| \geq c \cdot |A|$ . Consider the following subset of vertices in  $H$ .

$$I = \{(v, x) \mid v \in A', x_{\ell(v)} = 1\}.$$

Firstly, the weight of set  $I$  is  $c \cdot p$ . We show that  $I$  is in fact an independent set in  $H$ . Suppose for contradiction, there exists an edge  $(v_1, x), (v_2, y)$  and both of its endpoints in  $I$ . Let  $u$  be the common neighbor of  $v_1, v_2$  (one such  $u$  must exist). If we let  $\pi_1$  and  $\pi_2$  be the permutation constraints between  $(u, v_1)$  and  $(u, v_2)$  then the conditions for being an edge implies that  $(x_{\pi_1(\ell(u))}, y_{\pi_2(\ell(u))})$  should have a support in  $\mathcal{D}$ . Since all the edges incident on  $A'$  are satisfied,  $\pi_i(\ell(u)) = \ell(v_i)$  for  $i \in \{1, 2\}$ . Therefore,  $(x_{\ell(v_1)}, y_{\ell(v_2)})$  is also supported in  $\mathcal{D}$  and hence both cannot be 1 which implies that both cannot belong to  $I$ . ◀

► **Lemma 30 (Soundness [3]).** For every  $\varepsilon > 0$ , if  $H$  is not  $(\beta, \Gamma_\rho(\beta) - \varepsilon)$ -dense for some  $\beta \in [0, 1]$  and  $\rho = -\frac{p}{p-1}$ , then  $G$  is  $\delta$ -satisfiable for  $\delta := \delta(\varepsilon, p) > 0$ .

Lemma 29 and Lemma 30 prove Theorem 28.

Let  $G(A, B, E, [L], \{\pi_e\}_{e \in E})$  be an instance of Unique Games. Fix a graph  $H([m], E_H)$  from Lemma 32 with parameters  $\eta > 0$  and  $t \in \mathbb{Z}^+$ , along with the distribution  $\mathcal{D}$ . Construct a weighted directed graph  $\mathcal{G}$  on  $B \times [m]^L$  with the following distribution on the edges:

- Select  $u \in A$  uniformly at random.
- Select its two neighbors  $v_1$  and  $v_2$  uniformly at random. Let  $\pi_1$  and  $\pi_2$  are the constraints between  $(u, v_1)$  and  $(u, v_2)$  respectively.
- Pick an edge  $e = (a, b) \in E_H$  at random from the graph  $H$ .
- Select  $x, y \in [m]^L$ , such that for each  $i \in [L]$ ,  $(x_i, y_i)$  are sampled independently as follows:
  - sample  $O \sim \mathcal{D}$ , set  $x_i = O(a)$  and  $y_i = O(b)$ .
- Perturb  $x$  and  $y$  as follows: for each  $i \in [L]$ , with probability  $(1 - \varepsilon)$ , set  $\tilde{x}_i = x_i$ , with probability  $\varepsilon$  set  $\tilde{x}_i$  to be u.a.r from  $[m]$ . Do the same thing for  $y$  independently to get  $\tilde{y}$ .
- Output a directed edge  $(v_1, \tilde{x} \circ \pi_1) \rightarrow (v_2, \tilde{y} \circ \pi_2)$ .

■ **Figure 4** Reduction from UG to Max-Acyclic Graph from [13].

## 6 Maximum Acyclic Subgraph

In this section we state the reduction from [13] and analyze the completeness case. Given a directed graph  $H = (V, E)$ , we will denote by  $\text{Val}(H)$  the fraction of edges in the maximum sized acyclic subgraph of  $H$ . We need the following definition.

► **Definition 31.** A  $t$ -ordering of a directed graph  $H = (V, E)$  consists of a map  $O : V \rightarrow [t]$ . The value of a  $t$ -ordering  $O$  is given by

$$\text{Val}_t(O) = \Pr_{(a,b) \in E} [O(a) < O(b)] + \frac{1}{2} \cdot \Pr_{(a,b) \in E} [O(a) = O(b)].$$

Define  $\text{Val}_t(H)$  as:

$$\text{Val}_t(H) = \max_O \text{Val}_t(O).$$

The following lemma [13] will be crucial in the reduction from Unique Games to Maximum Acyclic Subgraph.

► **Lemma 32** ([13]). Given  $\eta > 0$  and a positive integer  $t$ , for every sufficiently large  $m$ , there exists a weighted directed acyclic graphs  $H(V, E)$  on  $m$  vertices along with a of distribution  $\mathcal{D}$  on the orderings  $\{O : V \rightarrow [m]\}$  such that:

1. For every  $u \in V$  and  $i \in [m]$ ,  $\Pr_{O \sim \mathcal{D}} [O(u) = i] = \frac{1}{m}$ .
2. For every directed edge  $(a \rightarrow b)$ ,  $\Pr_{O \sim \mathcal{D}} [O(a) < O(b)] \geq 1 - \eta$ .
3.  $\text{Val}_t(H) \leq \frac{1}{2} + \eta$ .

The reduction is given in Figure 4. For a string  $x \in [q]^L$  and a permutation  $\pi : [L] \rightarrow [L]$ , let  $x \circ \pi \in [q]^L$  such that  $(x \circ \pi)_i = x_{\pi(i)}$ .

► **Lemma 33** (Completeness). For small enough  $\varepsilon, \eta > 0$ , if the Unique Games instance  $G$  has  $\text{sva}(G) \geq c$  then  $\text{Val}(\mathcal{G}) \geq c \cdot (1 - 2\varepsilon)(1 - \eta) + (1 - c) \cdot \left(\frac{1}{2} - \frac{1}{2m}\right)$ .



**Proof.** Fix an assignment  $\ell : A \cup B \rightarrow \Sigma$  which gives  $\text{sval}(G) \geq c$ . Let  $A' \subseteq A$  be the set of vertices such that its edges are satisfied by  $\ell$ , we know that  $|A'| \geq c \cdot |A|$ . Consider the following  $m$  ordering  $\mathcal{O} : B \times [m]^L \rightarrow [m]$  of the vertices of  $\mathcal{G}$ :  $\mathcal{O}(v, x) = x_{\ell(v)}$ . We will show that  $\text{Val}_m(\mathcal{O}) \geq c(1 - \varepsilon)(1 - \eta) + (1 - c) \cdot \frac{1}{2}$ . This will prove the lemma.

$$\begin{aligned} \text{Val}(\mathcal{G}) &\geq \text{Val}_m(\mathcal{O}) \geq \Pr[\mathcal{O}((v_1, \tilde{x} \circ \pi_1) < \mathcal{O}(v_2, \tilde{y} \circ \pi_2))] \\ &= \Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))}] \\ &\geq c \cdot \Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \in A'] \\ &\quad + (1 - c) \cdot \Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \notin A']. \end{aligned} \quad (1)$$

Now, if  $u \in A'$  then  $\pi_1(\ell(v_1)) = \pi_2(\ell(v_2)) = \ell(u)$  and hence,

$$\begin{aligned} \Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \in A'] &= \Pr[\tilde{x}_{\ell(u)} < \tilde{y}_{\ell(u)}] \\ &\geq (1 - 2\varepsilon) \cdot \mathbf{E}_{(a,b) \in E_H} \Pr_{O \sim \mathcal{D}}[O(a) < O(b)] \\ &\geq (1 - 2\varepsilon)(1 - \eta). \end{aligned} \quad (2)$$

Now, we can lower bound  $\Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \notin A']$  by  $(1 - 2\varepsilon)(1 - \eta)$  as above if  $\pi_1(\ell(v_1)) = \pi_2(\ell(v_2))$ . If  $\pi_1(\ell(v_1)) \neq \pi_2(\ell(v_2))$  then  $\tilde{x}_{\pi_1(\ell(v_1))}$  and  $\tilde{y}_{\pi_2(\ell(v_2))}$  are uncorrelated and are distributed uniformly in  $[m]$ . Therefore,  $\Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \notin A'] = \frac{\binom{m}{2}}{m^2} = \frac{1}{2} - \frac{1}{2m}$ . Thus, for small enough  $\varepsilon$  and  $\eta$ , we can lower bound

$$\Pr[\tilde{x}_{\pi_1(\ell(v_1))} < \tilde{y}_{\pi_2(\ell(v_2))} \mid u \notin A'] \geq \min \left\{ (1 - 2\varepsilon)(1 - \eta), \frac{1}{2} - \frac{1}{2m} \right\} \geq \frac{1}{2} - \frac{1}{2m}. \quad (3)$$

Plugging (2) and (3) into (1), we get

$$\text{Val}(\mathcal{G}) \geq c \cdot (1 - 2\varepsilon)(1 - \eta) + (1 - c) \cdot \left( \frac{1}{2} - \frac{1}{2m} \right). \quad \blacktriangleleft$$

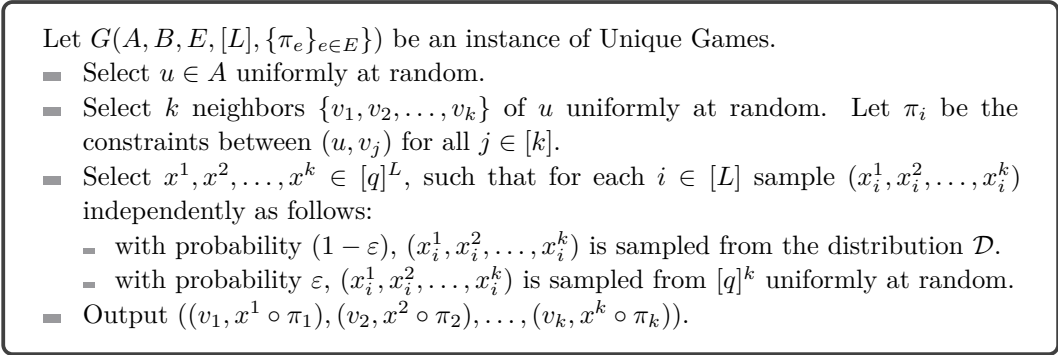
The following soundness of the reduction is shown in [13].

► **Lemma 34** (Soundness [13]). *If the Unique Games instance  $G$  has  $\text{val}(G) \leq \delta$  then  $\text{Val}(G) \leq \frac{1}{2} + \eta + o_t(1) + \delta'$ , where  $\delta' \rightarrow 0$  as  $\delta \rightarrow 0$ .*

### Proof of Theorem 4

For every  $\varepsilon' < 0$ , setting  $\varepsilon, \eta, \delta > 0$  small enough constants and  $m$  large enough, in the completeness case we have a maximum acyclic subgraph of size at least  $\frac{c}{2} + \frac{1}{2} - \varepsilon'$ , whereas in the soundness case it is at most  $\frac{1}{2} + \varepsilon'$ . Since by Theorem 2, it is NP-hard to distinguish between  $\text{sval}(G) \geq \frac{1}{2} - \delta$  and  $\text{val}(G) \leq \delta$  we get that it is NP-hard to approximate the Maximum Acyclic Subgraph problem within a factor of  $\frac{1/2 + \varepsilon'}{1/4 + 1/2 + \varepsilon' + \delta/2} \approx \frac{2}{3}$ .

► **Remark 35.** Instead of  $\text{sval}(G) = \frac{1}{2}$ , if we only have  $\text{val}(G) = \frac{1}{2}$ , then the same construction and the labeling from Lemma 33 gives  $\text{Val}(\mathcal{G}) \geq \frac{5}{8}$ . To see this, fix an assignment  $\ell : A \cup B \rightarrow \Sigma$  which gives  $\text{val}(G) \geq \frac{1}{2}$ . Let  $\alpha_u$  denote the fraction of edges attached to  $u$  that are satisfied by  $\ell$ . Therefore, we have  $\text{val}(G) = \mathbf{E}_{u \in A}[\alpha_u] = \frac{1}{2}$ . Using a similar analysis as in the completeness case, we get  $\text{Val}(\mathcal{G}) \geq \mathbf{E}_{u \in A}[\alpha_u^2 \cdot (1 - 2\varepsilon)] + \mathbf{E}_{u \in A}[(1 - \alpha_u^2) \cdot \frac{1}{2}] \geq (1 - 2\varepsilon) \mathbf{E}[\frac{1}{2} + \frac{\alpha_u^2}{2}]$ . By Cauchy-Schwartz inequality  $\mathbf{E}[\alpha_u^2] \geq (\mathbf{E}[\alpha_u])^2 = \frac{1}{4}$  and hence  $\text{Val}(\mathcal{G}) \geq (1 - 2\varepsilon) \cdot \frac{5}{8}$ . This along with the soundness lemma gives the NP-hardness of  $\frac{4}{5}$ .



■ **Figure 5** Reduction from UG to a  $P$ -CSP instance  $\mathcal{I}$  from [5].

## 7 Predicates supporting Pairwise Independence

In this section, we prove Theorem 5.

### 7.1 The Austrin-Mossel reduction

Let  $\mathcal{D}$  be a distribution on  $P^{-1}(1)$  which is balanced and pairwise independent. For a string  $x \in [q]^L$  and a permutation  $\pi : [L] \rightarrow [L]$ , let  $x \circ \pi \in [q]^L$  such that  $(x \circ \pi)_i = x_{\pi(i)}$ .

Let  $G(A, B, E, [L], \{\pi_e\}_{e \in E})$  be an instance of Unique Games. We convert it into a  $P$ -CSP instance  $\mathcal{I}$  as follows. The variable set is  $B \times [q]^L$ . The variable sets are *folded* in the sense that for every assignment  $f : B \times [q]^L \rightarrow [q]$  to the variables, we enforce that for every  $v \in B$ ,  $x \in [q]^L$  and  $\alpha \in [q]$ ,

$$f(v, x + \alpha^L) = f(v, x) + \alpha,$$

where additions are  $(\text{mod } q)$ .

The distribution on the constraints is given in Figure 5:

► **Lemma 36** (Completeness). *If  $\text{sval}(G) \geq c$ , the  $\mathcal{I}$  is  $(c - \varepsilon)$ -satisfiable.*

**Proof.** Fix an assignment  $\ell : A \cup B \rightarrow \Sigma$  which gives  $\text{sval}(G) \geq c$ . Let  $A' \subseteq A$  be the set of vertices such that its edges are satisfied by  $\ell$ , we know that  $|A'| \geq c \cdot |A|$ . Thus with probability  $c$ ,  $u \in A'$  and all edges attached to it are satisfied by  $\ell$ . Consider the following assignment  $f$  to the variables of  $\mathcal{I}$ : For a variable  $(v, x)$ , we assign  $f(v, x) = x_{\ell(v)}$ .

Conditioned on  $u \in A'$ , we will show that  $(f(v_1, x^1 \circ \pi_1), f(v_2, x^2 \circ \pi_2), \dots, f(v_k, x^k \circ \pi_k)) \in P^{-1}(1)$  with probability  $(1 - \varepsilon)$  and this will prove the lemma. Now,  $(f(v_2, x^2 \circ \pi_2), \dots, f(v_k, x^k \circ \pi_k))$  is same as  $((x^1 \circ \pi_1)_{\ell(v_2)}, (x^2 \circ \pi_2)_{\ell(v_2)}, \dots, (x^k \circ \pi_k)_{\ell(v_k)})$ , which in turns equals  $(x_{\pi_1(\ell(v_2))}^1, x_{\pi_2(\ell(v_2))}^2, \dots, x_{\pi_k(\ell(v_k))}^k)$ . Since  $\ell$  satisfies all the edges  $(u, v_i)$ , we have that for all  $j \in [k]$ ,  $\pi_j(\ell(v_j)) = \ell(u) =: i$  for some  $i \in [L]$ . Therefore we get  $(x_i^1, x_i^2, \dots, x_i^k)$ , and according to the distribution, it belongs to  $P^{-1}(1)$  with probability  $(1 - \varepsilon)$ . ◀

We have the following soundness of the reduction.

► **Lemma 37** (Soundness [5]). *If the instance  $\mathcal{I}$  is  $\frac{P^{-1}(1)}{q^k} + \eta$  satisfiable, then  $G$  is  $\delta := \delta(\eta, \varepsilon, k, q) > 0$  satisfiable.*

The completeness and soundness of the reduction, along with our main theorem, imply Theorem 5.

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