

On Fortification of Projection Games

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Abstract

A recent result of Moshkovitz [10] presented an ingenious method to provide a completely elementary proof of the *Parallel Repetition Theorem* for certain projection games via a construction called *fortification*. However, the construction used in [10] to fortify arbitrary label cover instances using an arbitrary extractor is insufficient to prove parallel repetition. In this paper, we provide a fix by using a stronger graph that we call *fortifiers*. Fortifiers are graphs that have both ℓ_1 and ℓ_2 guarantees on induced distributions from large subsets.

We then show that an expander with sufficient spectral gap, or a bi-regular extractor with stronger parameters (the latter is also the construction used in an independent update [11] of [10] with an alternate argument), is a good fortifier. We also show that using a fortifier (in particular ℓ_2 guarantees) is necessary for obtaining the robustness required for fortification.

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1 Introduction

Label-cover and general two-prover games

A label cover instance is specified by a bipartite graph $G = ((X, Y), E)$, a pair of alphabets Σ_X and Σ_Y and a set of constraints $\psi_e : \Sigma_X \rightarrow \Sigma_Y$ on each edge $e \in E$. The goal is to label the vertices of X and Y using labels from Σ_X and Σ_Y so as to satisfy as many constraints are possible.

This problem is often viewed as a two-prover game. The verifier picks an edge (x, y) at random and sends x to the first prover and y to the second prover. They are to return a label of the vertex that they received, and the verifier accepts if the labels they returned are consistent with the constraint $\psi_{(x,y)}$. The value of this game G , denoted by $\text{val}(G)$, is given

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by the acceptance probability of the verifier maximized over all possible strategies of the provers. These are also called *projection games* as the constraints are functions from Σ_X to Σ_Y . They are called *general games* if the constraint on each edge is an arbitrary relation $\psi_{(x,y)} \subseteq \Sigma_X \times \Sigma_Y$.

These two notions are equivalent in the sense that $\text{val}(G)$ is exactly equal to the maximum fraction of constraints that can be satisfied by any labelling.

This problem is central to the PCP Theorem [2, 1] and almost all inapproximability results that stem from it. The (Strong) PCP Theorem can be rephrased as stating that for every $\varepsilon > 0$, it is NP-hard to distinguish whether a given label cover instance has $\text{val}(G) = 1$ or $\text{val}(G) < \varepsilon$. An important step is a way to transform instances with $\text{val}(G) < 1 - \varepsilon$ to instances G' with $\text{val}(G') < \varepsilon$. This is usually achieved via the *Parallel Repetition Theorem*.

Parallel Repetition

The k -fold repetition of a game G , denoted by G^k , is the following natural definition – the verifier picks k edges $(x_1, y_1), \dots, (x_k, y_k)$ from E uniformly and independently, sends (x_1, \dots, x_k) and (y_1, \dots, y_k) to the provers respectively, and accepts if the labels returned by them are consistent on each of the k edges.

If $\text{val}(G) = 1$ to start with then $\text{val}(G^k)$ still remains 1. How does $\text{val}(G^k)$ decay with k if $\text{val}(G) < 1$? Turns out even this simple operation of repeating a game in parallel has a counter-intuitive effect on the value of the game. It is easy to see that $\text{val}(G^k) \geq \text{val}(G)^k$ as provers can use a same strategy as in G to answer each query (x_i, y_i) . The first surprise is $\text{val}(G^k)$ is *not* $\text{val}(G)^k$, but sometimes can be *much larger* than $\text{val}(G)^k$. Fortnow [8] presented a game G for which $\text{val}(G^2) > \text{val}(G)^2$, Feige [6] improved this by giving an example of game G with $\text{val}(G) < 1$ but $\text{val}(G^2) = \text{val}(G)$. Indeed, there are known examples [15] of projection games where $\text{val}(G) = (1 - \varepsilon)$ but $\text{val}(G^k) \geq (1 - \varepsilon\sqrt{k})$ for a large range of k .

The first non trivial upper bound on $\text{val}(G^k)$ was proven by Verbitsky [17] who showed that if $\text{val}(G) < 1$ then the value $\text{val}(G^k)$ must go to zero as k goes to infinity. It is indeed true that $\text{val}(G^k)$ decays exponentially with k (if $\text{val}(G) < 1$). This breakthrough was first proved by Raz [14], and has subsequently seen various simplifications and improvements in parameters [9, 13, 5, 4]. The following statements are due to Holenstein [9], Dinur and Steurer [5] respectively.

► **Theorem 1.1** (Parallel repetition theorem for general games). *Suppose G is a projection game such that $\text{val}(G) \leq 1 - \varepsilon$ and let $|\Sigma_X| |\Sigma_Y| \leq s$. Then, for any $k \geq 0$,*

$$\text{val}(G^k) \leq (1 - \varepsilon^3/2)^{\Omega(k/\log s)}.$$

► **Theorem 1.2** (Parallel repetition theorem for projection games). *Suppose G is a projection game such that $\text{val}(G) \leq \rho$. Then, for any $k \geq 0$,*

$$\text{val}(G^k) \leq \left(\frac{2\sqrt{\rho}}{1 + \rho} \right)^{k/2}.$$

Although a lot of these results are substantial simplifications of earlier proofs, they continue to be involved and delicate. Arguably, one might still hesitate to call them *elementary* proofs.

Recently, Moshkovitz [10] came up with an ingenious method to prove a parallel repetition theorem for certain projection games by slightly modifying the underlying game via a process that she called *fortification*. The method of fortification suggested in [10] was a rather mild change to the underlying game and proving parallel repetition for such *fortified projection games* was sufficient for most applications. The advantage of fortification was that parallel repetition theorem for fortified games had a simple, elementary and elegant proof as seen in [10].

1.1 Fortified games

Fortified games will be described more formally in Section 2, but we give a very rough overview here. Moshkovitz showed that there is an easy way to bound the value of repeated game if we knew that the game was *robust on large rectangles*. We shall first need the notion of *symmetrized projection games*.

Symmetrized Projection games. Given a projection game G on $((X, Y), E)$, the symmetrized game G_{sym} is a game on the (multi)graph $((X, X), E')$ such that, there is an edge $(x, x') \in E'$, for every $y \in Y$ with $(x, y), (x', y) \in E$, with the constraint $\pi_{(x, y)}(\sigma_x) = \pi_{(x', y)}(\sigma_{x'})$.

For projection games, it would be more convenient to work with the above symmetrized version for reasons that shall be explained shortly. It is not hard to see that $\text{val}(G)$ and $\text{val}(G_{\text{sym}})$ are within a quadratic factor of each other. Thus for projection games, we shall work with the game G_{sym} instead of the original game G .

► **Definition 1.3** ((δ, ε) -robust games). Let G be a two-prover game on $((X, X), E)$. For any pair of sets $S, T \subseteq X$, let $G_{S \times T}$ be the game where the verifier chooses his random query $(x, x') \in E$ conditioned on the event that $x \in S$ and $x' \in T$.

G is said to be (δ, ε) -robust if for every $S, T \subseteq X$ with $|S|, |T| \geq \delta|X|$, we have that

$$\text{val}(G_{S \times T}) \leq \text{val}(G) + \varepsilon.$$

► **Theorem 1.4** (Parallel repetition for robust projection games [10]). *Let G be a projection game on a bi-regular bipartite graph $((X, Y), E)$ with alphabets Σ_X and Σ_Y . For any positive integer k , if $\varepsilon_1, \varepsilon_2, \delta > 0$ are parameters such that $2\delta|\Sigma_Y|^{k-1} \leq \varepsilon_1$ and G_{sym} is (δ, ε_2) -robust, then¹*

$$\text{val}(G_{\text{sym}}^k) \leq (\text{val}(G_{\text{sym}}) + \varepsilon_2)^k + k\varepsilon_1.$$

Not all projection games are robust on large rectangles, but Moshkovitz suggested a neat way of slightly modifying a projection game and making it robust. This process was called *fortification*.

On a high level, for any two-prover game, the verifier chooses to verify a constraint corresponding to an edge (x, y) but is instead going to sample several other dummy vertices and give the provers two sets of D vertices $\{x_1, \dots, x_D\}$ and $\{y_1, \dots, y_D\}$ such that $x = x_i$ and $y = y_j$ for some i and j . The provers are expected to return labels of all D vertices sent to them but the verifier checks consistency on just the edge (x, y) . This is very similar to the “confuse/match” perspective of Feige and Kilian [7].

¹ The following is the corrected statement from [11].

To derandomize this construction, Moshkovitz [10] uses a pseudo-random bipartite graph where given a vertex w , the provers are expected to return labels of all its neighbours (Definition 2.1). The most natural candidate of such a pseudo-random graph is an (δ, ε) -extractor, as we really want to ensure that conditioned on “large enough events” S and T , the underlying distribution on the constraints does not change much. This makes a lot of intuitive sense, since on choosing a random element of S and then a random neighbour, the extractor property guarantee that the induced distribution on vertices in X is ε -close to uniform. Thus, it is natural to expect that conditioning on the events S and T should not change the underlying distribution on the constraints by more than $O(\varepsilon)$. This was the rough argument in [10], which unfortunately turns out to be false. We elaborate on this in Section 3.2 and Appendix A.

A recent updated version [11] of [10] provides an different argument for the fortification lemma using a stronger extractor. We discuss this at the end of Section 1.2.

1.2 Our contributions

We present a fix to the approach of [10], by describing a way to transform any given game instance G into a robust instance G^* with the same value following the framework of [10] but using a different graph for concatenation, and a different analysis.

We first describe a concrete counter-example to the original argument of [10] in Section 3.2, that shows concatenating (Definition 2.1) with an arbitrary (δ, ε) -extractor is insufficient. In fact, as we show in Appendix B, *concatenating* (Definition 2.1) with *any* left-regular graph with left-degree by $o(1/\varepsilon\delta)$ fails to make arbitrary instances (δ, ε) -robust. We instead use bipartite graphs called *fortifiers*, defined below.

► **Definition 1.5 (Fortifiers).** A bipartite graph $H = ((W, X), E_H)$ is an $(\delta, \varepsilon_1, \varepsilon_2)$ -*fortifier* if for any set $S \subseteq W$ such that $|S| \geq \delta|W|$, if π is the probability distribution on X induced by picking a uniformly random element w from S , and a uniformly random neighbor x of w , then

$$|\pi - \mathbf{u}|_1 \leq \varepsilon_1 \quad \text{and} \quad \|\pi - \mathbf{u}\|^2 \leq \frac{\varepsilon_2}{|X|}.$$

Notice that a fortifier is an extractor, with the additional condition that the ℓ_2 -distance of π from the uniform distribution is small. This is what enables us to show that *concatenation* (Definition 2.1) with a fortifier produces a robust instance.

► **Theorem 1.6 (Fortifiers imply robustness).** *Suppose G is a two-prover projection game on a bi-regular graph $((X, Y), E)$. Then, for any $\varepsilon, \delta > 0$, if $H = ((W, X), E_H)$ is a $(\delta, \varepsilon, \varepsilon)$ -fortifier, then the symmetrized concatenated game $G^* = (H \circ G)_{sym}$ is $(\delta, O(\varepsilon))$ -robust.*

In particular, bipartite spectral expanders are good fortifiers, as Lemma 2.8 shows. This gives us our main result which follows from Lemma 2.8 and Theorem 1.6:

► **Corollary 1.7.** *Let G be a two-prover projection game on a bi-regular graph $((X, Y), E)$. For any $\varepsilon, \delta > 0$, if $H = ((X, X), E_H)$ is a symmetric bipartite graph that is a λ -expander (Definition 2.3) with $\lambda < \varepsilon\sqrt{\delta}$ then the symmetrized concatenated game $G^* = (H \circ G)_{sym}$ is $(\delta, 4\varepsilon)$ -robust.*

As one would expect, the condition on the fortifier can be relaxed if the underlying graph of G_{sym} is a spectral-expander. We prove the following theorem. Theorem 1.6 follows from this theorem by setting $\lambda_0 = 1$.

► **Theorem 1.8.** *Let G be a two-prover projection game on bi-regular graph $((X, Y), E)$ where G_{sym} is a λ_0 -expander. Then for any $\varepsilon, \delta > 0$, if $H = ((W, X), E_H)$ is a $(\delta, \varepsilon, (\varepsilon/\lambda_0))$ -fortifier, then the symmetrized concatenated game $G^* = (H \circ G)_{\text{sym}}$ is $(\delta, O(\varepsilon))$ -robust.*

One could ask if the definition of a fortifier is too strong, or if a weaker object would suffice. We argue in Section 3.1 that if we proceed through concatenation, fortifiers are indeed necessary to make a game robust.

Bipartite Ramanujan graphs of degree $\Theta(1/\varepsilon^2\delta)$ have $\lambda < \varepsilon\sqrt{\delta}$ and are therefore good fortifiers. In Appendix B, we show that this is almost optimal by proving a lower bound of $\Omega(1/\varepsilon\delta)$ on the left-degree of any graph that can achieve (δ, ε) -robustness. This shows that our construction of using expanders to achieve robustness is almost optimal, in terms of the degree of the fortifier graph. Note that the degree of the fortifier is important as the alphabet size of the concatenated game is the alphabet size of the original game raised to the degree. There are known explicit constructions of bi-regular (δ, ε) -extractors with left-degree $\text{poly}(1/\varepsilon)\text{poly}\log(1/\delta)$. But the lower bound in Section 3.1 shows that (δ, ε) -extractors are not fortifiers if $\delta \ll \varepsilon$, which is usually the relevant setting (see Theorem 1.4).

Independently, the author of [10] came up with a different argument to obtain robustness of projection games by using a $(\delta, \varepsilon\delta)$ -extractor. This is described in an updated version [11] present on the author's homepage.

It is also seen from Theorem 1.8 that bi-regular $(\delta, \varepsilon\delta)$ -extractors are indeed $(\delta, \varepsilon, \varepsilon)$ -fortifiers as well. Using an expander instead is arguably simpler, and is almost optimal.

► **Remark.** Although this fix provides a proof of a Parallel Repetition Theorem for projection games following the framework of [10], the degree of the fortifier is too large to get the required PCP for proving optimal hardness of the SET-COVER problem that Dinur and Steurer [5] obtained. See [11] for a discussion on this.

Remark about parallel repetition for general games

A fairly straightforward generalization Theorem 1.4 to robust general games on bi-regular graphs is the following.

► **Claim 1.9.** *Let G be a general two-prover game on a bi-regular graph $((X, Y), E)$ with alphabets Σ_X and Σ_Y . For any positive integer k , if $\varepsilon, \delta > 0$ are parameters such that $2\delta|\Sigma_X \times \Sigma_Y|^{k-1} \leq \varepsilon$ and G is (δ, ε) -robust, then*

$$\text{val}(G^k) \leq (\text{val}(G) + \varepsilon)^k + k\varepsilon.$$

One could attempt a fortifying any game by using a fortifier on both sides. But the issue with this procedure is that it makes $|\Sigma_X| = \exp(1/\delta)$ and in such scenarios $\delta|\Sigma_X| \gg 1$ making it infeasible to ensure $2\delta|\Sigma_X \times \Sigma_Y|^{k-1} \leq \varepsilon$. Hence, though Lemma 1.9 may be useful in cases where we know that the game G is robust via other means, the technique of fortification via concatenation increases the alphabet size too much for Lemma 1.9 to be applicable.

For the case of projection games, this is not an issue as Theorem 1.4 only requires $2\delta|\Sigma_Y|^{k-1} < \varepsilon$ and concatenating G_{sym} by a fortifier only increases $|\Sigma_X|$ and keeps Σ_Y unchanged. Thus, one can indeed choose ε and δ small enough to give a parallel repetition theorem for a robust version of an arbitrary projection game.

2 Preliminaries

Notation

- For any vector \mathbf{a} , let $|\mathbf{a}|_1 := \sum_i |\mathbf{a}_i|$, and $\|\mathbf{a}\| := \sqrt{\sum_i \mathbf{a}_i^2}$ be the ℓ_1 and ℓ_2 -norms respectively.
- We shall use \mathbf{u}_S to refer to the uniform distribution on a set S . Normally, the set S would be clear from context and in such case we shall drop the subscript S .
- For any vector \mathbf{a} , we shall use \mathbf{a}^\parallel to refer to the component along the direction of \mathbf{u} , and \mathbf{a}^\perp to refer to the component orthogonal to \mathbf{u} .
- We shall assume that the underlying graph for the games is bi-regular.

We define the *concatenation* operation of a two-prover games with a bipartite graph that was alluded to in Section 1.1.

► **Definition 2.1** (Concatenation). Given bipartite graphs $G = ((X, Y), E)$, $H = ((W, X), E_H)$ where H is regular with left degree D , the *concatenated graph* $H \circ G = ((W, Y), E')$ is a multigraph such that there is an edge $(w, y) \in E'$, for every pair of edges $(w, x) \in E_H$, $(x, y) \in E$.

Given a two-prover projection game on a graph $G = ((X, Y), E)$ with a set of constraints ψ , a pair of alphabets Σ_X and Σ_Y , a bipartite graph $H = ((W, X), E_H)$ with left degree D , the *concatenated game* is a game on the multigraph $H \circ G = ((W, Y), E')$ with $\Sigma_W = \Sigma_X^D$. For any edge $(w, y) \in E'$ which corresponds to the pair $(w, x) \in E$, $(x, y) \in E_H$, the constraint $\pi_{(w,y)}(a) := \pi_{x,y}(a_x)$, where $a \in \Sigma_X^D$ and a_x is the alphabet at the coordinate corresponding to x (assuming some fixed ordering of vertices in X). The distribution over the edges in the multigraph $H \circ G$ is uniform.

► **Remark.** The concatenated game $H \circ G$ is also a projection game. We shall be working with the symmetrized version $G^* = (H \circ G)_{\text{sym}}$ of this game.

► **Lemma 2.2** (Concatenation preserves value). [10] *Given any two-prover game on G , and a biregular bipartite graph H :*

$$\text{val}(H \circ G) = \text{val}(G).$$

Expanders, extractors and fortifiers

► **Definition 2.3** (Expanders). For a symmetric, stochastic matrix M , define

$$\lambda(M) \stackrel{\text{def}}{=} \max_{\mathbf{v} \perp \mathbf{1}} \frac{\|M\mathbf{v}\|}{\|\mathbf{v}\|}$$

A D -regular graph $H = (X, E)$ is a graph H is a λ -expander, if $\lambda(H) \leq \lambda$, where H is the normalized adjacency matrix of the graph H .

For a symmetric bipartite graph $G = ((X, X), E)$, we say G is a bipartite λ -expander if $\lambda(H) \leq \lambda$ where H is the normalized biadjacency matrix of G .

Henceforth, when we refer to a bipartite graph as being a λ -expander, we implicitly mean a *bipartite* λ -expander.

Any expander $H = (X, E_H)$ can be transformed to a natural bipartite expander H' on $X \times X$, by including the edge (x, x') and (x', x) to H' for every $(x, x') \in E_H$. We shall abuse notation and call this graph $H' = ((X, X), E_H)$ although each edge in H occurs “twice” in H' .

► **Lemma 2.4** (Explicit expanders [3]). *For every $D > 0$, there exists a fully explicit family of graphs $\{G_i\}$, such that G_i is D -regular and $\lambda(G_i) \leq D^{-1/2}(\log D)^{3/2}$.*

► **Definition 2.5** (Extractors). A bipartite graph $H = ((X, Y), E)$ is an (δ, ε) -extractor if for every subset $S \subseteq X$ such that $|S| \geq \delta|X|$, if π is the induced probability distribution on Y by taking a random element of S and a random neighbour, then

$$|\pi - \mathbf{u}|_1 \leq \varepsilon.$$

► **Lemma 2.6** (Explicit Extractors [16]). *There exists explicit (δ, ε) -extractors $G = (X, Y, E)$ such that $|X| = O(|Y|/\delta)$ and each vertex of X has degree $D = O(\exp(\text{poly}(\log \log(1/\delta)))) \cdot (1/\varepsilon^2)$.*

Our earlier definition of a fortifier (Definition 1.5) has properties of both an expander and an extractor. Indeed, we can build fortifiers by just taking a product an expander and an extractor.

► **Lemma 2.7.** *Let $H_1 = ((V, W), E_1)$ is a bi-regular (δ, ε) -extractor, and let $H_2 = (W, E_2)$ is a regular λ -expander. Denote H'_2 to be the bipartite graph $((W, W), E_2)$. Then the concatenated graph $H_1 \circ H'_2$ is an $(\delta, \varepsilon, \lambda^2\varepsilon/\delta)$ -fortifier.*

Proof. Let H_2 be the normalized adjacency matrix of graph H_2 . Let π_S denotes the probability distribution on W obtained by picking an element of $S \subseteq V$ uniformly and then choosing a random neighbour in H_1 . Thus, $H_2\pi_S$ is the probability distribution on W induced by the uniform distribution on S and a random neighbour in $H_1 \circ H'_2$. We want to show for all S such that $|S| \geq \delta|V|$,

$$|H_2\pi_S - \mathbf{u}|_1 \leq \varepsilon \quad \text{and} \quad \|H_2\pi_S - \mathbf{u}\|^2 \leq \frac{\lambda^2\varepsilon/\delta}{|X|}.$$

The first inequality is obtained as $|H_2\pi_S - \mathbf{u}|_1 = |H_2(\pi_S - \mathbf{u})|_1 \leq |\pi_S - \mathbf{u}|_1 \leq \varepsilon$, where we use the fact that $|H_2v|_1 \leq |v|_1$ for any v and any normalized adjacency matrix, and $|\pi_S - \mathbf{u}|_1 \leq \varepsilon$ follows from the extractor property of H_1 .

As for the second inequality, observe that

$$\|\pi_S - \mathbf{u}\|^2 \leq \max_{w \in W} (\pi_S(w)) \cdot |\pi_S - \mathbf{u}|_1 \leq \varepsilon \cdot \max_{w \in W} (\pi_S(w)).$$

For a bi-regular extractor² H_1 of left-degree D , the degree of any $w \in W$ is $(|V| \cdot D/|W|)$ and the number of edges out of S is least $\delta|V| \cdot D$. Hence, $\max_w \pi_S(w) \leq 1/(\delta|W|)$, which is achieved if all neighbours of w are in S . Therefore,

$$\begin{aligned} \|\pi_S - \mathbf{u}\|^2 &\leq \frac{(\varepsilon/\delta)}{|W|} \\ \implies \|H_2(\pi_S - \mathbf{u})\|^2 &\leq \lambda^2 \frac{|W|}{|X|} \|\pi_S - \mathbf{u}\|^2 \leq \frac{|W|}{|X|} \cdot \frac{\lambda^2 \cdot (\varepsilon/\delta)}{|W|} = \frac{\lambda^2 \cdot (\varepsilon/\delta)}{|X|}. \quad \blacktriangleleft \end{aligned}$$

In particular, any bi-regular (δ, ε) -extractor is a $(\delta, \varepsilon, \varepsilon/\delta)$ -fortifier. Hence, if the underlying graph G of the two-prover game is a $\sqrt{\delta}$ -expander, then Theorem 1.8 states that merely using an (δ, ε) -extractor as suggested in [10] would be sufficient to make it $(\delta, O(\varepsilon))$ -robust.

Also, since any graph is trivially a 1-expander, a bi-regular $(\delta, \varepsilon\delta)$ -extractor is also an $(\delta, \varepsilon, \varepsilon)$ -fortifier. The following lemma also shows that expanders are also fortifiers with reasonable parameters as well.

² The bound on the right-degree guaranteed by bi-regularity is crucial for this claim. Without this, extractors are not sufficient for fortification (Section 3.2).

► **Lemma 2.8.** *Let $H = (X, E_H)$ be any λ -expander. Then, for every $\delta > 0$, the bipartite graph $H' = ((X, X), E_H)$ is also a $(\delta, \sqrt{\lambda^2/\delta}, \lambda^2/\delta)$ -fortifier. In particular, if $\lambda \leq \varepsilon\sqrt{\delta}$, then H' is an $(\delta, \varepsilon, \varepsilon)$ -fortifier.*

Proof. Let H be the normalized adjacency matrix of H . Let $S \subseteq W$ such that $|S| \geq \delta|W|$. We have,

$$\|\mathbf{u}_S^\perp\|^2 \leq \frac{1}{\delta|W|}.$$

Hence, by the expansion property of H ,

$$\|H\mathbf{u}_S - \mathbf{u}\|^2 := \|H\mathbf{u}_S^\perp\|^2 \leq \lambda^2 \cdot \frac{|W|}{|X|} \cdot \|\mathbf{u}_S^\perp\|^2 \leq \frac{\lambda^2/\delta}{|X|}.$$

$\|H\mathbf{u}_S - \mathbf{u}\|_1 \leq \sqrt{\lambda^2/\delta}$ follows from above and Cauchy-Schwarz inequality. ◀

Although Lemma 2.8 shows that expanders are also fortifiers for reasonable parameters, the construction in Lemma 2.7 is more useful when the underlying graph for the two-prover game is already a good expander. For example, if the underlying graph G was a δ -expander, then Theorem 1.8 suggests that we only require a $(\delta, \varepsilon, \varepsilon/\delta)$ -fortifier. Lemma 2.7 implies that an (δ, ε) -extractor is already a $(\delta, \varepsilon, \varepsilon/\delta)$ -fortifier and hence is sufficient to make the game robust. The main advantage of this is the degree of δ -expanders must be $\Omega(1/\delta^2)$ whereas we have explicit (δ, ε) -extractors of degree $(1/\varepsilon^2) \exp(\text{poly log log}(1/\delta))$ which has a much better dependence in δ . This dependence on δ is crucial for certain applications.

3 Sub-games on large rectangles

Consider a projection game on graph $G = ((X, Y), E)$ which is biregular with degree d . For a biregular bipartite graph $H = ((W, X), E_H)$ with degree d_H , consider the symmetrized concatenated game $G^* = (H \circ G)_{\text{sym}} = ((W, W), E')$. Let $S, T \subseteq W$ and μ_S (or μ_T) denote the induced distributions on X obtained by picking a uniformly random element of S (or T) and taking a uniformly random neighbour in H . In the next claim, we give an expression for the distribution of verifier checking the underlying constraint on (x, x') in the subgame $(G^*)_{S \times T}$.

► **Claim 3.1.** *For any $x, x' \in X$ such that there are edges $(x, y), (x', y) \in E$,*

$$\pi_{x, x'} = \frac{\mu_S(x)\mu_T(x')}{\sum_{(x, x') \in G_{\text{sym}}} \mu_S(x)\mu_T(x')}. \quad (1)$$

Proof. Let $d_{S, x}, d_{T, x'}$ denote the degree of x to S and x' to T respectively in H . Let $N_H(x)$ denote the neighbor set of a vertex x in H . Then,

$$\mu_S(x) = \frac{d_{S, x}}{\sum_{z \in X} d_{S, z}}.$$

The probability $\pi_{x, x'}$ of the verifier in $(G^*)_{S \times T}$ checking a constraint corresponding to a constraint (x, x') in G_{sym} , is proportional to the number of edges (w, w') in the graph G^* such that $w \in S \cap N_H(x)$, and $w' \in T \cap N_H(x')$. Since every such edge in G^* was equally likely, we have:

$$\pi_{x, x'} = \frac{d_{S, x} \cdot d_{T, x'}}{\sum_{(x, x') \in G_{\text{sym}}} d_{S, x} d_{T, x'}} = \frac{\mu_S(x)\mu_T(x')}{\sum_{(x, x') \in G_{\text{sym}}} \mu_S(x)\mu_T(x')}. \quad \blacktriangleleft$$

One way to show that the concatenated game G^* is $(\delta, O(\varepsilon))$ -robust would be to show that the above distribution $\pi_{x,x'}$ is $O(\varepsilon)$ -close to uniform whenever $|S|, |T|$ have density at least δ because then the distribution on constraints that the verifier is going to check in $G_{S \times T}^*$ is $O(\varepsilon)$ close to the distribution on constraints in G . Hence, up to additive factor of $O(\varepsilon)$ the quantity $\text{val}(G_{S \times T}^*)$ is same as $\text{val}(G)$. The main question here what properties should H satisfy so that the above distribution is close to uniform?

3.1 Fortifiers are necessary

To prove that fortifiers are necessary, we shall restrict ourselves to games on graphs $G = ((X, X), E)$. We show that if a bipartite graph $H = ((W, X), E_H)$, makes a game on a particular graph G , $(\delta, O(\varepsilon))$ -robust, then H is a good fortifier.

As mentioned earlier, if the graph G had some expansion properties, then the requirements on the graph H to concatenate with can be relaxed. Thus, naturally, the worst case graph G is one that expands the least – a matching.

► **Lemma 3.2** (Fortifiers are necessary). *Let $\varepsilon, \delta > 0$ be small constants. Let $H = ((W, X), E_H)$ be a bi-regular graph, and let $G = ((X, X), E)$ be a matching. Suppose that for every subset $S, T \subseteq W$ with $|S|, |T| \geq \delta|W|$, the distribution (defined in Equation (1)) induced by the sub game on $S \times T$ of $G^* := (H \circ G)_{\text{sym}}$ on the edges of G is ε -close to uniform. Then, for every $S \subseteq W$ with $|S| \geq \delta|W|$,*

$$|\mu_S - \mathbf{u}|_1 = \varepsilon, \tag{2}$$

$$\|\mu_S - \mathbf{u}\|^2 = \frac{O(\varepsilon)}{|X|}. \tag{3}$$

Proof. It is clear that (2) is necessary as the distribution on constraints in the sub-game $G_{S \times W}^*$ (as defined in (1)) is essentially μ_S (as μ_T in this case is uniform).

As for (3), let us assume that

$$\|\mu_S - \mathbf{u}\|^2 = \frac{c}{|X|}.$$

Taking $T = S$, we obtain that the distribution (defined in Equation (1)) induced by the game $G_{S \times S}^*$ on the edges of G is given by

$$\pi_{x,x} = \frac{\mu_S(x)^2}{\sum_x \mu_S(x)^2} = \left(\frac{|X|}{1+c} \right) \cdot \mu_S(x)^2,$$

where the last equality used the fact that $\|\mu_S\|^2 = \|\mu_S^\perp\|^2 + \|\mathbf{u}\|^2$.

$$\begin{aligned} \sum_{x \in X} \left| \left(\frac{|X|}{c+1} \right) \cdot \mu_S(x)^2 - \frac{1}{|X|} \right| &= \left(\frac{|X|}{1+c} \right) \cdot \sum_{x \in X} \left| \mu_S(x)^2 - \frac{c+1}{|X|^2} \right| \\ &= \left(\frac{|X|}{1+c} \right) \cdot \sum_{x \in X} \left| \mu_S(x) - \frac{\sqrt{c+1}}{|X|} \right| \cdot \left(\mu_S(x) + \frac{\sqrt{c+1}}{|X|} \right) \\ &\geq \left(\frac{1}{\sqrt{1+c}} \right) \cdot \sum_{x \in X} \left| \mu_S(x) - \frac{\sqrt{c+1}}{|X|} \right| \\ &\geq \left(\frac{1}{\sqrt{1+c}} \right) \cdot \left((\sqrt{1+c} - 1) - \sum_{x \in X} \left| \mu_S(x) - \frac{1}{|X|} \right| \right) \\ &\geq \left(\frac{1}{\sqrt{1+c}} \right) \cdot ((\sqrt{1+c} - 1) - \varepsilon). \end{aligned}$$

Thus, if the distribution on constraints is ε -close to uniform, then the above lower bound forces $c = O(\varepsilon)$. ◀

3.2 General (non-regular) extractors are insufficient

Suppose $H = ((W, X), E_H)$ is an arbitrary $(\delta, O(\varepsilon))$ -extractor and G^* is the symmetrized concatenated game. Consider a possible scenario where there is a subset $S \subseteq W$ with $|S| \geq \delta|W|$ such that μ_S is of the form

$$\mu_S = \left(\varepsilon, \frac{1 - \varepsilon}{|X| - 1}, \dots, \frac{1 - \varepsilon}{|X| - 1} \right).$$

Notice that this is a legitimate distribution that may be obtained from a large subset S as $|\mu_S - \mathbf{u}|_1$ is easily seen to be at most 2ε . However, if $G = ((X, X), E)$ was d -regular with $d = o(|X|)$, then using (1), the probability mass on the edge $(1, 1)$ on the sub-game over $S \times S$ is

$$\pi_{1,1} = \left(\frac{\varepsilon^2}{\varepsilon^2 + O\left(\frac{\varepsilon d}{|X|}\right)} \right) \approx 1.$$

In other words, if such a distribution μ_S can be induced by the extractor, then the provers can achieve value close to 1 in the game $G_{S \times S}^*$ by just labelling the edge $(1, 1)$ correctly. Thus, G^* is not even $(\delta, 0.9)$ -robust.

In Appendix A we show that we can adversarially construct a $(\delta, O(\varepsilon))$ -extractor, although non-regular, that induces such a skew distribution. In Appendix B we also show that left-regular graphs of left-degree $o(1/\delta\varepsilon)$ are not fortifiers.

4 Robustness from fortifiers

In this section, we show that concatenating a symmetrized two-prover game by fortifier(s) yields a robust game as claimed by Theorem 1.8.

► **Lemma 4.1** (Distributions from large rectangles are close to uniform). *Let $G = ((X, X), E)$ be a graph of a symmetrized two-prover game such that $|X| = n$. Let μ_S and μ_T be two probability distributions such that*

$$|\mu_S^\perp|_1 \leq \varepsilon_1 \quad \text{and} \quad |\mu_T^\perp|_1 \leq \varepsilon_1, \tag{4}$$

$$\|\mu_S^\perp\|^2 \leq \left(\frac{\varepsilon_2}{n}\right) \quad \text{and} \quad \|\mu_T^\perp\|^2 \leq \left(\frac{\varepsilon_2}{n}\right). \tag{5}$$

If the bipartite graph G is a λ_0 -expander then the distribution on edges (x, y) of G given by (1) is $(2\varepsilon_1 + \varepsilon_1^2 + 2\lambda_0 \cdot \varepsilon_2)$ -close to uniform.

As described in Section 3, if H is a $(\delta, \varepsilon_1, \varepsilon_2)$ -fortifier, then for any set S and T of density at least δ , the distribution on the constraints of $G_{S \times T}^*$ is given by (1). Applying the above lemma for the graph of the symmetrized game yields that the value of the game on any large rectangle can change only by the above bound on the statistical distance. By setting the parameters, Theorem 1.8 follows immediately from Lemma 4.1. Further, Theorem 1.7 also follows from Lemma 4.1 and Lemma 2.8 as any graph is trivially a 1-expander.

The rest of this section would be devoted to the proof of Lemma 4.1. For convenience, we let d be the left-degree (and hence also, right-degree) of the bipartite graph G . We shall prove Lemma 4.1 by proving the following two claims.

► **Claim 4.2.**

$$\sum_{(x,y) \in G} \left| \frac{\mu_S(x)\mu_T(y)}{\sum_{(x,y) \in G} \mu_S(x)\mu_T(y)} - \frac{\mu_S(x)\mu_T(y)}{d/n} \right| \leq \lambda_0 \cdot \varepsilon_2$$

► **Claim 4.3.**

$$\sum_{(x,y) \in G} \left| \frac{\mu_S(x)\mu_T(y)}{d/n} - \frac{1}{n \cdot d} \right| \leq 2\varepsilon_1 + \varepsilon_1^2 + \lambda_0 \cdot \varepsilon_2$$

Clearly, Lemma 4.1 follows from Claim 4.2 and Claim 4.3.

Proof of Claim 4.2. Let G also denote the normalized biadjacency matrix of G . Observe that $\sum_{(x,y) \in G} \mu_S(x)\mu_T(y) = d \cdot \langle G\mu_S, \mu_T \rangle$. If we resolve μ_S and μ_T in the direction of the uniform distribution and the orthogonal component, we have

$$\begin{aligned} \langle G\mu_S, \mu_T \rangle &= \langle \mathbf{u}, \mathbf{u} \rangle + \langle G\mu_S^\perp, \mu_T^\perp \rangle = \frac{1}{n} + \langle G\mu_S^\perp, \mu_T^\perp \rangle \\ \Rightarrow \left| \langle G\mu_S, \mu_T \rangle - \frac{1}{n} \right| &\leq \lambda_0 \cdot \|\mu_S^\perp\| \cdot \|\mu_T^\perp\| \\ &\leq \left(\frac{\lambda_0 \cdot \varepsilon_2}{n} \right). \quad (\text{using (5)}) \end{aligned}$$

Therefore,

$$\begin{aligned} \sum_{(x,y) \in G} \left| \frac{\mu_S(x)\mu_T(y)}{d \langle G\mu_S, \mu_T \rangle} - \frac{\mu_S(x)\mu_T(y)}{d/n} \right| &\leq \sum_{(x,y) \in G} \left(\frac{\mu_S(x)\mu_T(y)}{d \langle G\mu_S, \mu_T \rangle} \right) |1 - \langle G\mu_S, \mu_T \rangle| \\ &\leq \lambda_0 \cdot \varepsilon_2. \quad \blacktriangleleft \end{aligned}$$

Proof of Claim 4.3.

$$\sum_{(x,y) \in G} \left| \frac{\mu_S(x)\mu_T(y)}{d/n} - \frac{1}{n \cdot d} \right| = \binom{n}{d} \sum_{(x,y) \in G} \left| \mu_S(x)\mu_T(y) - \frac{1}{n^2} \right|.$$

Since $\mu_S(x) = \frac{1}{n} + \mu_S^\perp(x)$ and $\mu_T(y) = \frac{1}{n} + \mu_T^\perp(y)$,

$$\begin{aligned} \binom{n}{d} \sum_{(x,y) \in G} \left| \mu_S(x)\mu_T(y) - \frac{1}{n^2} \right| &= \binom{n}{d} \sum_{(x,y) \in G} \left| \frac{\mu_S^\perp(x)}{n} + \frac{\mu_T^\perp(y)}{n} + \mu_S^\perp(x)\mu_T^\perp(y) \right| \\ (\text{Using triangle inequality}) &\leq \frac{1}{d} \sum_{(x,y) \in G} |\mu_S^\perp(x)| + \frac{1}{d} \sum_{(x,y) \in G} |\mu_T^\perp(y)| \\ &\quad + \binom{n}{d} \sum_{(x,y) \in G} |\mu_S^\perp(x)\mu_T^\perp(y)| \\ &= |\mu_S^\perp|_1 + |\mu_T^\perp|_1 + \binom{n}{d} \sum_{(x,y) \in G} |\mu_S^\perp(x)\mu_T^\perp(y)|, \end{aligned}$$

where the last equality uses the fact that G is a bi-regular graph. Define $f_S(x) \equiv |\mu_S^\perp(x)|$ is a vector with the entrywise absolute values of μ_S^\perp , and similarly f_T . Then, the RHS above

equation reduces to

$$\begin{aligned}
 |\mu_S^\perp|_1 + |\mu_T^\perp|_1 + \binom{n}{d} \sum_{(x,y) \in G} |\mu_S^\perp(x)\mu_T^\perp(y)| &= |\mu_S^\perp|_1 + |\mu_T^\perp|_1 \\
 &\quad + \binom{n}{d} \cdot \sum_{(x,y) \in G} f_S(x)f_T(y) \\
 &= |\mu_S^\perp|_1 + |\mu_T^\perp|_1 + n \langle Gf_S, f_T \rangle \\
 \text{(Using (4))} \quad &\leq 2\varepsilon_1 + n \cdot \langle Gf_S, f_T \rangle. \tag{6}
 \end{aligned}$$

A simple bound for $n \cdot \langle Gf_S, f_T \rangle$ would be $n \|G\mu_S^\perp\| \|\mu_T^\perp\|$ by Cauchy-Schwarz inequality. We can use the expansion of G again to estimate this better. Consider the decomposition $f_S = \alpha_1 \cdot \mathbf{u} + f_S^\perp$ and $f_T = \alpha_2 \cdot \mathbf{u} + f_T^\perp$. It follows that $\alpha_1 = |f_S|_1$ and $\alpha_2 = |f_T|_1$, and hence $\alpha_1, \alpha_2 \leq \varepsilon_1$ by (4). Hence,

$$\begin{aligned}
 n \cdot \langle Gf_S, f_T \rangle &= \alpha_1 \cdot \alpha_2 + n \cdot \langle Gf_S^\perp, f_T^\perp \rangle \leq \varepsilon_1^2 + n \|Gf_S^\perp\| \|f_T^\perp\| \\
 &\leq \varepsilon_1^2 + n \cdot \lambda_0 \cdot \|\mu_S^\perp\| \cdot \|\mu_T^\perp\| \\
 \text{(Using (5))} \quad &\leq \varepsilon_1^2 + \lambda_0 \varepsilon_2.
 \end{aligned}$$

Combining this with (6), we get

$$\sum_{(x,y) \in G} \left| \frac{\mu_S(x)\mu_T(y)}{d/n} - \frac{1}{n \cdot d} \right| \leq 2\varepsilon_1 + \varepsilon_1^2 + \lambda_0 \varepsilon_2. \quad \blacktriangleleft$$

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A An explicit extractor that does not provide robustness

Let $H = ((W, X), E_H)$ be any (δ, ε) -extractor. Let us assume that the extractor is left-regular with left-degree D , and let $m = |W|$ and $n = |X|$. For any $x \in X$ and $S \subseteq W$, let $d_S(x)$ denote the degree of x in S . Let us fix one $S \subset W$ such that $|S| = \delta|W|$.

We will transform the graph H so that the distribution induced by the set S looks like the counter-example described in Section 3.2 in the following two steps by altering the edges in the subgraph $S \times X$:

1. First change the degree into X from S to be exactly uniform.
2. Next further change the degrees into X from S to be like the counterexample

Both these operations can be achieved in a monotone fashion: for every $x \in X$, the neighborhood of every vertex is either a superset, or a subset of its neighborhood before each operation.

We will show that moving the edges this way does not perturb the indegree distribution from other large sets by too much, and the resulting graph is a $(\delta, O(\varepsilon))$ extractor as long as the number of edges we relocate is at most $O(\varepsilon\delta \cdot mD)$. This process will preserve the left-regularity of H but would *not* preserve bi-regularity.

First let us move edges (monotonically) from S into X create the uniform distribution on X . When doing this, the degree of each vertex changes by $\Delta_S(x) := |d_S(x) - \frac{\delta m D}{n}|$, where $d_S(x)$ was the old degree. From the extractor property, we know that:

$$\sum_{x \in X} \Delta_S(x) = \sum_{x \in X} (\delta m D) \left| \frac{d_S(x)}{\sum d_S(x)} - \left(\frac{1}{n}\right) \right| \leq \varepsilon \delta \cdot m D. \quad (7)$$

Every vertex $x \in X$ now has degree d_{avg}^S . Fix some vertex $x_1 \in X$, and relocate from every other $x \neq x_1$ any set of $\varepsilon \cdot d_{\text{avg}}^S$ edges to be incident on x_1 . Thus, if $d'_S(x)$ refers to the new degrees, we have $d'_S(x_1)$ is $(1 + \varepsilon n)d_{\text{avg}}^S$ where as $d'_S(x)$ is $(1 - \varepsilon)d_{\text{avg}}^S$ for every other $x \neq x_1$.

The further change in degrees incurred on any $x \in X$ is $\Delta'_S(x) := |d'_S(x) - \frac{\delta m D}{n}|$. Since we this process only relocates $O(\varepsilon \cdot d_{\text{avg}}^S |X|)$ edges, we have

$$\sum_{x \in X} \Delta'_S(x) = \sum_{x \in X} |d'_S(x) - d_{\text{avg}}^S| \leq O(n \cdot \varepsilon \cdot d_{\text{avg}}^S) = O(\varepsilon \delta \cdot m D). \quad (8)$$

Thus, the neighbourhood of any vertex x has changed additively by at most $\Delta_S(x) + \Delta'_S(x)$. Therefore, for any subset $T \subseteq W$ of size at least $\delta |W|$,

$$\begin{aligned} \sum_{x \in X} |d'_T(x) - d_{\text{avg}}^T| &\leq \sum_{x \in X} |d_T(x) - d_{\text{avg}}^T| + \sum_{x \in X} |d'_T(x) - d_T(x)| \\ &\leq \varepsilon |T| D + \sum_{x \in X} (\Delta_S(x) + \Delta'_S(x)) \\ &\leq \varepsilon |T| D + O(\varepsilon \delta \cdot m D) \quad (\text{using (7) and (8)}) \\ &\leq O(\varepsilon \cdot |T| D). \end{aligned}$$

Thus, the new graph after relocating edges is still an $(\delta, O(\varepsilon))$ -extractor. This extractor, induces a distribution similar to the one described in Section 3.2 and hence cannot provide robustness.

B Lower bounds on degree of fortifiers

In this section, we will show that an attempt to make a game (δ, ε) -robust by concatenating any left-regular graph with left degree D fails if $D \leq o(1/\varepsilon\delta)$.

► **Lemma 2.1.** *Let $H = ((W, X), E_H)$ be a left-regular bipartite graph with left-degree $D = 1/(c \cdot \varepsilon\delta)$ for some $c > 0$, and small enough constants ε, δ . Then, there exists a subset $S \subseteq W$ with $|S| \geq \delta |W|$ such that if p was the distribution on X induced by the uniform distribution on S then*

$$\|p - \mathbf{u}\|^2 \geq \frac{\Omega(c\varepsilon)}{|X|}.$$

Proof. Let $d_{\text{avg}} = |W|D/|X|$. Note that at most $|X|/2$ vertices x satisfy $\deg(x) \geq 2d_{\text{avg}}$. Further, if there is a set S of $|X|/4$ vertices x that $\deg(x) < (0.5)d_{\text{avg}}$, then if p is the distribution on X induced by the uniform distribution on W , then $\|p - \mathbf{u}\|_1 > 1/4$ which implies that $\|p - \mathbf{u}\|_2^2 \geq \frac{1}{4|X|}$ by Cauchy-Schwarz.

Otherwise, there exists $X' \subset X$ such that $|X'| = c\varepsilon\delta^2|X|$ and for each $x \in X'$ we have $(0.5)d_{\text{avg}} < \deg(x) < 2d_{\text{avg}}$. Consider the set S_0 of all neighbours of X' . If $D < 1/(c\varepsilon\delta)$, we have $|S_0| \leq 2c\delta^2\varepsilon \cdot |W|D = 2\delta|W|$ which is a very small fraction of $|W|$ when δ is small enough. Consider an arbitrary set $S_1 \subseteq W$ such that $|S_1| = \delta m$, with $S_1 \cap S_0 = \emptyset$. Let $S_2 = S_0 \cup S_1$. Let π_1, π_2 be the probability distribution on X induced by S_1, S_2 respectively. Note that $|S_2| \leq 3\delta|W|$.

For every $x \in X'$, we know that $\pi_1(x) = 0$ and $\pi_2(x) = \Omega\left(\frac{1}{\delta|X|}\right)$. Therefore,

$$\|\pi_1 - \pi_2\|^2 \geq \Omega\left(\frac{c\delta^2\varepsilon|X|}{\delta^2|X|^2}\right) = \frac{\Omega(c\varepsilon)}{|X|}.$$

Since $\|\pi_1 - \pi_2\| \leq \|\pi_1 - \mathbf{u}\| + \|\pi_2 - \mathbf{u}\|$, we have that one of the sets S_1 or S_2 shows the validity of the lemma \blacktriangleleft

We thus immediately infer the following:

► **Corollary 2.2.** *For all small enough $\delta, \varepsilon > 0$, no left-regular graph $H = ((W, X), E_H)$ with left-degree $D = o(1/\varepsilon\delta)$ is an $(\delta, *, \varepsilon)$ -fortifier.*

Note that any $(\delta, \varepsilon, \varepsilon)$ -fortifier is in particular an (δ, ε) -extractor, and hence we also have that $D = \Omega((1/\varepsilon^2) \log(1/\delta))$ [12]. We also point out that the construction of Lemma 2.8 has left-degree $D = \tilde{O}(1/\varepsilon^2\delta)$. The above essentially shows this construction is almost optimal.